

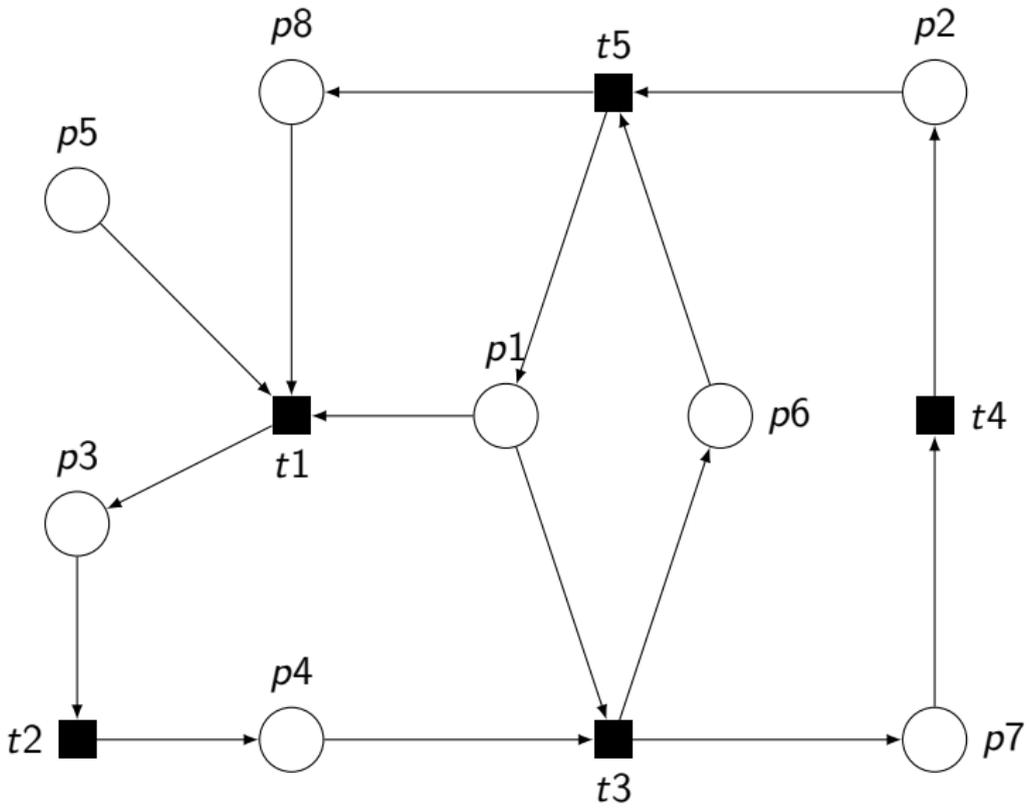
# An Overview of Petri Net Theory

Adrián Puerto Aabel

with excerpts from

Eike Best and Raymond Devillers. *Petri Net Primer - A Compendium on the Core Model, Analysis, and Synthesis*. Springer, 2024. URL:  
<https://doi.org/10.1007/978-3-031-48278-6>

“An excellent undergraduate textbook!!”

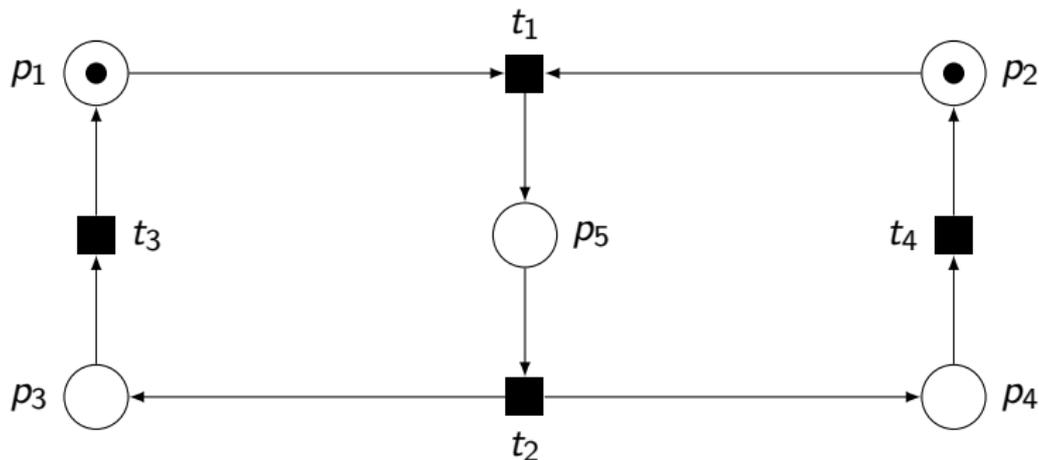


# Most Basic Model

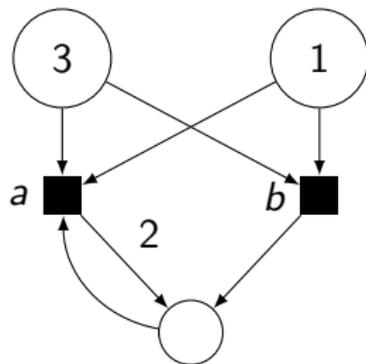
## Elementary Net Systems

An E.N.S. is a tuple  $\Sigma = (B, E, \mathcal{F}, m_0)$

- $B$ : Places  $\rightarrow$  Conditions
- $E$ : Transitions  $\rightarrow$  Events
- $\mathcal{F} \subseteq (B \times E \cup E \times B)$ : Arrows  $\rightarrow$  Flow relation
- $m_0 : B \rightarrow \{0, 1\}$ : Initial Marking (Global State)

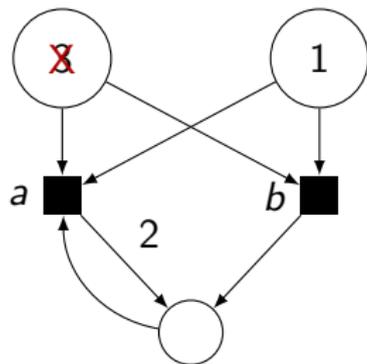


Restricted Classes:



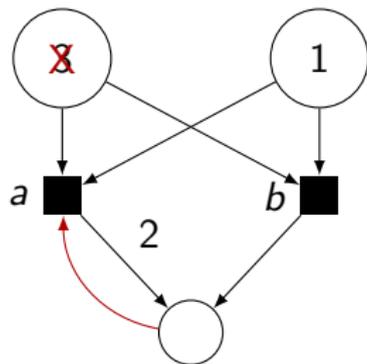
## Restricted Classes:

