### Poly: a category of remarkable abundance

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Colloquium 2021 February 04

## Outline

### 1 Introduction

- Personal history
- Plan

### 2 Theory

### **B** Applications

### 4 Conclusion

# My personal history with math

I've always believed I could understand self, life, and world with math.

- We generally share experience and knowledge in "natural language".
- Is any of it inherently precluded from mathematical expression?

#### Personal history

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- Is any of it inherently precluded from mathematical expression?

When I learned CT, I thought "this is where I can say it all."

- It's a sublanguage of math that can talk about math.
- It's clean and principled and structural and expressive.
- So I got to work trying to understand self, life, and world.

# My personal history with ACT

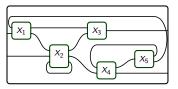
What can we say about self, life, and world?

- I first assumed everything is information and communication.
  - Pretend our minds are information-storage devices.
  - How do we communicate with each other and with reality?
  - Understand everything in terms of databases and data migration!
     (Categories, set-valued functors, parametric right adjoints.)

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    - (Categories, set-valued functors, parametric right adjoints.)
  - But interacting processes didn't seem to fit nicely.
- So then I assumed everything is interacting dynamical systems.
  - It's machines sending each other information, all the way down.



But should they really be wired the same way forever?

#### Personal history

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- Joachim Kock pointed me to R. Garner; I found his HoTTEST talk.
- Garner explained Ahman-Uustalu's result: "comonoids = categories"
- Garner also explained that bimodules = parametric right adjoints.

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Suddenly everything I'd been working on for 13 years came together.

- I was overwhelmed by **Poly**'s elegance and capacity for application.
- It is extremely computational and hands-on...
- ...while displaying excellent formal properties.

## **Toward metaphysics**

I use Poly to help ground my thinking about self, life, and world.

- What does it mean that I can "manipulate objects"?
- How should I think about biological reproduction?
- If it's always now, how do I perceive events that "unfold over time"?
- What is survival? If we change over time, what survives?

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I'm happy to talk with you about these ideas off-line.

# Plan for the talk

Here's the plan for today's talk

Theory

- Define **Poly** and one of its monoidal structures
- Comonoids = categories, coalgebras = copresheaves, etc
- Monoids generalize operads, algebras = operad-algebras, etc

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Think of the talk as a calling card: reach out if you want to discuss!

### Outline

### 1 Introduction

#### 2 Theory

- (Poly, *y*, ⊲)
- Comonoids in **Poly**
- $\blacksquare$  The framed bicategory  $\mathbb P$
- $\blacksquare$  Monads in  $\mathbb P$  generalize operads

#### **3** Applications

#### 4 Conclusion

## **Poly for experts**

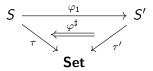
What I'll call the category **Poly** has many names.

- The free completely distributive category on one object;
- The free coproduct completion of Set<sup>op</sup>;
- The full subcategory of [Set, Set] spanned by functors that preserve connected limits;
- The full subcategory of [Set, Set] spanned by coproducts of repr'bles;

### **Poly for experts**

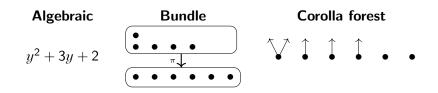
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- The full subcategory of [Set, Set] spanned by coproducts of repr'bles;
- The category of typed sets and colax maps between them.
  - Objects: pairs  $(S, \tau)$ , where  $S \in \mathbf{Set}$  and  $\tau \colon S \to \mathbf{Set}$ .
  - Morphisms  $(S, \tau) \xrightarrow{\varphi} (S', \tau')$ : pairs  $(\varphi_1, \varphi^{\sharp})$ , where

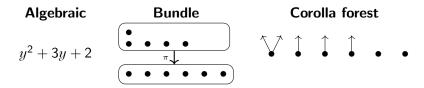


But let's make this easier.

# What is a polynomial?



# What is a polynomial?



Interpretations:

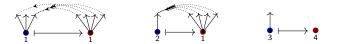
- Each corolla in p is a decision; its leaves are the options.
- Each corolla in *p* is a position; its leaves are directions.

