

# Logico-Pluralistic Exploration of Foundational Theories with Computers

Christoph Benz Müller  
U Bamberg & FU Berlin

jww colleagues, students  
& in particular: Dana Scott

“If we had it [a *characteristica universalis*], we should be able to reason in metaphysics and morals in much the same way as in geometry and analysis.”

(Leibniz, 1677)

Topos Institute Colloquium, June 16, 2022

# Talk Structure

## Research Motivation, Methodology & Framework

- AI and Representing Objects
- Logico-Pluralistic KR&R Methodology: **LogiKEy**
- Universal (Meta-)Logical Reasoning

## Study/Exploration of Foundational Theories

- Axiomatization of Category Theory (**Free Logic**) ... with ...
- Further Foundational Studies
  - Metaphysics, Ethics & Law, ...



Dana Scott

## Conclusion

# Artificial Intelligence (AI)

**Weak AI:** ... solve specific problems

**Strong AI:** ... everything humans can do (and possibly way more)

**Strong AI** requires at least (own working hypothesis):

1. Problem Solving (in the sense of weak AI)
2. Exploring and Acting in Unknown Territory (e.g. Mars-Rover)
3. Abstract & Rational Reasoning (e.g. exploration of a new theory in maths)
4. Self-Reflection (e.g. detect own mistakes, questioning the results of one's own thinking)
5. Social Interaction (e.g. adjusting personal goals and values to those of a community)