- Safe:  
Only one token per place



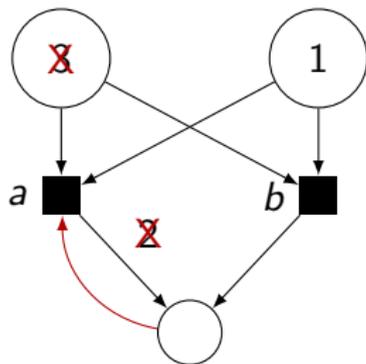
## Restricted Classes:

- Safe:  
Only one token per place
- Pure:  
Only consume or produce but not both



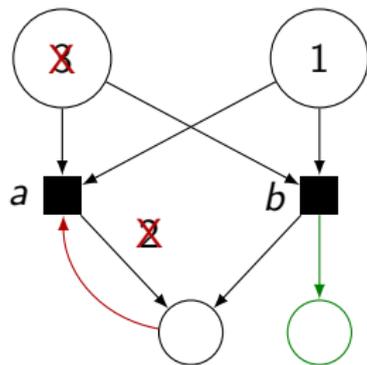
## Restricted Classes:

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- Plain:  
All weights = 1



## Restricted Classes:

- Safe:  
Only one token per place
- Pure:  
Only consume or produce but not both
- Plain:  
All weights = 1
- Simple:  
All transitions have different effects

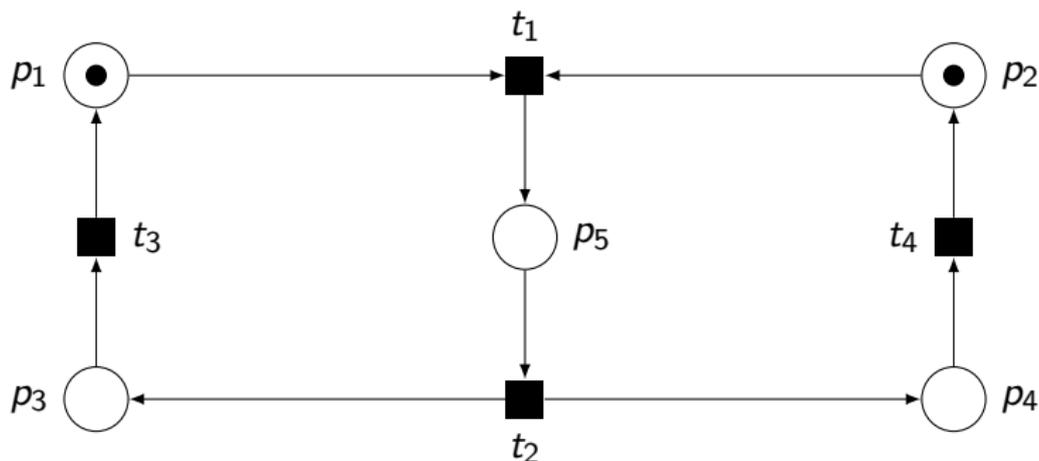


## Enabled Events

Event  $e$  is enabled at marking  $m$ :  $m[e]$  iff:

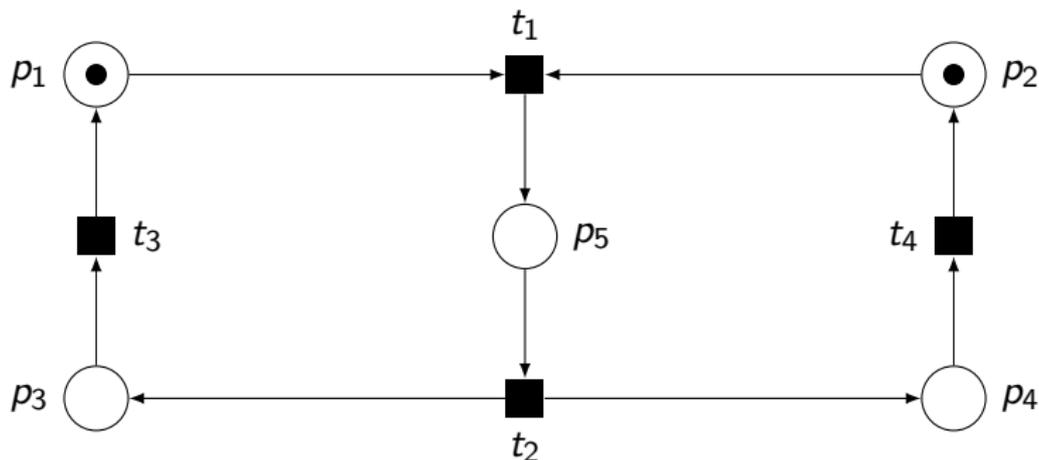
- each  $b \in \bullet e$  has  $m(b) = 1$  (all pre-conditions are true), AND
- each  $b \in e^\bullet$  has  $m(b) = 0$  (all post-conditions are false)

Two events  $e_1, e_2$  are *independent* iff  $(\bullet e_1 \cup e_1^\bullet) \cap (\bullet e_2 \cup e_2^\bullet) = \emptyset$  Note: several events can be enabled at the same marking.



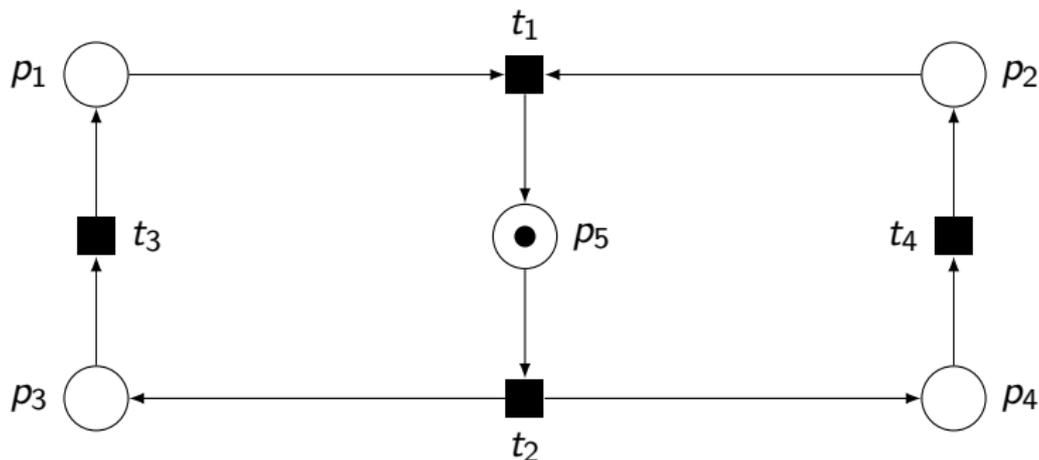
## Firing Rule

- When an event is enabled, it may *fire*:
- $m_1[e]m_2$  means that:
  - ▶  $e$  is enabled at  $m_1$ , AND
  - ▶  $m_2 = (m_1 \setminus \bullet e) \cup e^\bullet$