# What is a morphism of polynomials?

Let 
$$p := y^3 + 2y$$
 and  $q := y^4 + y^2 + 2$ 



A morphism  $p \xrightarrow{\varphi} q$  delegates each *p*-decision to a *q*-decision, passing back options:



Example: how to think of a map  $y^2 + y^6 \rightarrow y^{52}$ .

# The category of polynomials

Easiest description: Poly = "sums of representables functors  $Set \rightarrow Set$ ".

- For any set S, let  $y^{S} := \mathbf{Set}(S, -)$ , the functor *represented* by S.
- Def: a polynomial is a sum  $p = \sum_{i \in I} y^{p[i]}$  of representable functors.
- Def: a morphism of polynomials is a natural transformation.
- In **Poly**, + is coproduct and × is product.

### Notation

We said that a polynomial is a sum of representable functors

$$p \cong \sum_{i \in I} y^{p[i]}.$$

But note that  $I \cong p(1)$ . So we can write

$$p \cong \sum_{i \in p(1)} y^{p[i]}$$

# Composition monoidal structure (Poly, y, $\triangleleft$ )

The composite of two polynomial functors is again polynomial.

- Let's denote the composite of p and q by  $p \triangleleft q$ .
- Example: if  $p := y^2$ , q := y + 1, then  $p \triangleleft q \cong y^2 + 2y + 1$ .
- **This is a monoidal structure, but not symmetric.**  $(q \triangleleft p \cong y^2 + 1)$
- The identity functor y is the unit:  $p \triangleleft y \cong p \cong y \triangleleft p$ .

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Why the we weird symbol  $\triangleleft$  rather than  $\circ$ ?

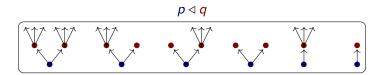
- We want to reserve  $\circ$  for morphism composition.
- The notation  $p \triangleleft q$  represents trees with p under q.

# Composition given by stacking trees

Suppose  $p := y^2 + y$  and  $q := y^3 + 1$ .



Draw the composite  $p \triangleleft q$  by stacking *q*-trees on top of *p*-trees:



You can also read it as q feeding into p, which is how composition works.

# Comonoids in $(Poly, y, \triangleleft)$

In any monoidal category  $(\mathcal{M}, I, \otimes)$ , one can consider comonoids.

- A comonoid is a triple  $(m, \epsilon, \delta)$  satisfying certain rules, where
  - $m \in \mathcal{M}$  is an object, the *carrier*,
  - $\epsilon \colon m \to I$  is a map, the *counit*, and
  - $\delta: m \to m \otimes m$  is a map, the *comultiplication*.

In (**Poly**, y,  $\triangleleft$ ), comonoids are exactly categories!<sup>1</sup>

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In (**Poly**, y,  $\triangleleft$ ), comonoids are exactly categories!<sup>1</sup>

 $\blacksquare$  If  ${\mathcal C}$  is a category, the corresponding comonoid is

$$\mathfrak{c} := \sum_{i \in \mathsf{Ob}(\mathcal{C})} y^{\mathfrak{c}[i]}$$

where c[i] is the set of morphisms in C that emanate from i.

- The counit  $\epsilon \colon \mathfrak{c} \to y$  assigns to each object an identity.
- The comult  $\delta: \mathfrak{c} \to \mathfrak{c} \triangleleft \mathfrak{c}$  assigns codomains and composites.

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# Comonoid maps are "cofunctors"

In Poly, comonoids are categories, but their morphisms aren't functors.

- A comonoid morphism  $\varphi \colon \mathcal{C} \nrightarrow \mathcal{D}$  is called a *cofunctor*.
- It includes a **Poly** map on carriers. For each object  $i \in \mathfrak{c}(1)$ , we get:
  - $lacksymbol{a}$  an object  $j\coloneqq arphi_1(i)\in \mathfrak{d}(1)$  and
  - for each emanating  $f \in \mathfrak{d}[j]$ , an emanating  $\varphi_i^{\sharp}(f) \in \mathfrak{c}[i]$ .