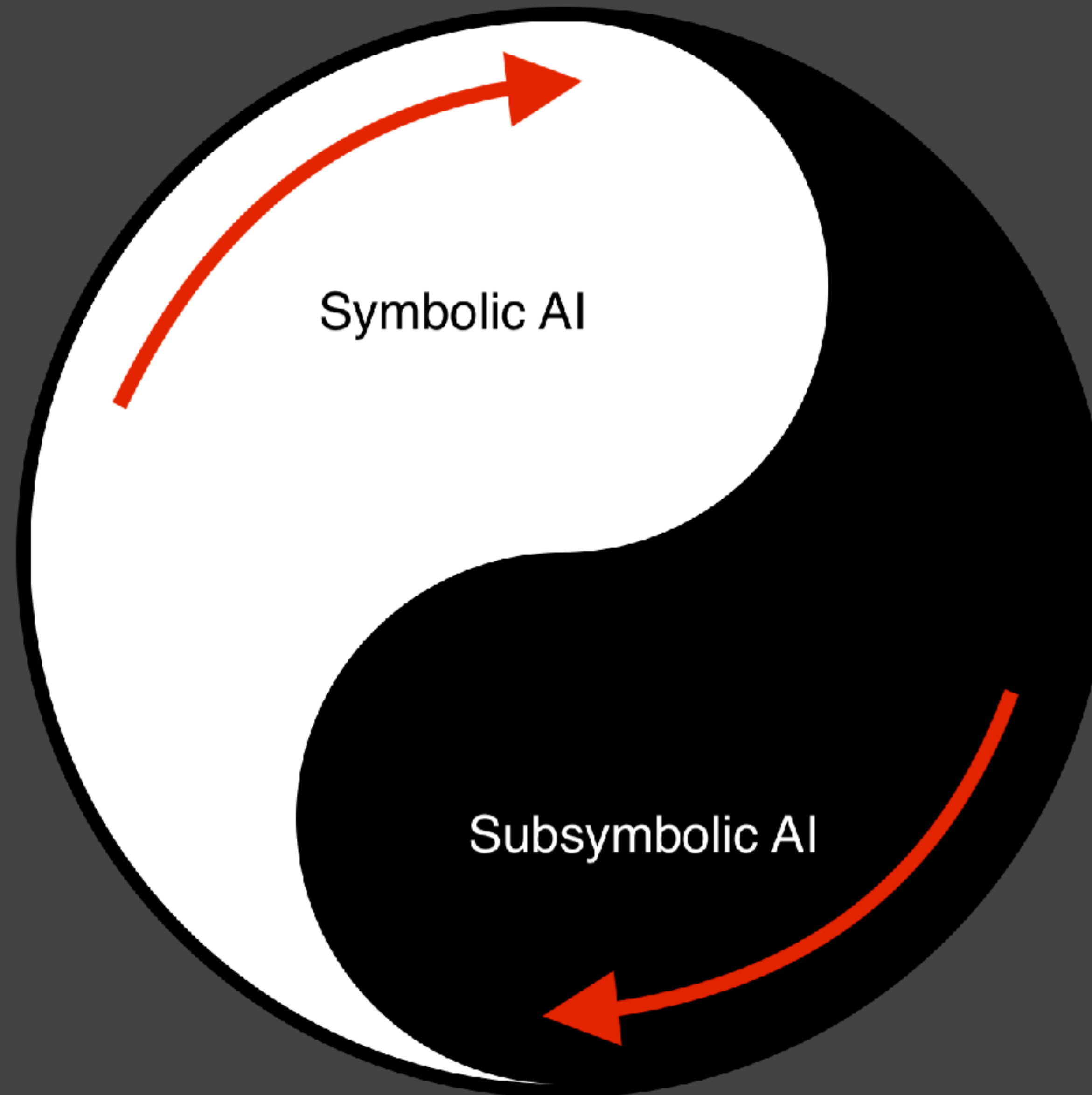
Machine Learning

Hybrid AI

# Artificial Intelligence

symbolic

Mind  
Reasoning  
Deductive  
Little Data  
Causalities  
Precise



subsymbolic

Brain  
Learning  
Inductive  
Massive Data  
Correlations  
Robust



# AI & Representing Objects

Abstract representations: objects of study in AI from the very beginning. Bibel calls them **Representing Objects (ROBs)**.

- ROBs are key to symbolic AI
- ROBs can be experimented with in the computer
- ROBs thus become physical/accessible (part of nature?)

Symbolic AI & logic in combination with computer experimentation on ROBs deserve increased attention as experimental (natural?) science.

Examples: mathematical theories, metaphysical theories, legal theories, etc., including even their **underlying logics**



**Wolfgang Bibel**  
(German AI Pioneer)



# AI & Representing Objects

Human-Computer  
Interaction



Representing object (logical representation)



Argument/theory

Experiment





# AI & Representing Objects

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## Holy Logic: Computer Scientists 'Prove' God Exists

By David Knight



picture-alliance/ Imagno/ Wiener Stadt- und Landesbibliothek

Austrian mathematician Kurt Gödel kept his proof of God's existence a secret for decades. Now two scientists say they have proven it mathematically using a computer.

**Two scientists have formalized a theorem regarding the existence of God penned by mathematician Kurt Gödel. But the God angle is somewhat of a red herring -- the real step forward is the example it sets of how computers can make scientific progress simpler.**





# News and Fake News

**Formel von Kurt Gödel: Mathematiker bestätigen Gottesbeweis**

*Von Tobias Hürter*

**Holy Logic: Computer Scientists 'Prove' God Exists**

*By David Knight*

MEDIA & CULTURE

**Is God Real? Scientists 'Prove' His Existence With Godel's Theory And MacBooks**

HOME / SCIENCE NEWS

Researchers say they used MacBook to prove Goedel's God theorem

**God exists, say Apple fanboy scientists**

With the help of just one MacBook, two Germans formalize a theorem that confirms the existence of God.

Fake news by award winning journalist *Chris Matyszczyk (c/net)*

# Experiments (Reading):

ECAI 2013, IJCAI & KI 2016, KI 2017  
(with Bruno Woltzenlogel-Paleo)



Bulletin of the Section of Logic  
Volume 49/2 (2020), pp. 127–148  
<http://dx.doi.org/10.18778/0138-0680.2020.08>

Christoph Benz Müller, David Fuenmayor

COMPUTER-SUPPORTED ANALYSIS OF POSITIVE PROPERTIES, ULTRAFILTERS AND MODAL COLLAPSE IN VARIANTS OF GÖDEL'S ONTOLOGICAL ARGUMENT

C O P E

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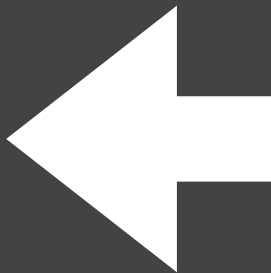
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arXiv > cs > arXiv:2202.06264

Computer Science > Logic in Computer Science

[Submitted on 13 Feb 2022]