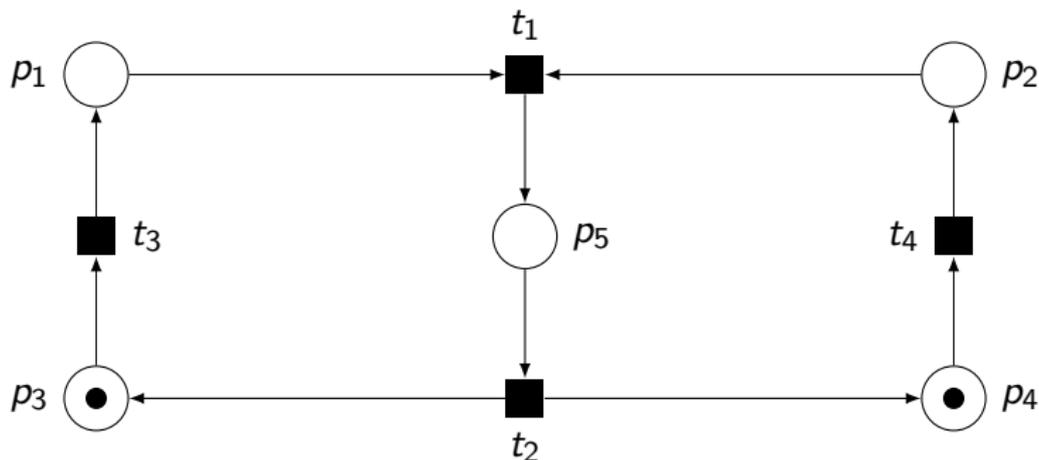
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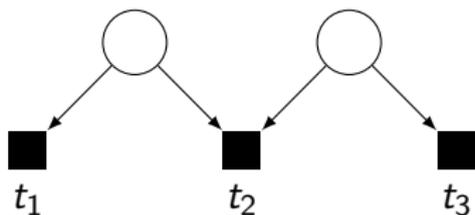
# Structural Properties: Confusion

Analysis fails or is more difficult if Conflict interacts with Concurrency:

## Confusion

- at  $m_1$ ,  $t_1$  and  $t_3$  are concurrent, AND
- $t_1$  is in choice with  $t_2$ , BUT
- firing  $t_3$  disables  $t_2$ .
- $m_1[t_3]m_2$
- at  $m_2$  the choice has disappeared.

“One component had a choice, but a remote action decided instead”  
Confusion makes analysis more difficult.

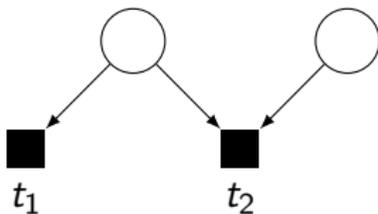


# Structural Properties: Free Choice

## Free-Choice Nets

To avoid confusion, we can build our model so that:

- $\forall t_1, t_2 \in T : \bullet t_1 \cap \bullet t_2 \neq \emptyset \Rightarrow \bullet t_1 = \bullet t_2$
- If two transitions share a pre-condition, they must share all their pre-conditions



## Better Analysis

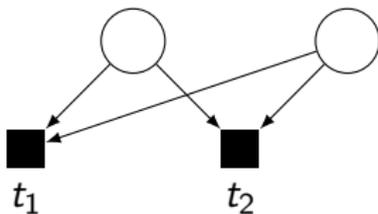
For most verification problems, better algorithms exist for Free-Choice nets.

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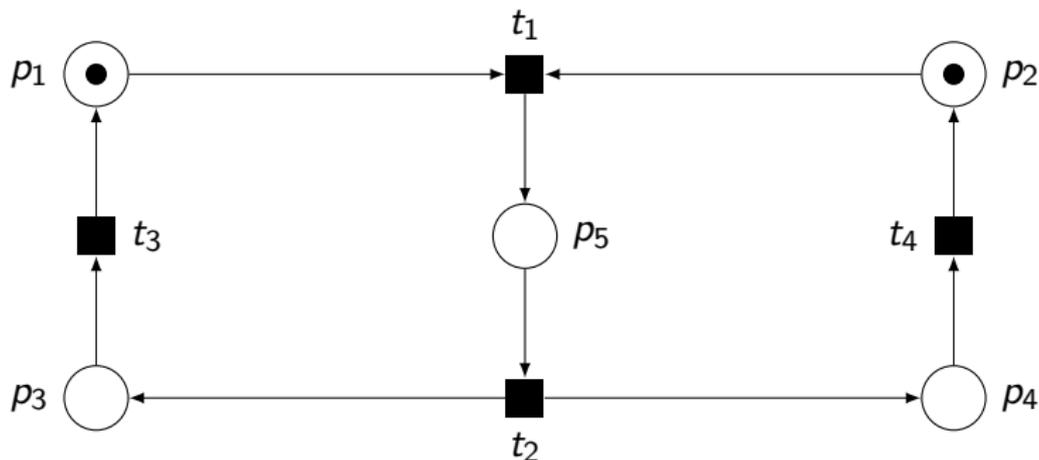
## Better Analysis

For most verification problems, better algorithms exist for Free-Choice nets.

