Two-dimensional semantics of homotopy type theory

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1 Overview

- **2** Type theory in stacks
- **3** Making things strict
- 4 Model categories
- **5** Type theory in model categories

Martin-Löf dependent type theory is both:

- 1 An internal language for statements that are true in any topos.
- 2 A programming language for certifiably correct programs.

Example

This is both

- 1 A theorem about list objects in any topos.
- **2** A verified property of a program acting on lists.

In both cases we have parallel problems that:

- 1 Many interesting categories are not toposes.
- **2** Many programming languages are not dependently typed.

Indeed, simply typed programming languages correspond closely to less structured categories, such as cartesian closed ones.

So the rich structure of dependent types is not directly available.

A solution is the Yoneda embedding ${\mathbb L}: {\mathcal C} \hookrightarrow {\mathcal {PC}}.$

Theorem (D.S. Scott, 1980)

For any category C, the embedding \ddagger into its presheaf topos $\mathcal{P}C$ is fully faithful and preserves any limits and exponentials that exist. Using sheaves Sh(C) instead, it also preserves "good" colimits.

Thus, we can use dependent type theory to reason about arbitrary categories and programming languages, by

- 1 Embedding them in a topos,
- 2 Reasoning in the topos,
- **3** Using full-faithfulness and preservation to reflect conclusions.

For programming, this has recently been advocated under the name native type theory by Williams and Stay (arXiv:2102.04672).

This works well for all the structure of dependent type theory except universes.

- Universes in a general topos require inaccessible cardinals.
- General universes in \mathcal{PC} are not related to \mathcal{C} .
- Universes in a topos impose an unnatural "equality of objects". And yet, in both category theory and programming, we certainly want to be polymorphic over objects/types:

(++): forall (A:Type), List(A) -> List(A) -> List(A)

Idea

There should be a universe ${\cal U}$ in ${\cal PC}$ whose elements "are" the objects of ${\cal C}.$

$$\begin{aligned} \mathcal{U}(I) &\cong \mathcal{P}\mathcal{C}(\angle I, \mathcal{U}) &= \text{``I-indexed families of} \\ &\text{objects of } \mathcal{C}^{''} \\ &\cong \text{ob}\left(\frac{\mathcal{C}}{I}\right) & \left(\text{From } (A_i)_{i:I} \text{ in Set}, \\ &\text{get } &\geq_i A_i \to I \\ &\text{ in Set}/I \end{array} \end{aligned}$$

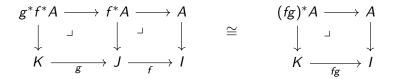
Problem

This is not functorial! The reindexing action should be by pullback, but that is not strictly functorial.

Solution

The collection of arrows $A \rightarrow I$ in C should not be regarded as a set, but as a category: the slice category C/I.

Now $I \mapsto C/I$ is pseudo-functorial.



For technical reasons (later) we use instead the groupoid $\operatorname{core}(\mathcal{C}/I)$, containing only the isomorphisms in \mathcal{C}/I .

Given a category (or language) $\mathcal{C},$ we give:

- A dependent type theory with:
 - Σ -types, Π -types, sum types, and quotient types
 - One universe $\ensuremath{\mathcal{U}}$ that is univalent, meaning isomorphic types are indistinguishable.
- An interpretation of this type theory in a (2, 1)-topos of (pre)sheaves of groupoids on C.

This is a simplified version of homotopy type theory and its semantics in $(\infty, 1)$ -toposes (sheaves of ∞ -groupoids). Ordinary groupoids are much easier than ∞ -groupoids, and often sufficient.

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Example (For the rest of this talk)

Let ${\mathcal C}$ be a cartesian closed category with finite coproducts that are disjoint and stable.

- This is a reasonable first approximation to the semantics of a simple functional programming language.
- The same methods also work for categories with more or less structure of a similar sort.

Definition

A stack is a *pseudo* functor $F : C^{op} \leadsto Gpd$ such that the canonical maps are equivalences

$$F(0) \simeq 1$$
 $F(A+B) \simeq F(A) \times F(B).$

Examples of stacks

Example

For any $A \in C$, the representable functor

(regarded as a discrete groupoid) is a stack.

Example

If C has all pullbacks, the universe of representables

$$\mathcal{U}(X) = \operatorname{core}(\mathcal{C}/X)$$

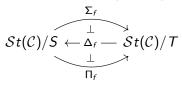
is a stack, with functorial action by pullback.