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Example: what is a cofunctor  $C \xrightarrow{\varphi} y^{\mathbb{N}}$  ?

- It is trivial on objects. On morphisms...
- ... it assigns an emanating morphism  $\varphi_i^{\sharp}(1)$  to each object  $i \in \mathfrak{c}(1)$ .

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"That's not what you do with a category!"

- Cofunctors are kinda weird right? A whole new world to explore.
- A cofunctor  $C \twoheadrightarrow y^{\mathbb{N}}$  is like a vector field on the category.
- This hints at applications, which are coming soon.

# Bicomodules in (Poly, y, $\triangleleft$ )

Given comonoids  $\mathcal{C}, \mathcal{D}$ , a  $(\mathcal{C}, \mathcal{D})$ -bicomodule is another kind of map.

■ It's a polynomial *m*, equipped with two maps

 $\mathfrak{c} \triangleleft m \longleftarrow m \longrightarrow m \triangleleft \mathfrak{d}$ 

each cohering naturally with the comonoid structure  $\epsilon, \delta$ . I denote this  $(\mathcal{C}, \mathcal{D})$ -bicomodule *m* like so:

$$\mathfrak{c} \triangleleft \overset{m}{\longleftarrow} \mathfrak{d}$$
 or  $\mathcal{C} \triangleleft \overset{m}{\longleftarrow} \mathcal{D}$ 

 $\blacksquare$  The  $\lhd$  's at the ends help me remember the how the maps go.

Maybe it looks like it's going the wrong way, but hold on.

### Bicomodules are parametric right adjoints

Garner explained<sup>2</sup> that bicomodules  $m \in {}_{\mathcal{C}}\mathbf{Mod}_{\mathcal{D}}$ , which we've denoted

 $\mathcal{C} \triangleleft \stackrel{m}{\longrightarrow} \mathcal{D}$ 

can be identified with parametric right adjoint functors (prafunctors)

 $\mathcal{D}$ -Set  $\xrightarrow{M} C$ -Set.

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From this perspective the arrow points in the expected direction.
 Check: <sub>C</sub>Mod<sub>0</sub> ≅ C-Set.

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Prafunctors  $\mathcal{C} \triangleleft \longrightarrow \mathcal{D}$  generalize profunctors  $\mathcal{C} \longrightarrow \mathcal{D}$ :

- A profunctor  $\mathcal{C} \to \mathcal{D}$  is a functor  $\mathcal{C} \to (\mathcal{D}\text{-}\mathbf{Set})^{\mathsf{op}}$
- A prafunctor  $\mathcal{C} \triangleleft \longrightarrow \mathcal{D}$  is a functor  $\mathcal{C} \rightarrow \mathbf{Coco}((\mathcal{D}\operatorname{-}\mathbf{Set})^{\operatorname{op}})...$

• ...where **Coco** is the free coproduct completion.

I'll explain how to think about it concretely when we get to applications.

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# The framed bicategory $\mathbb{P}$

**Poly** comonoids, cofunctors, and bicomodules form a framed bicategory  $\mathbb{P}$ .

- It's got a ton of structure, e.g. two monoidal structures,  $+, \otimes$ .
- Despite the last slide, it's actually not that hard to think about.

Here are some facts about  ${}_{\mathcal{C}}\mathbf{Mod}_{\mathcal{D}}$  for categories  $\mathcal{C}, \mathcal{D}$ .

- **•**  $\mathcal{D}$  **Mod**<sub>0</sub>  $\cong \mathcal{D}$ -**Set**, copresheaves on  $\mathcal{D}$ .
- $_1$ Mod $_{\mathcal{D}} \cong$  Coco $((\mathcal{D}$ -Set)<sup>op</sup>).
- $_{\mathcal{C}}\mathsf{Mod}_{\mathcal{D}}\cong\mathsf{Cat}(\mathcal{C},{}_{1}\mathsf{Mod}_{\mathcal{D}}).$

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We can think about  ${}_1\mathbf{Mod}_{\mathcal{D}}$  as something like a polynomial rig in  $\mathcal{D}$ .