## Neighbourhoods of Events

Given an Event  $e \in E$

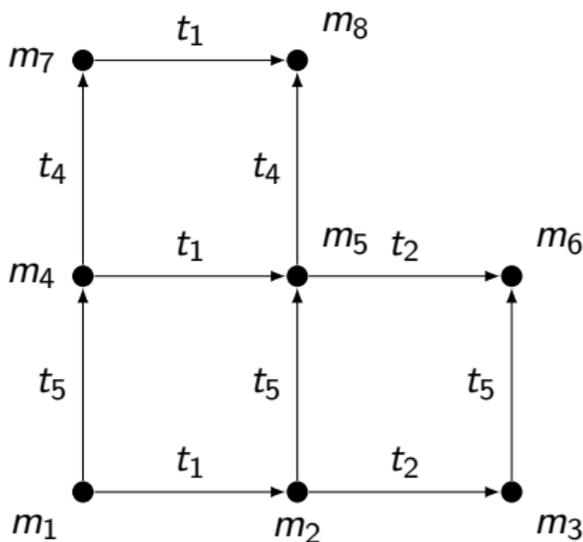
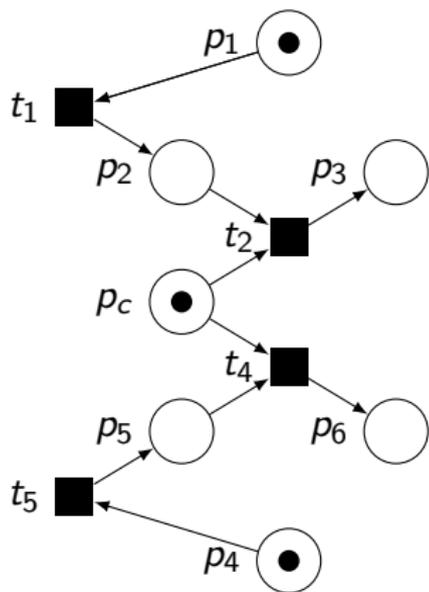
- Pre-conditions:  $\bullet e = \{b \in B \mid (b, e) \in \mathcal{F}\}$   
conditions that “feed” the event
- Post-conditions:  $e \bullet = \{b \in B \mid (e, b) \in \mathcal{F}\}$   
conditions which are “fed” by the event.
- Two events  $e_1, e_2$  are *independent* iff  $(\bullet e_1 \cup e_1 \bullet) \cap (\bullet e_2 \cup e_2 \bullet) = \emptyset$



# Semantics: Marking Graph (Reachability Graph)

## Marking Graph

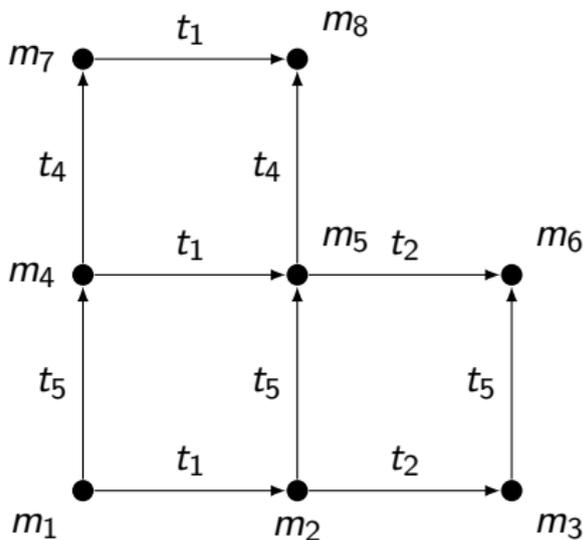
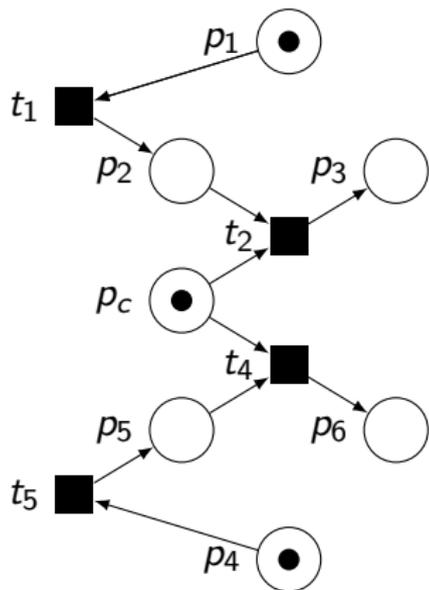
- Each node is a marking  $m$
- We add an arc  $(m_1, m_2)$  with label  $e$ , if  $m_1[e\rangle m_2$ .



# Semantics: Marking Graph (Reachability Graph)

## Notions

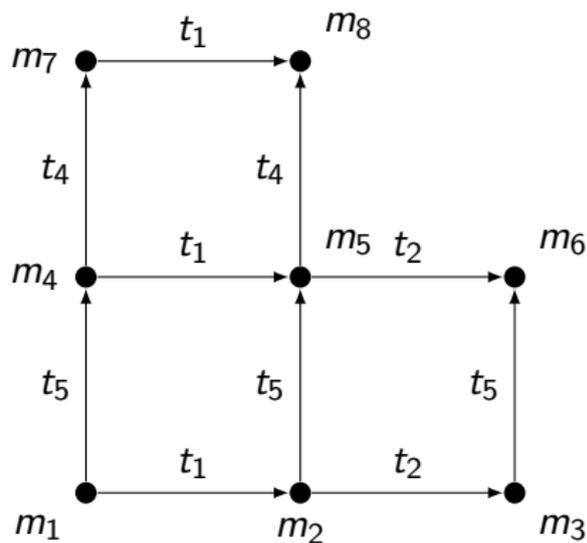
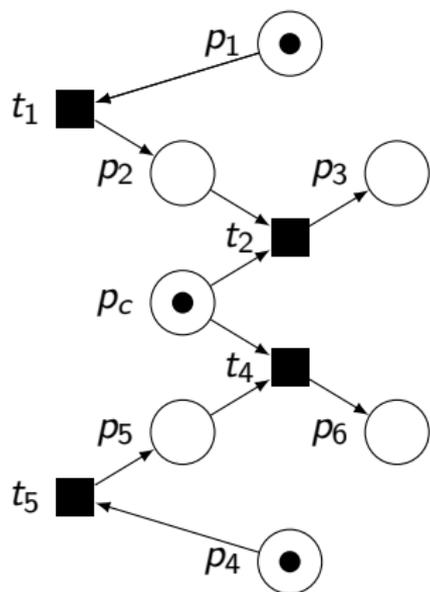
- concurrent = enabled at  $m$  + independent
- choice = enabled at  $m$  + NOT independent