Otherwise, we can restrict to the full subcategory $C/\!\!/X$ of C/X whose objects are the product projections $A \times X \to X$.

"Type theory" in stacks

Let St(C) denote the 2-category of stacks and pseudonatural transformations. Informally, we expect:

- A type A is interpreted by a stack.
- A family of types B_x indexed by x : A is interpreted by a morphism of stacks B → A.
- Substitution (reindexing) $\{B_{f(x)}\}_{x:C}$ is interpreted by the pullback of $B \to A$ along $f: C \to A$.
- The dependent sum $\sum_{x:A} B_x$ and dependent product $\prod_{x:A} B_x$ are interpreted by left and right (pseudo) adjoints to pullback.



NB

This doesn't quite work yet, but for now let's assume it does.

Representables in stacks

An object $A \in C$ corresponds to the representable $\& A \in St(C)$.

Fact

- The Yoneda embedding $\&: \mathcal{C} \hookrightarrow \mathcal{S}t(\mathcal{C})$ preserves limits, exponentials, and sums (the latter because we used stacks).
- Thus, these type operations in St(C) applied to representables reduce to those of C.

$$\pounds A \times \pounds B = \sum_{x: \pounds A} \pounds B \cong \pounds (A \times B)$$
$$\pounds A \to \pounds B = \prod \pounds B \cong \pounds (A \to B)$$

$$\&A + \&B \cong \&(A + B)$$

x:±A

Inside the "type theory" of St(C) we have a copy of C.

Identity and isomorphism types

The identity type a = b, for a, b : A, is interpreted by the stack

$$A^{\cong}(X) = \left\{ (x_1, x_2, \xi) \mid x_1 \in A(X), x_2 \in A(x), \xi : x_1 \cong x_2 \right\}$$

of isomorphisms in A, with projection to $A \times A$.

- A type A is contractible if ∑_{x:A} ∏_{y:A} x = y: there is an object x that is *naturally* isomorphic to every other object.
- A is a proposition if each x = y is contractible, and a set if each x = y is a proposition. The sets in the type theory of St(C) are the sheaves on C.
- Since C is a 1-category and L preserves identity types, all representables LA are sets.
- Since St(C) is a 2-category (not an ∞-category), all identity types x = y are sets, i.e. all types are 1-types.

Definition

A predicate on $A \in St(C)$ is a fully faithful inclusion $P \hookrightarrow A$, i.e. a family $\{P_x\}_{x:A}$ of propositions.

A predicate on a representable &A is a closed sieve on $A \in C$: a set P of morphisms $X \to A$ such that

1) If
$$f \in P$$
 then $fg \in P$.

- **2** The unique map $0 \rightarrow A$ is in *P*.
- **3** If $f: X \to A$ and $g: Y \to A$ are in P, so is their copairing $[f,g]: X + Y \to A$.

Example

For $h: A \to B$ in \mathcal{C} , the predicate $\{\exists_{a: \& A} h(a) = b\}_{b: \& B}$ is the sieve of all $f: X \to B$ that factor through h: the image of & h in $St(\mathcal{C})$.

Question

Why do we use stacks of groupoids instead of categories?

- For categories, we would want the stack A[→] of morphisms instead of A[≅] of isomorphisms. But:
 - A^{\rightarrow} doesn't obey the ordinary rules of identity types.
 - We can write down rules for it (Licata-Harper), but they're not as pretty or convenient, and hard to generalize.
- **2** Pullback $f^* : Cat/B \to Cat/A$ doesn't generally have even a right pseudo adjoint.
 - It does if f is a fibration or opfibration...
 - So we might try to introduce "types with variance"...
 - But plenty of important dependent types, like sieves, don't have either variance!

With groupoids, we can use ordinary identity types and Π -types.

The universe type \mathcal{U} is the universe of representables, $\mathcal{U}(X) = \operatorname{core}(\mathcal{C}/\!\!/ X)$. Essentially by definition this gives:

The univalence axiom

For A, B : U, we have $(A = B) \cong (A \cong B)$ canonically.

In particular, the type ${\cal U}$ is not generally a "set", since two objects of ${\cal C}$ can be isomorphic in more than one way.

Isomorphism invariance

Since everything is invariant under *equality*, univalence implies everything we can say about A : U is invariant under isomorphism: we treat C truly category-theoretically.

By the Yoneda lemma, $\operatorname{Hom}_{\mathcal{S}t(\mathcal{C})}({}^{\sharp}A, \mathcal{U}) \simeq \mathcal{U}(A)$. Thus:

- A representable type family $B : \& A \to \mathcal{U}$ is an object of \mathcal{C} .
- An equality *kB* = *kC* of such families over *kA* is an isomorphism *A* × *B* ≅ *A* × *C* in *C*/*A*.