- If  $\mathcal{D} = J$  is discrete, it's the rig of polynomials in variables  $(y^j)_{j \in J}$ .
- So *Mod* is *I*-many polynomials in *J* variables, as in Gambino-Kock.

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- So <sub>1</sub>**Mod**<sub>J</sub> is 1-many polynomials in J variables, as in Gambino-Kock.
- For general  $\mathcal{D}$ , note that  $y^- : \mathcal{D} \to (\mathcal{D}\operatorname{-}\mathbf{Set})^{\operatorname{op}}$  is free limit completion.
- So just generalize from sums of D-products to sums of D-limits, e.g.

$$y^{a}y^{a} + 42 \lim(y^{a} \xrightarrow{f} y^{c} \xleftarrow{g} y^{b}) \in {}_{1}\mathbf{Mod}_{\mathcal{D}}$$

(Here,  $f: a \rightarrow c$  and  $g: b \rightarrow c$  are morphisms in  $\mathcal{D}$ ).

### Operads as monads in $\mathbb P$

In any framed bicategory, notation from  $\mathbb{P}$ , a monad  $(C, m, \eta, \mu)$  consists of

- An object *C*, the *type*
- **a** bimodule  $C \triangleleft \stackrel{m}{\longrightarrow} C$ , the *carrier*
- **a** 2-cell  $\eta$ : id<sub>c</sub>  $\Rightarrow$  *m*, the *unit*
- a 2-cell  $\mu$ :  $m \circ m \Rightarrow m$ , the multiplication
- satisfying the usual laws.

<sup>&</sup>lt;sup>3</sup>Not quite the standard definition of operad, but one I like better: the input to a morphism is a set, rather than a list of objects. You can also talk about standard operads and generalizations within the  $\mathbb{P}$  setting; see Gambino-Kock.

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In  $\mathbb{P}$ , these generalize operads in a number of ways:

- When  $C \cong I$  is discrete,  $\eta^{\sharp}, \mu^{\sharp}$  are isos, you get colored operads.<sup>3</sup>
- Relaxing discreteness of C, the input to a morphism can be...
- ... a diagram, rather than a mere set, of objects.
- Relaxing "iso" condition, composites and ids can have "weird" arities.

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## Grothendieck sites give $\mathbb{P}$ -monads

Every Grothendieck site  $(\mathcal{C}^{op}, J)$  has an associated monad  $m_J$  in  $\mathbb{P}$ .

- A J-sheaf is an  $m_J$ -algebra, but not all  $m_J$ -algebras are J-sheaves.
- An  $m_J$ -algebra has existence, but not necess'ly uniqueness for gluing.

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To each Grothendieck top'y *J*, we need  $(m, \eta, \mu)$  where  $C \triangleleft m \triangleleft C$ .

- The topology J assigns to each  $V \in C$  a set  $J_V$ , "covering families"...
- ... and each  $F \in J_V$  is assigned a subfunctor  $S_F \subseteq C[V]$ .

From this data we define  $m \in \mathbf{Poly}$ :

$$m := \sum_{V \in \mathsf{Ob}(\mathcal{C})} \sum_{F \in J_V} y^{S_F}.$$

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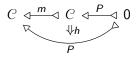
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An algebra structure  $m \circ P \xrightarrow{h} P$  assigns a section  $h_V(F, s) \in P_V$  to each V-covering family F and matching family s of sections.



## Outline

### **1** Introduction

### 2 Theory

### **3** Applications

- Dynamical systems
- Databases
- Cellular automata

### 4 Conclusion

### **Moore machines**

### Definition

Given sets A, B, an (A, B)-Moore machine consists of:

- a set *S*, elements of which are called *states*,
- a function  $r: S \rightarrow B$ , called *readout*, and
- a function  $u: S \times A \rightarrow S$ , called *update*.
- It is initialized if it is equipped also with
  - an element  $s_0 \in S$ , called the *initial state*.

We refer to A as the *input set*, B as the *output set*, and (A, B) as the *interface* of the Moore machine.



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- a function  $u: S \times A \rightarrow S$ , called *update*.