# Semantics: Marking Graph (Reachability Graph)

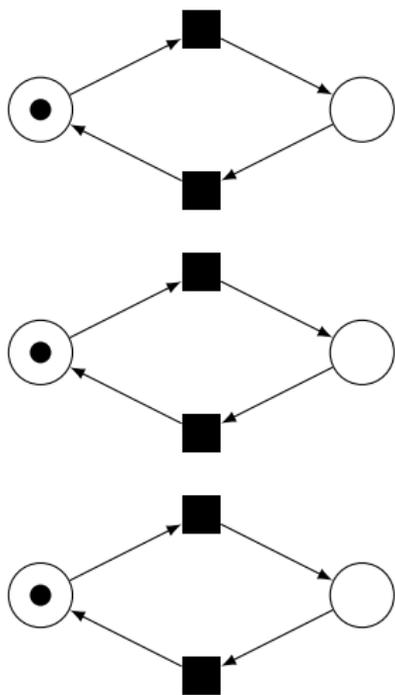
## Notions

- deadlock
- liveness

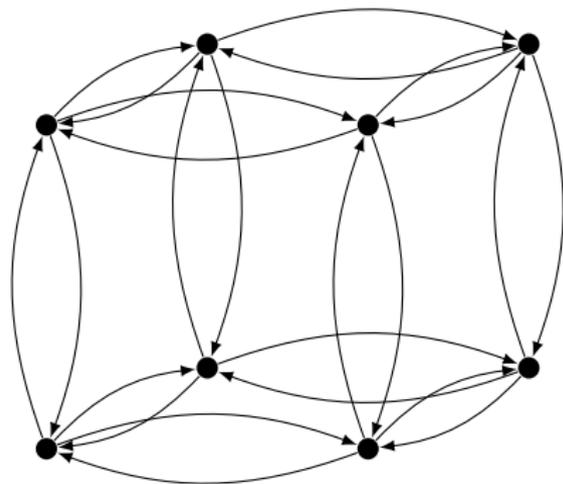


# State Space Explosion

$K$  components of size  $N$



Size =  $N * K$

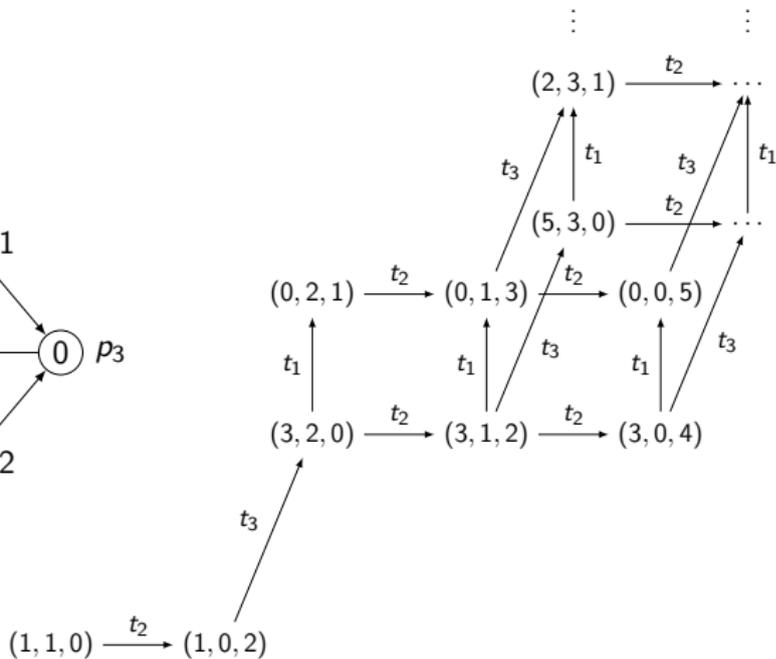
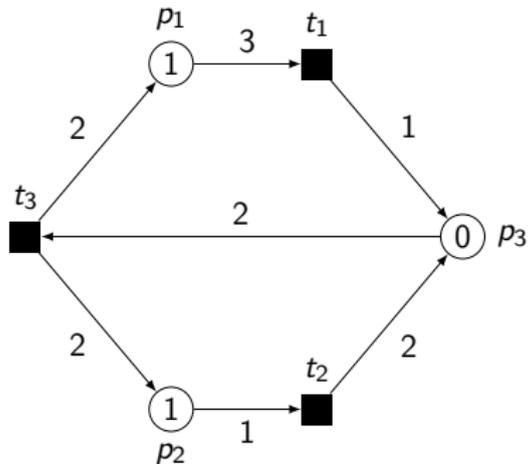


Size =  $N^K$

## Place Transition Systems

- $P$ : Places  $\rightarrow$  Counters
- $T$ : Transitions  $\rightarrow$  Consume and Produce
- $\mathcal{F} : (B \times E \cup E \times B) \rightarrow \mathbb{N}$ : Arcs are now weighted
- $m : P \rightarrow \mathbb{N}$ : Marking assigns a number to each place
- Firing rule:  $m_1[t]m_2$ 
  - ▶  $\forall p \in P : \mathcal{F}(p, t) \leq m_1(p)$   
Places have enough tokens for the transition to fire, AND
  - ▶  $\forall p \in P : m_2(p) = m_1(p) - \mathcal{F}(p, t) + \mathcal{F}(t, p)$   
The weights on the arcs indicate how much is consumed and produced.

# Place Transition Systems are VAS



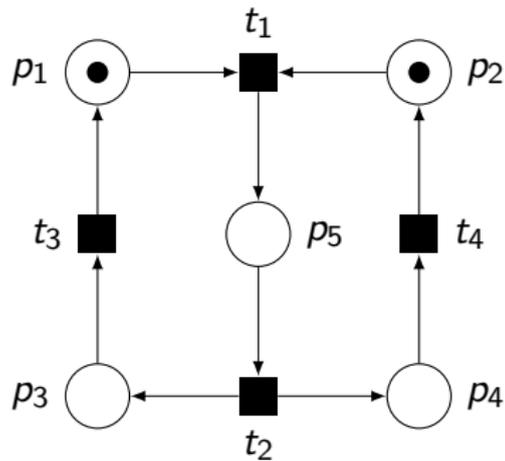
$$t_1 = (-3, 0, 1); t_2 = (0, -1, 2); t_3 = (2, -2, 0)$$

Note: Unbounded Behaviour!