**A Simplified Variant of Gödel's Ontological Argument**

Christoph Benz Müller

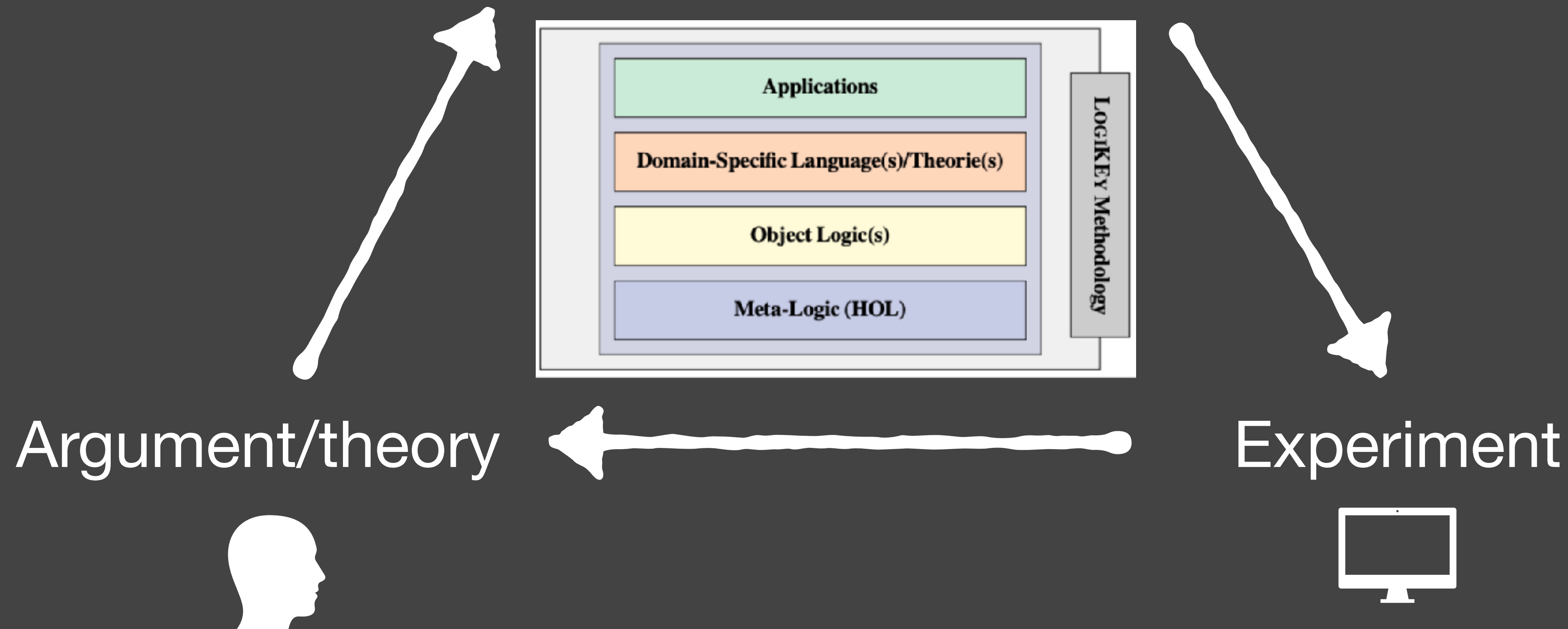