Example

A morphism $\mathcal{U} \to \mathcal{U}$ (or $\mathcal{U} \to \mathcal{U} \to \mathcal{U}$, etc.) is an operation on objects of \mathcal{C} that is functorial on isomorphisms *in all slices*:

 $(+): \mathcal{U} \rightarrow \mathcal{U} \rightarrow \mathcal{U}$ List : $\mathcal{U} \rightarrow \mathcal{U}$

Example

A function parametrized by A : U is a family of morphisms in C that is natural with respect to isomorphisms in all slices. E.g.

$$\begin{split} & \texttt{inl}: \prod_{A,B:\mathcal{U}} \left(A \to A + B\right) \\ & \texttt{inr}: \prod_{A,B:\mathcal{U}} \left(B \to A + B\right) \\ & \texttt{(++)}: \prod_{A:\mathcal{U}} \left(\texttt{List}(A) \to \texttt{List}(A) \to \texttt{List}(A)\right) \end{split}$$

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We can't actually interpret type theory directly in St(C).

Problem

The rules of type theory give strict 1-categorical universal properties, not the 2-categorical "up to equivalence" ones in St(C).

Example

The rules for pairs:

$$\pi_1(a,b)\equiv a$$
 $\pi_2(a,b)\equiv b$ $s\equiv(\pi_1(s),\pi_2(s))$

say that maps $X \to A \times B$ are bijective with pairs of maps $X \to A$ and $X \to B$, not merely equivalent as hom-groupoids.

We could replace strict equality \equiv by something weaker, but we would lose computational behavior and become harder to use.

Solution

Use strict objects to represent weak ones.

Consider the case of presheaves first.

- Let $[C^{op}, Gpd]$ be the 2-category of strict functors $C^{op} \rightarrow Gpd$ and strict natural transformations.
- Let $[\mathcal{C}^{\mathrm{op}}, \mathcal{G}pd]_{ps}$ be the 2-category of pseudofunctors $\mathcal{C}^{\mathrm{op}} \leadsto \mathcal{G}pd$ and pseudonatural transformations.

Theorem (Blackwell-Kelly-Power, Lack, ...)

The "inclusion" $[\mathcal{C}^{\mathrm{op}},\mathcal{G}pd] \to [\mathcal{C}^{\mathrm{op}},\mathcal{G}pd]_{\mathsf{ps}}$ has a left adjoint \mathfrak{Q} and a right adjoint $\mathfrak{R}.$

We have a strict unit $A \to \mathfrak{R}A$ (for strict A) and a pseudo counit $\mathfrak{R}B \longrightarrow B$ (for pseudo B): inverse equivalences in $[\mathcal{C}^{\mathrm{op}}, \mathcal{G}pd]_{\mathrm{ps}}$.

The functor $[\mathcal{C}^{\mathrm{op}},\mathcal{G}\rho d]\to [\mathcal{C}^{\mathrm{op}},\mathcal{G}\rho d]_{ps}$ is not a (bi)equivalence, but becomes so when we restrict its domain to a full sub-2-category.

Definition

A strict functor $A : \mathcal{C}^{\mathrm{op}} \to \mathcal{G}pd$ is fibrant (a.k.a. coflexible) if the unit $A \to \mathfrak{R}A$ has a retraction in $[\mathcal{C}^{\mathrm{op}}, \mathcal{G}pd]$.

Let $[\mathcal{C}^{\mathrm{op}}, \mathcal{G}pd]_{\mathfrak{R}} \subseteq [\mathcal{C}^{\mathrm{op}}, \mathcal{G}pd]$ consist of the fibrant objects.

Theorem (Blackwell-Kelly-Power, Lack, ...)

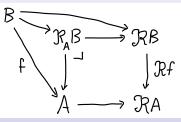
The composite $[\mathcal{C}^{\mathrm{op}}, \mathcal{G}pd]_{\mathfrak{R}} \hookrightarrow [\mathcal{C}^{\mathrm{op}}, \mathcal{G}pd] \to [\mathcal{C}^{\mathrm{op}}, \mathcal{G}pd]_{\mathsf{ps}}$ is a (bi)equivalence, with inverse \mathfrak{R} .