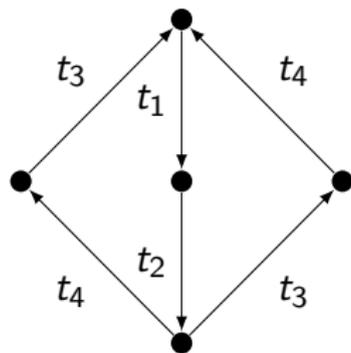
# Semantics: Mazurkiewic Traces

## Notions

- firing sequence, reachability
- interleaving (steps)



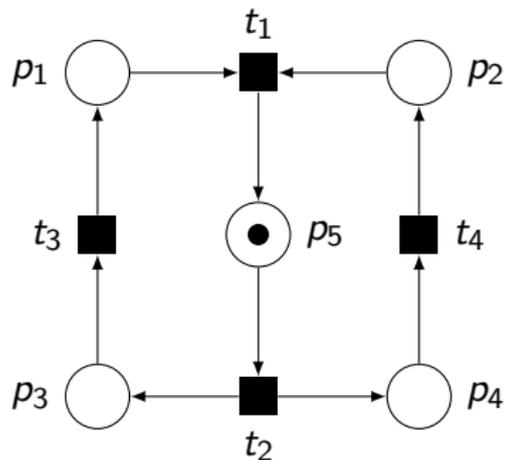
firing sequence:



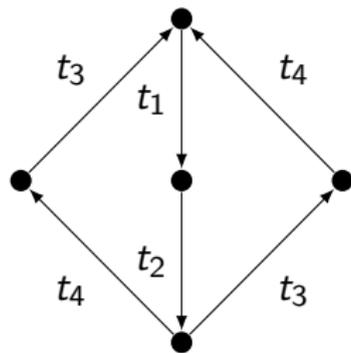
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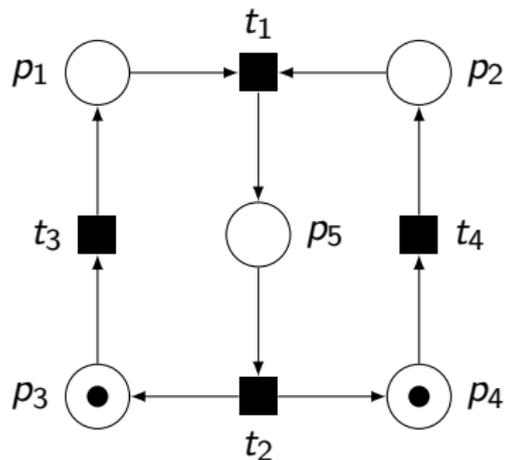
firing sequence:  $t_1$



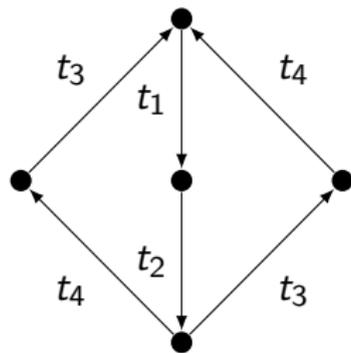
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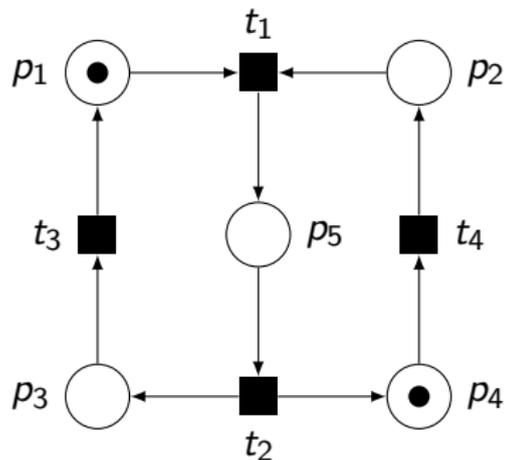
firing sequence:  $t_1 t_2$



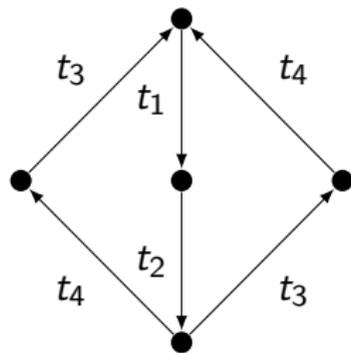
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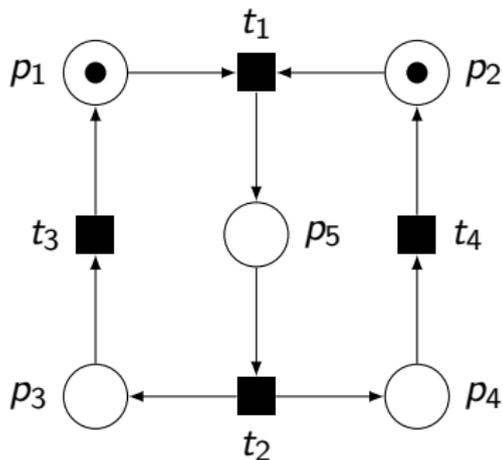
firing sequence:  $t_1 t_2 t_3$



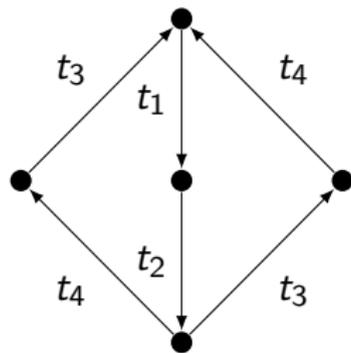
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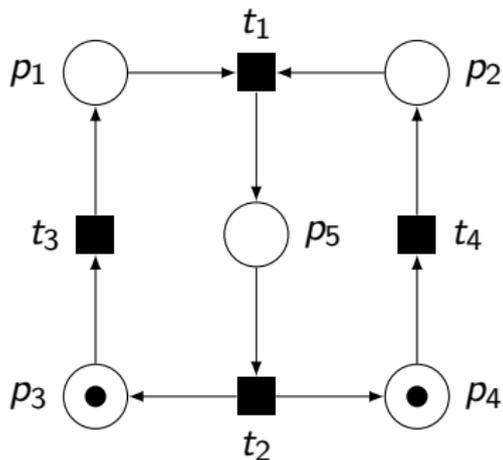
firing sequence:  $t_1 t_2 t_3 t_4 \dots$