# AI & Representing Objects

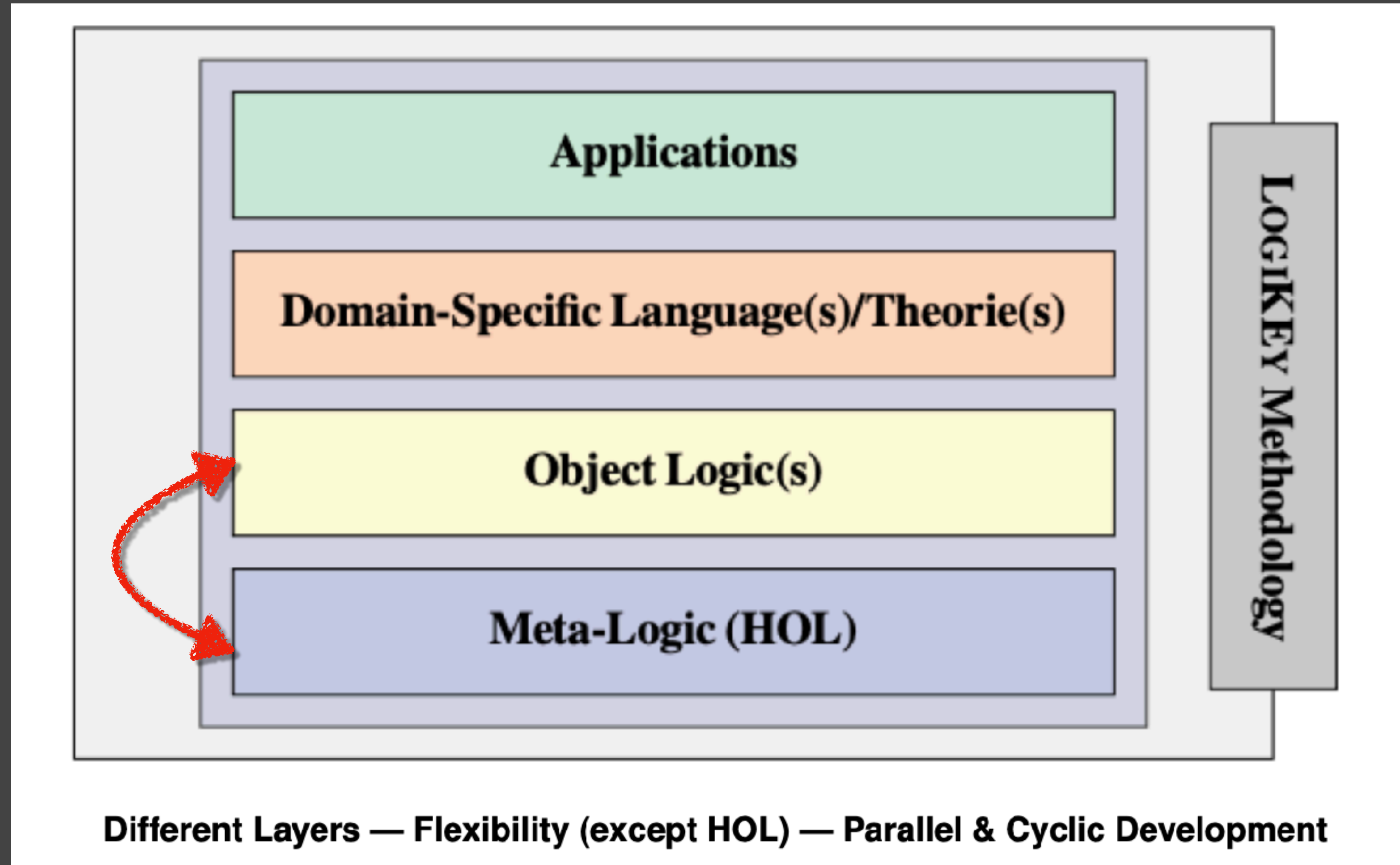