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We refer to A as the *input set*, B as the *output set*, and (A, B) as the *interface* of the Moore machine.

Dynamics: an (A, B)-Moore machine  $(S, u, r, s_0)$  is a "stream transducer":

- Given a list/stream  $[a_0, a_1, \ldots]$  of A's...
- let  $s_{n+1} \coloneqq u(s_n, a_n)$  and  $b_n \coloneqq r(s_n)$ .
- We thus have obtained a list/stream  $[b_0, b_1, \ldots]$  of B's.



## Moore machines

### Definition

Given sets A, B, an (A, B)-Moore machine consists of:

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- a function  $r: S \rightarrow B$ , called *readout*, and
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- We thus have obtained a list/stream  $[b_0, b_1, ...]$  of *B*'s. This all works because  $Sy^S$  is a comonoid.



# Moore machines as maps in Poly

An uninitialized Moore machine  $r: S \rightarrow B$  and  $u: S \times A \rightarrow S$  is:

- A map of polynomials  $Sy^S \to By^A$ .
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- For arbitrary  $p \in \mathbf{Poly}$  we can interpret a map  $\varphi \colon Sy^{\mathsf{S}} \to p$  as:
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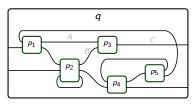
Even more general:  $Sy^S \not\rightarrow C$  for any category C.

• For example, a map  $Sy^S \rightarrow p$  can be identified with a cofunctor...

• ...  $Sy^S \rightarrow Cofree_p$ , where  $Cofree_p$  is the *cofree comonoid* on *p*.

# Wiring diagrams

We can have a bunch of dynamical systems interacting in an open system.



 $(\varphi)$ 

Each box represents a monomial, e.g.  $p_3 = Cy^{AB} \in \mathbf{Poly}$ .

- The whole interaction,  $p_1$  sending outputs to  $p_2$  and  $p_3$ , etc....
- ... is captured by a map of polynomials  $\varphi \colon p_1 \otimes \cdots \otimes p_5 \to q$ .<sup>4</sup>
  - Given the positions (outputs) of each  $p_i$ , we get an output of q...
  - ... and when given an input of q, each  $p_i$  gets an input.

<sup>4</sup>Here  $p \otimes p'$  just multiplies positions and directions,

$$\boldsymbol{p}\otimes \boldsymbol{p}' = \sum_{(i,i')\in \boldsymbol{p}(1)\times \boldsymbol{p}'(1)} y^{\boldsymbol{p}[i]\times \boldsymbol{p}'[i']}$$

# More general interaction



This whole picture represents one morphism in Poly.

- Let's suppose the company chooses who it wires to; this is its mode.
- Then both suppliers have interface wy.
- Company interface is  $2y^w$ : two modes, each of which is *w*-input.
- The outer box is just y, i.e. a closed system.

So the picture represents a map  $wy \otimes wy \otimes 2y^w \to y$ .

- That's a map  $2w^2y^w \rightarrow y$ .
- Equivalently, it's a function  $2w^2 \rightarrow w$ . Take it to be evaluation.
- In other words, the company's choice determines which w it receives.

# Other sorts of dynamical systems

Dynamical systems are usually defined as actions of a monoid T.

- **Discrete**:  $\mathbb{N}$ , reversible:  $\mathbb{Z}$ , real-time:  $\mathbb{R}$ .
- If T is a monoid and S is a set, a T-action on S is equivalently...
- ... a map  $S \times T \rightarrow S$  satisfying two laws, which is equivalently...
- ... a cofunctor  $Sy^S \rightarrow y^T$ , as in our general definition above.

#### Databases

# **Categorical databases**

One view on databases is that they're basically just copresheaves.



A functor  $I: \mathcal{C} \to \mathbf{Set}$  (i.e.  $\mathcal{C} \xleftarrow{I} \mathbf{0}$ ) can be represented as follows:

Employee	WorksIn	Mngr	Department	Secr
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But where's the data? What are the employees names, etc.?