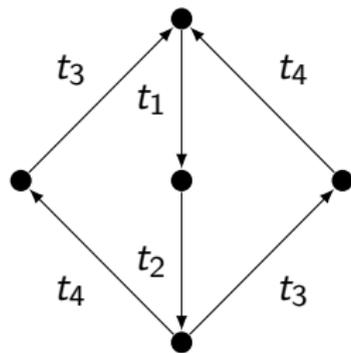
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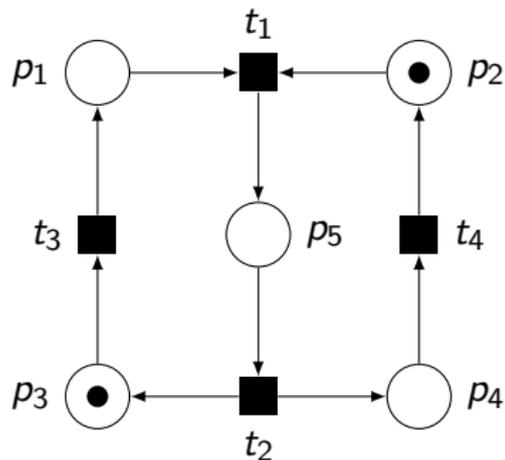
firing sequence:  $t_1 t_2 t_3 t_4 \dots$   
 $t_1 t_2$



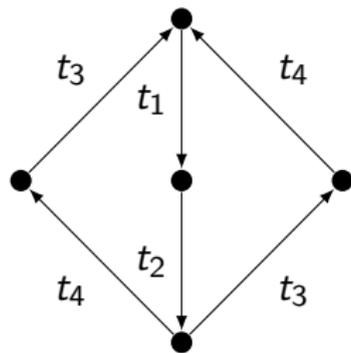
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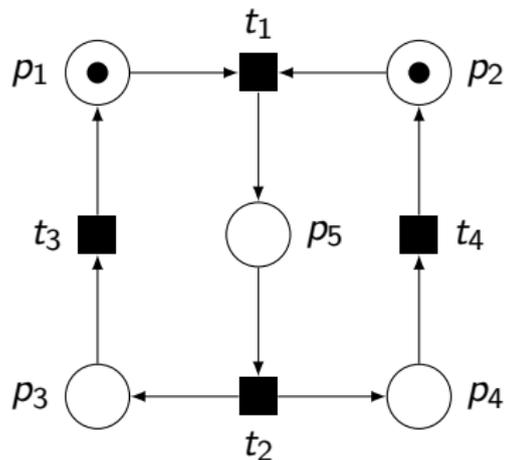
firing sequence:  $t_1 t_2 t_3 t_4 \dots$   
 $t_1 t_2 t_4$



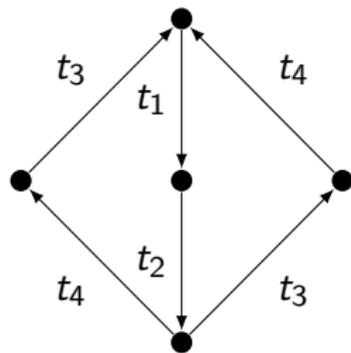
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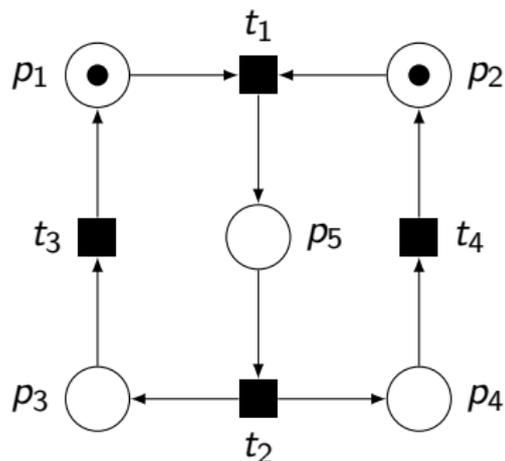
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# Semantics: Mazurkiewicz Traces

## Notions

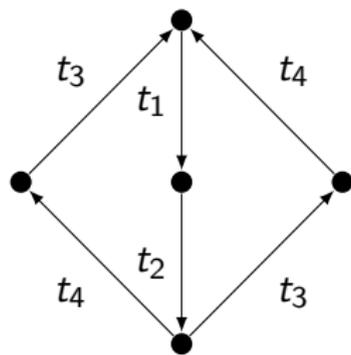
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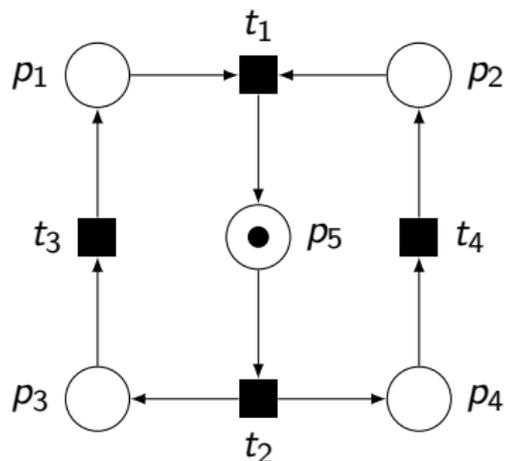
trace:  $t_1 t_2 \{t_3, t_4\} \dots$