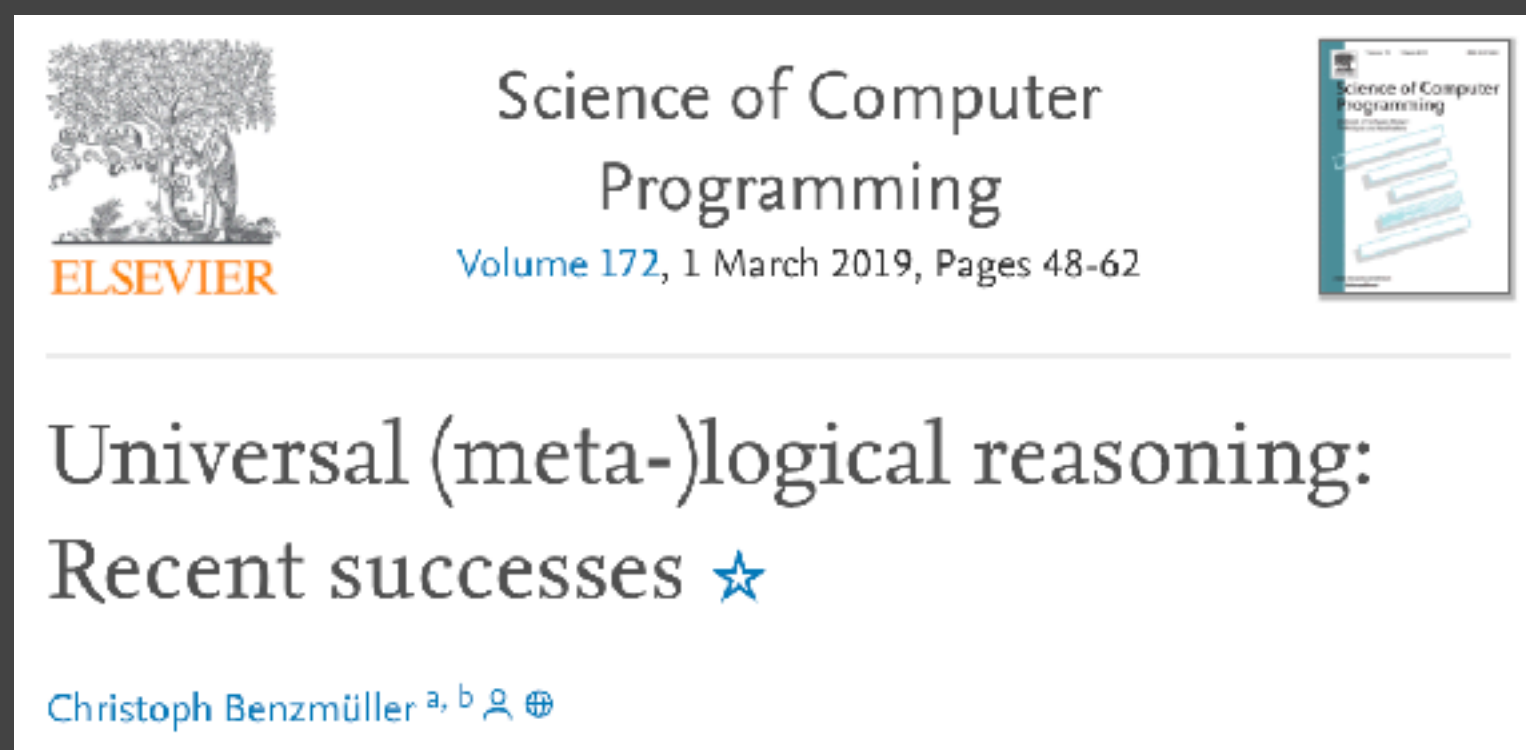
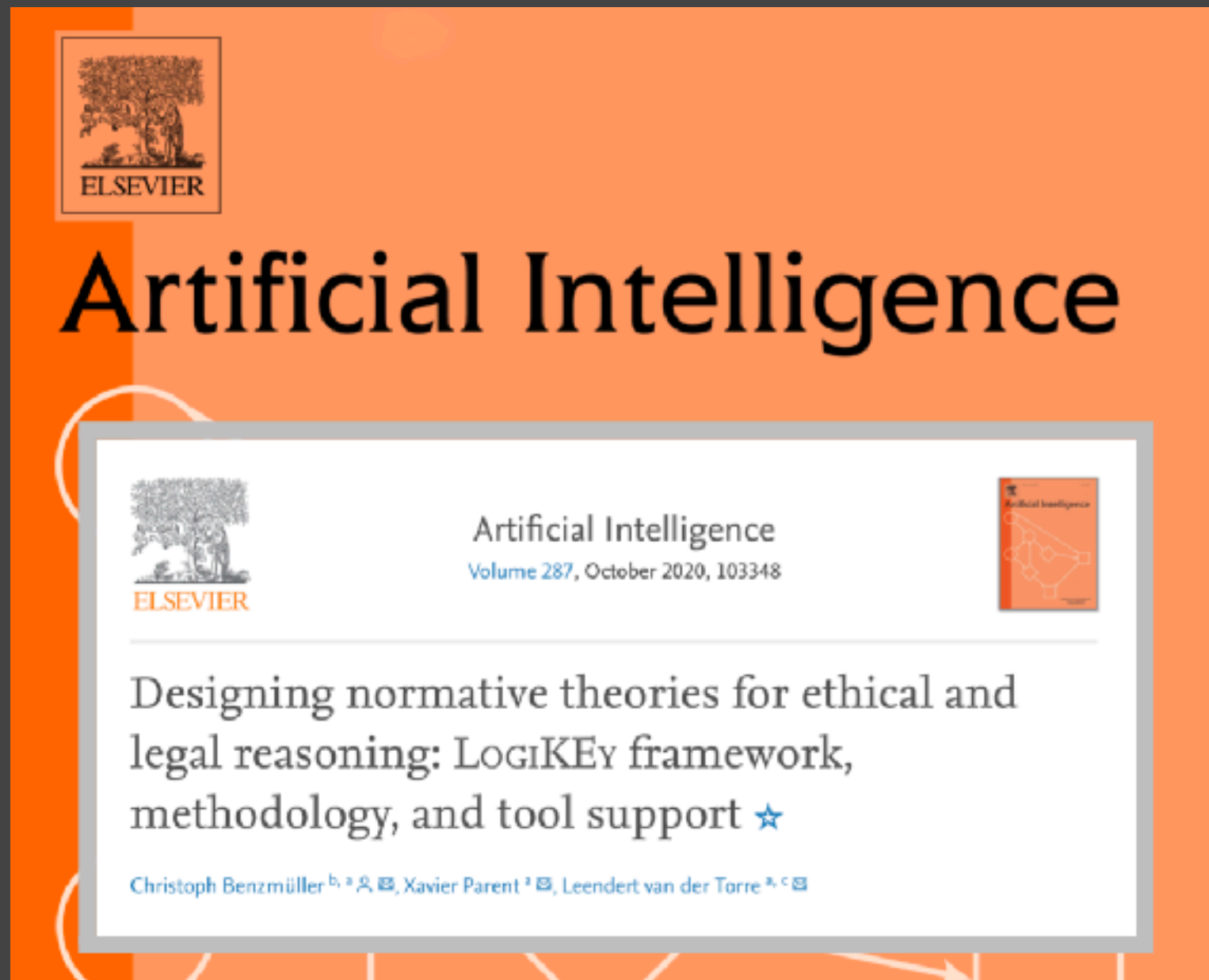
Human-Computer  
Interaction