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More realistically, data should include attributes and look like this:

Employee	FName	WorksIn	Mngr	Department	DName	Secr
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• Assign a copresheaf  $T: Ob(C) \rightarrow Set$ , e.g. T(Employee) = String.

■ Using the canonical cofunctor  $\mathcal{C} \rightarrow \mathsf{Ob}(\mathcal{C})$ , attributes are given by  $\alpha$ :

# **Data migration**

The framed bicategory structure of  $\ensuremath{\mathbb{P}}$  is very useful in databases.

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- First, it's a functor  $\mathcal{C} \to {}_1\mathbf{Mod}_{\mathfrak{D}}$ , so what's that?
- We said it's a formal coproduct of formal limits in D.
- A formal limit in 𝔅 is called a *conjunctive query* on 𝔅.
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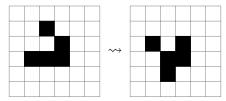
Example: if  $\mathcal{D} = \begin{pmatrix} \mathsf{City} & \mathsf{in} \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{pmatrix}$ , a duc-query might be...

 $(\mathsf{City} \times_{\mathsf{State}} \mathsf{City}) + (\mathsf{City} \times_{\mathsf{State}} \mathsf{County}) + (\mathsf{County} \times_{\mathsf{State}} \mathsf{County})$ 

A general bimodule  $P \in {}_{\mathcal{C}}\mathbf{Mod}_{\mathcal{D}}$  is a C-indexed duc-query on  $\mathcal{D}$ .

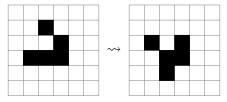
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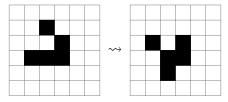
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- Each square has neighbors; think of the grid as a graph  $A \rightrightarrows V$ .
- Each square can be in one of two states: white or black.
- The state at any square is updated according to a formula, e.g. If the square is ■ and has 2 or 3 ■ neighbors, it stays ■.
   If the square is □ and has 3 ■ neighbors, it turns ■.
   Otherwise it turns / remains □.

# Cellular automata as algebras in $\ensuremath{\mathbb{P}}$

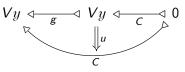
How do we encode this in  $\mathbb{P}$ ?

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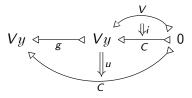
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  - In GoL, each  $v \in V$  gets the set 2; i.e. C := 2V.
- We encode the update formula as a map u of prafunctors
- And we encode the initial color setup as a point  $V \rightarrow C$ :



From here you can iteratively "run" the cellular automaton.

## Outline

**1** Introduction

### 2 Theory

### **B** Applications

### **4** Conclusion

- Future outlook
- Summary

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It is as promising a direction as anything I know of.

# Workshop on polynomial functors in March

Joachim Kock and I are organizing a **Poly** workshop.<sup>5</sup>

Dates: March 15 – 19

Speakers:

Thorsten Altenkirch Michael Batanin Marcelo Fiore David Gepner Rune Haugseng André Joyal Kristina Sojakova Ross Street Steve Awodey Bryce Clarke Richard Garner Helle Hvid Hansen Bart Jacobs Fredrik Nordvall-Forsberg David Spivak Tarmo Uustalu

<sup>&</sup>lt;sup>5</sup>https://topos.site/p-func-2021-workshop/

# Future Topos Institute colloquia

This is the first of a series of Topos Institute colloquia.

- More info here: https://topos.site/seminars/
- Next few speakers
  - Richard Garner
  - Gunnar Carlsson
  - Samson Abramsky

Please join us!

## Summary

Poly is a category of remarkable abundance.

- It's completely combinatorial.
  - Calculations are concrete.

• Much is already familiar, e.g.  $(y+1)^2 \cong y^2 + 2y + 1$ .

- It's theoretically beautiful.
  - Comonoids are categories, coalgebras are copresheaves.
  - Monoids generalize operads.
- It's got a wide scope of applicatons.
  - Databases and data migration.
  - Dynamical systems and cellular automata.

A single setting for pursuing real philosophical and technological progress.

Thanks! Questions and comments welcome.