Fibrations of presheaves

- $[\mathcal{C}^{\mathrm{op}}, \mathcal{G}\textit{pd}]_{\mathfrak{R}}$ is a CCC, but not finitely complete or LCCC.
- It interprets strict product types and exponentials, but not substitution of type families or Π-types.

Solution

Interpret type families $(B_x)_{x \in A}$ as "special" morphisms $B \to A$.



Definition

 $f: B \to A$ is a fibration if each $f_c: B_c \to A_c$ is a fibration of groupoids and $B \to \mathfrak{R}_A f$ has a retraction over A.

- **1** A is fibrant iff $A \rightarrow 1$ is a fibration.
- **2** If $B \to A$ is a fibration and A is fibrant, so is B.
- **3** Fibrations are closed under pullback in $[C^{op}, Gpd]$.
- **4** Fibrations are closed under pushforward in $[C^{op}, Gpd]$.
- **5** If $B \to A$ and $C \to A$ are fibrations, so is $B + C \to A$.
- **6** $A^{\cong} \to A \times A$ is a fibration.
- 7 Every representable is fibrant.
- ${\color{black}{\scriptsize 8}}$ The universal map over ${\mathfrak R}{\mathcal U}$ is a fibration, and ${\mathfrak R}{\mathcal U}$ is fibrant.

This is enough to actually interpret type theory in $[\mathcal{C}^{\mathrm{op}}, \mathcal{G}pd]_{\mathfrak{R}}$.

To do something similar in the 2-category St(C) of stacks, we hope for a similar notion of local fibration such that:

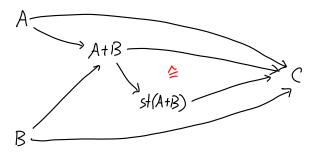
- **1** A is a fibrant stack iff $A \rightarrow 1$ is a local fibration.
- **2** If $B \rightarrow A$ is a local fibration and A is a fibrant stack, so is B.
- **3** Local fibrations are closed under pullback in $[C^{op}, Gpd]$.
- **4** Local fibrations are closed under pushforward in $[C^{op}, Gpd]$.
- **5** If $B \to A$ and $C \to A$ are local fibrations, so is $B + C \to A$.
- **6** $A^{\cong} \to A \times A$ is a local fibration.
- **7** Every representable is a fibrant stack.
- 8 There is a universal local fibration over a fibrant stack.

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If A and B are stacks, A + B may not be: we need to stackify it. This has a universal property for stacks C:

 $[\mathcal{C}^{\mathrm{op}}, \mathcal{G}pd]_{\mathsf{ps}}(\mathsf{st}(A+B), C) \simeq [\mathcal{C}^{\mathrm{op}}, \mathcal{G}pd]_{\mathsf{ps}}(A+B, C)$



But the type-theoretic rules for A + B are also strict!

Definition

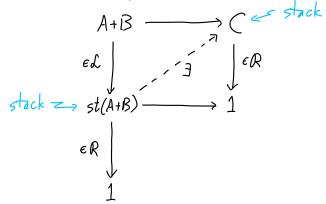
A weak factorization system (a.k.a. wfs) in a category is a pair of classes of maps $(\mathcal{L}, \mathcal{R})$ such that

- 1 Every morphism factors as an \mathcal{L} -map followed by an \mathcal{R} -map.
- **2** Every square from an \mathcal{L} -map to an \mathcal{R} -map has a (strict) filler:

$$\begin{array}{c} A \longrightarrow C \\ \exists \downarrow & \exists \downarrow & \neg^{\mathcal{H}} \\ B \longrightarrow & D \end{array}$$

3 This property characterizes \mathcal{L} in terms of \mathcal{R} , and vice versa.

If we had a wfs $(\mathcal{L}, \mathcal{R})$ where \mathcal{R} is the "local fibrations" for stacks, it would solve the problem of A + B:



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Model categories

Definition

A Quillen model category is a bicomplete category ${\mathcal M}$ with:

- Three classes of maps
 - $Cof = cofibrations, \rightarrow$
 - *Fib* = fibrations, →
 - $\mathcal{W} =$ weak equivalences, $\xrightarrow{\sim}$
- *W* is closed under retracts and 2-out-of-3 (if two of *f*, *g*, and *gf* are in *W*, so is the third).
- $(Cof \cap W, Fib)$ and $(Cof, Fib \cap W)$ are wfs.
- $\mathcal{F}ib \cap \mathcal{W} = acyclic \text{ fibrations or trivial fibrations.}$
- $Cof \cap W$ = acyclic cofibrations or trivial cofibrations.
- A is fibrant if $A \rightarrow 1$ is a fibration.
- A is cofibrant if $0 \rightarrow A$ is a cofibration.