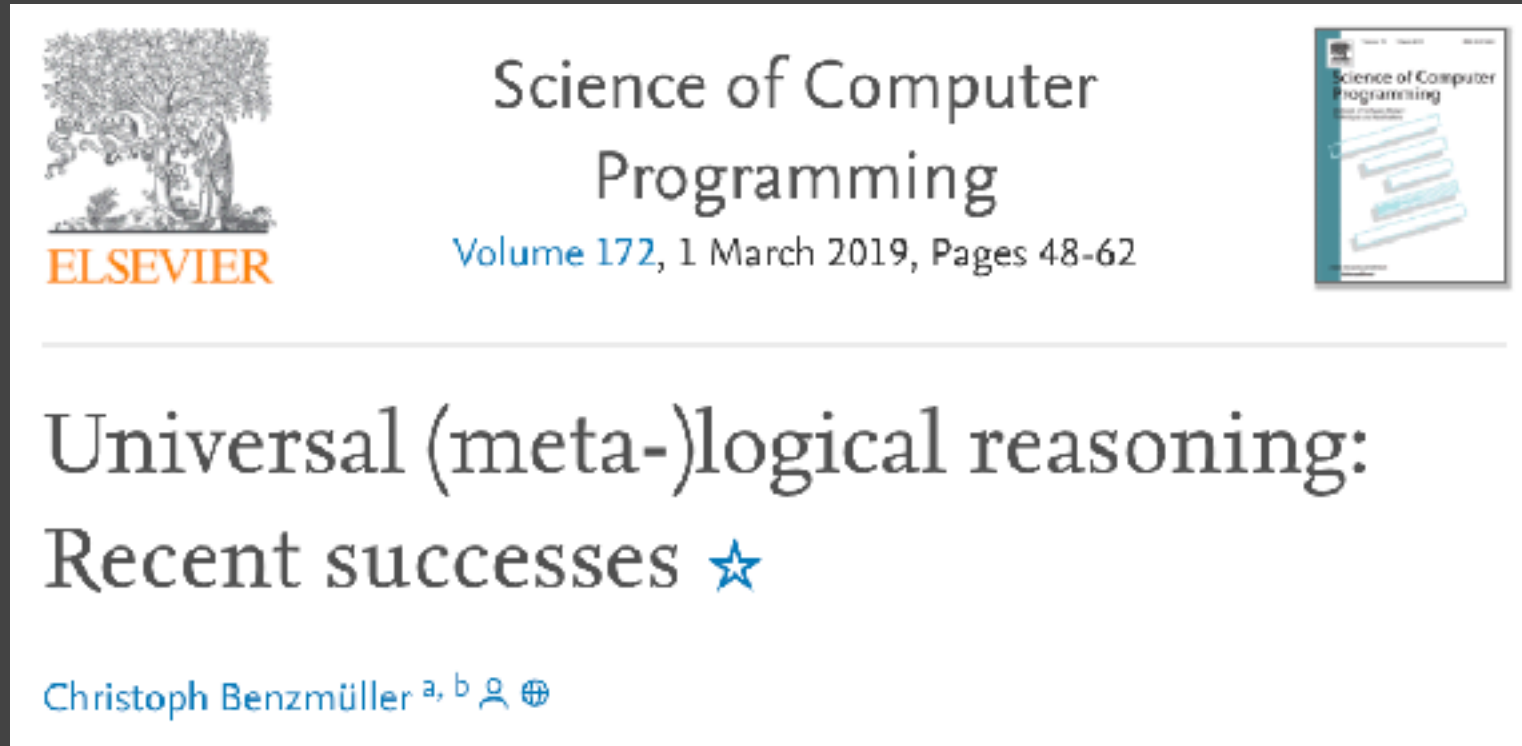
Representing object (logical representation)