# Semantics: Mazurkiewicz Traces

## Notions

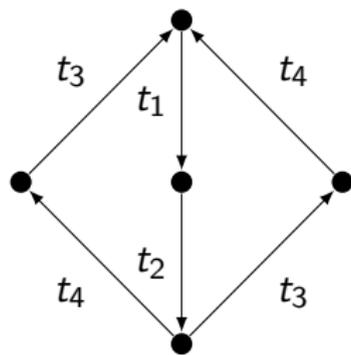
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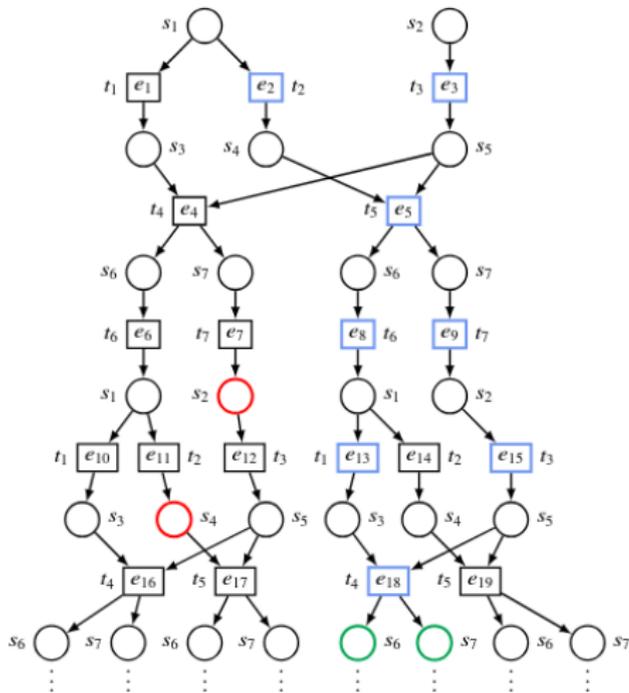
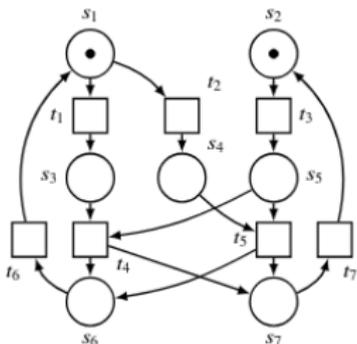
firing sequence:  $t_1 t_2 t_3 t_4 t_1 \dots$

$t_1 t_2 t_4 t_3 t_1 \dots$

trace:  $t_1 t_2 \{t_3, t_4\} t_1 \dots$



# Semantics: Unfoldings



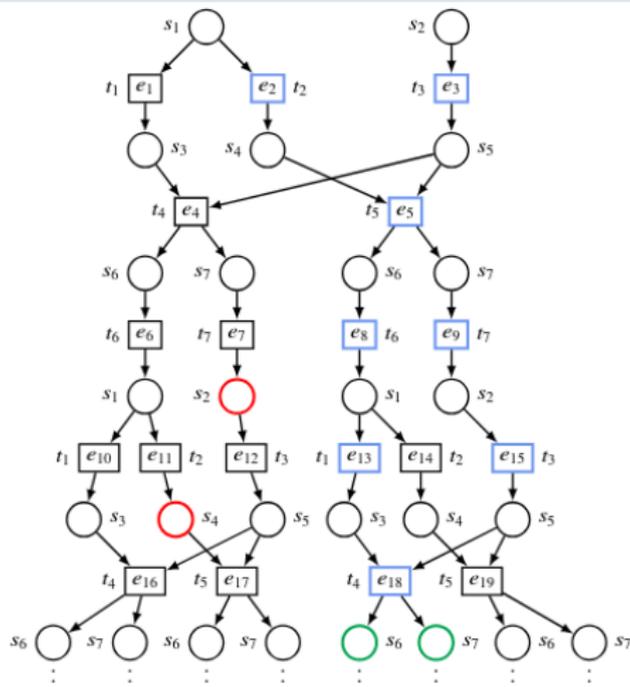
# Semantics: Event Structures

## Definition CAUSALITY, CONFLICT, AND PARALLELISM RELATIONS

Let  $(S, T, F)$  be a (possibly infinite) plain net and let  $x_1, x_2 \in S \cup T$ .

- *Causality*:  $x_1 < x_2$  if there is a nonempty directed path from  $x_1$  to  $x_2$ .
- *Conflict*:  $x_1 \# x_2$ , if there are a place  $s$  and two directed paths  $s t_1 \dots x_1$  and  $s t_2 \dots x_2$  with  $t_1 \neq t_2$ .
- *Parallelism*:  $x_1 co x_2$  if neither  $x_1 < x_2$  nor  $x_2 < x_1$  nor  $x_1 \# x_2$ .

A net is *acyclic* if  $<$  is a partial order.



## Boundedness and Coverability

A P/T net system is *bounded* iff its set of reachable markings is finite.

- General case: EXPSPACE (in  $|P|$ ) and EXPSPACE-complete ,
- PTIME for conflict-free nets (no choices)

## Reachability

Given a P/T net system with initial marking  $m_0$  and target marking  $m_t$ , decide whether  $m_t$  is reachable from  $m_0$ .

- In general, decidable but primitive recursive space!
- Undecidable if we allow for (at least) two zero-test arcs.
- 2EXPTIME if  $|P| \leq 5$
- PSPACE-complete for 1-safe nets ( $\simeq$  E.N.S)
- NP-complete for nets without cycles, and also for conflict-free nets (no choices).
- PTIME for bounded conflict-free nets
- PTIME for marked graphs
- PTIME for nets that are live, bounded, cyclic and free-choice.

## Liveness

Deciding whether for any transition  $t$ , and from any reachable marking, there is another reachable marking that enables  $t$ .

- In general, primitive recursive equivalent to reachability, hence decidable.
- General complexity is an open problem.
- PSPACE-complete for 1-safe nets.
- co-NP-complete free-choice nets.
- PTIME for bounded bounded free-choice nets
- PTIME for conflict-free nets

## Deadlock-freedom

A net is *deadlock-free* iff every reachable marking enables some transition.

- In general, reduction to reachability in PTIME
- PSPACE-complete for 1-safe nets
- NP-complete 1-safe free-choice nets
- PTIME for conflict-free nets.

## Definition: Incidence Matrix

Let  $N = (B, T, F)$  be a net. The *incidence matrix* of  $N$  is defined as the function  $C : B \times T \rightarrow \mathbb{Z}$  such that  $C(b, t) = F(t, b) - F(b, t)$ .

## Structural Boundedness, Structural Liveness

A net  $N = (B, T, F)$  is called

- *structurally bounded*, if for all marking  $M \in \mathbb{N}^B$ , the net system  $(B, T, F, M)$  is bounded.
- *structurally live*, if for all marking  $M \in \mathbb{N}^B$ , the net system  $(B, T, F, M)$  is live.

## Characterisation of Structural Boundedness

$N$  is structurally bounded iff there is a vector  $x \in \mathbb{N}^B$  with  $\forall b \in B . x(b) > 0$  and  $C^t \cdot x \leq 0$ .

## Existence of an Infinite Firing Sequence

Let  $N = (B, T, F)$  be a net. Then there is some marking  $M$  such that  $(B, T, F, M)$  has an infinite firing sequence iff there is a vector  $y \in \mathbb{N}^B$  with  $y > 0$  and  $C \cdot y \geq 0$ .

Chapters 4,5,6,7

Best and Devillers, *Petri Net Primer - A Compendium on the Core Model, Analysis, and Synthesis*