In general, constructing model categories is hard and abstract. But our examples are very explicit.

Example (The "canonical" or "folk" model structure)

In the category $\mathcal{G}pd$ of groupoids:

- A cofibration is a functor injective on objects.
- A fibration is a Grothendieck fibration: p : B → A such that for φ : p(b) ≅ a, there exists φ̄ : b ≅ b' with p(φ̄) = φ.
- A weak equivalence is an equivalence of groupoids.
- All objects are fibrant and cofibrant.
- The acyclic fibrations are the retract equivalences, f : A → B with s : B → A such that fs = 1_B and sf ≅ 1_A.
- The acyclic cofibrations are the coretract equivalences,
 f : A → B with r : B → A such that fr ≅ 1_B and rf = 1_A.

Example (The injective model structure)

In the category [$C^{\mathrm{op}}, \mathcal{G}pd$]:

- A cofibration $f : A \to B$ is a pointwise cofibration: each $f_c : A_c \to B_c$ is a cofibration in $\mathcal{G}pd$.
- Similarly, a weak equivalence is a pointwise equivalence.
 (Not internal equivalences in [C^{op}, Gpd], but in [C^{op}, Gpd]_{ps}.)
- A fibration is as previously: a pointwise fibration such that $A \rightarrow \mathfrak{R}_B f$ has a retraction over B.
- All objects are cofibrant; the fibrant objects are as previously.
- There is a dual *projective* model structure using \mathfrak{Q} instead.
- The 2-category of fibrant objects is $[\mathcal{C}^{\mathrm{op}}, \mathcal{G}pd]_{\mathfrak{R}}$, which is (bi)equivalent to $[\mathcal{C}^{\mathrm{op}}, \mathcal{G}pd]_{ps}$.

Example (The injective model structure for stacks)

- A different model structure on the same category [$\mathcal{C}^{\mathrm{op}}, \mathcal{G}pd$]:
 - The cofibrations are the same: the pointwise cofibrations.
 - $f: A \rightarrow B$ is a weak equivalences if for any stack C,

$$[\mathcal{C}^{\mathrm{op}}, \mathcal{G}pd]_{\mathsf{ps}}(B, C) \to [\mathcal{C}^{\mathrm{op}}, \mathcal{G}pd]_{\mathsf{ps}}(A, C)$$

is an equivalence of groupoids.

• The fibrations are the pullbacks of injective fibrations between fibrant stacks.

The 2-category of fibrant objects is (bi)equivalent to St(C).

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Theorem (Awodey-Warren)

In any model category, we can interpret type theory:

- A type A is a fibrant object.
- A type family $(B_x)_{x:A}$ is a fibration $B \rightarrow A$.
- The identity type is a path object: a factorization of the diagonal A → PA → A × A. (Modulo coherence; later.)

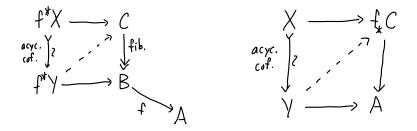
In particular, the lifting property of $A \cong PA$ is precisely the Martin-Löf elimination rule for identity types.

The Frobenius property and Π-types

 Σ -types always exist (compose fibrations). For Π -types we need:

Lemma

If pushforward f_* along $f : B \to A$ exists, it preserves fibrations iff pullback f^* preserves acyclic cofibrations.



We can verify this in examples, generally when f is a fibration.

We still need to do something to make all the operations strictly preserved by substitution (pullback).

Coherence theorem (Lumsdaine–Warren)

Represent a type family $(B_x)_{x:A}$ by a fibration $B \twoheadrightarrow V_B$ together with a map $b: A \to V_B$, standing in for the pullback b^*B .

Then $(B_{f(y)})_{y:C}$ consists of $B \rightarrow V_B$ (same V_B !) with $bf: C \rightarrow V_B$, and composition is strictly associative.

Conclusion

Theorem

There is a model category presenting the 2-category St(C) of stacks of groupoids on any site C, in which we can interpret type theory with Σ -types, Π -types, and identity types. Moreover:

- Any limits, exponentials, and "good" colimits in C are preserved in St(C).
- Any pullback-stable class of maps in C satisfying descent yields a universe of representables U.

Some choices of ${\mathcal C}$ that have "good" colimits include:

- Any small category with trivial topology.
- An extensive category with its extensive topology.
- An exact category with its regular topology.
- A (pre)topos with its coherent topology.