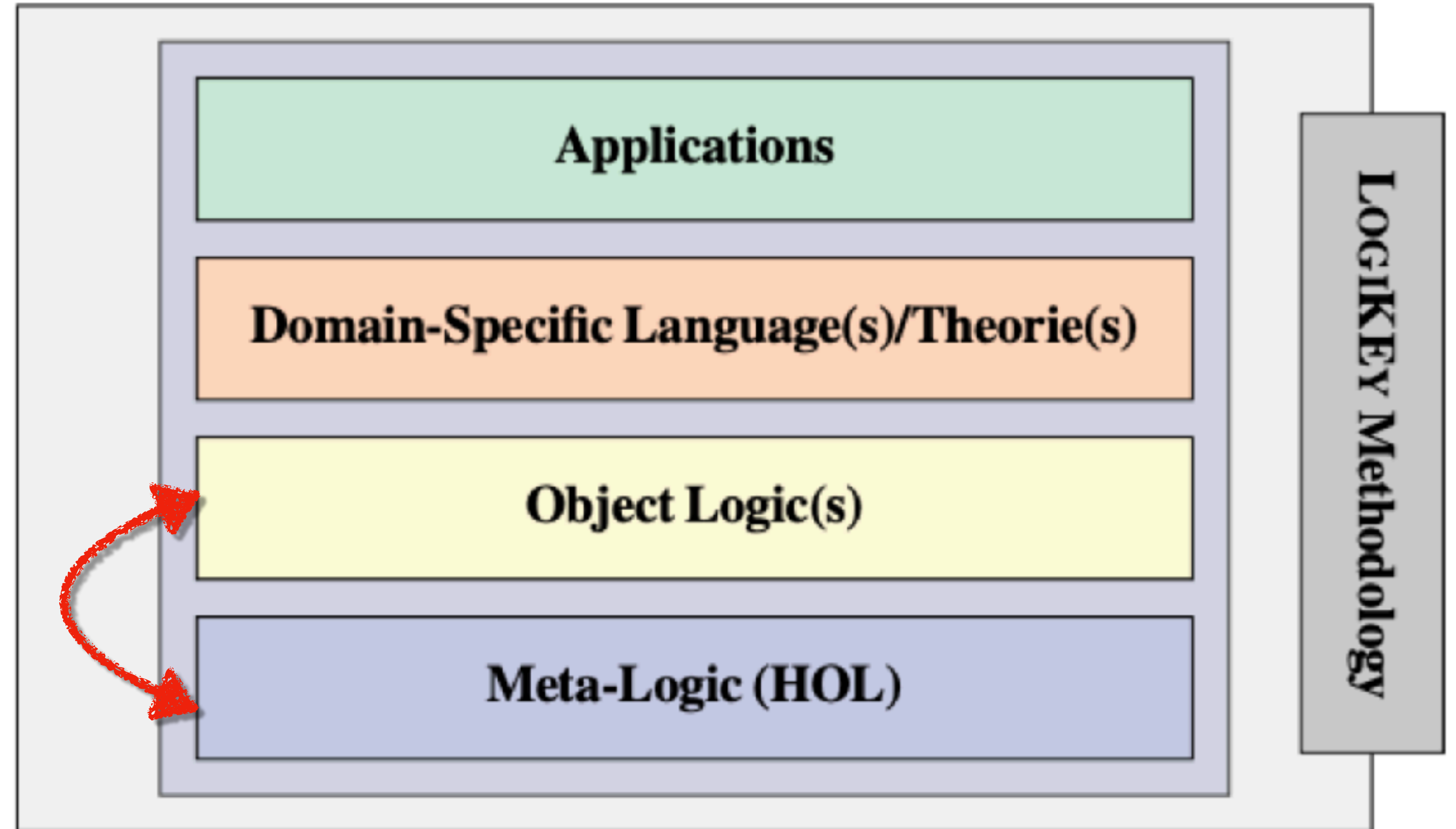
# Universal (Meta-)Logical Reasoning



# Universal (Meta-)Logical Reasoning



...  
developed from  
...



**Different Layers — Flexibility (except HOL) — Parallel & Cyclic Development**



# Universal (Meta-)Logical Reasoning

## Metaphysics

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**Automating Gödel's Ontological Proof of God's Existence with Higher-order Automated Theorem Provers**

Authors

Christoph Benzmüller, Bruno Woltzenlogel Paleo

Pages

93 - 98

DOI

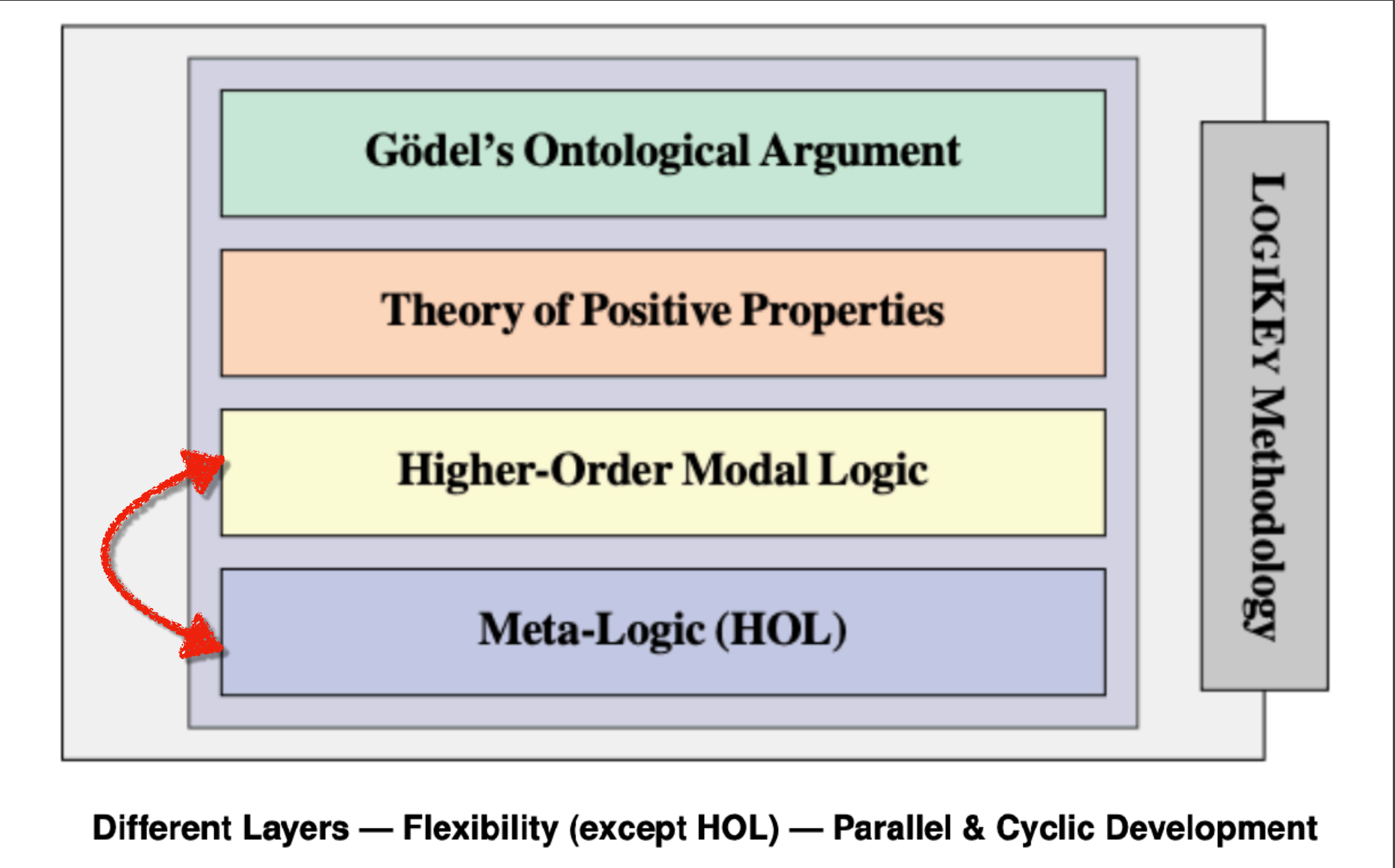
10.3233/978-1-61499-419-0-93

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# Universal (Meta-)Logical Reasoning

## Metaphysics

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COMPUTER-SUPPORTED ANALYSIS OF POSITIVE PROPERTIES, ULTRAFILTERS AND MODAL COLLAPSE IN VARIANTS OF GÖDEL'S ONTOLOGICAL ARGUMENT

The diagram illustrates a layered approach to logical reasoning, enclosed within a grey vertical bar labeled "LOGiKEY Methodology". The layers are represented by colored boxes stacked vertically:

- Variants of Gödel's Ontol. Argument** (light green box)
- (Modal) Ultrafilter Theory** (orange box)
- Intensional Higher-Order Modal Logic** (yellow box)
- Meta-Logic (HOL)** (blue box)


A red curved arrow points from the "Intensional Higher-Order Modal Logic" layer down to the "Meta-Logic (HOL)" layer, indicating a relationship or flow between these two levels.

**Different Layers — Flexibility (except HOL) — Parallel & Cyclic Development**



# Universal (Meta-)Logical Reasoning

## Law & Ethics

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DOI: [10.4230/LIPIcs.ITP.2021.7](https://doi.org/10.4230/LIPIcs.ITP.2021.7)  
URN: [urn:nbn:de:0030-drops-139028](https://nbn-resolving.org/urn:nbn:de:0030-drops-139028)  
URL: <https://drops.dagstuhl.de/opus/volltexte/2021/13902/>

**Benzmüller, Christoph ; Fuenmayor, David**

**Value-Oriented Legal Argumentation in Isabelle/HOL**

pdf-format: [LIPIcs-ITP-2021-7.pdf \(2 MB\)](#)

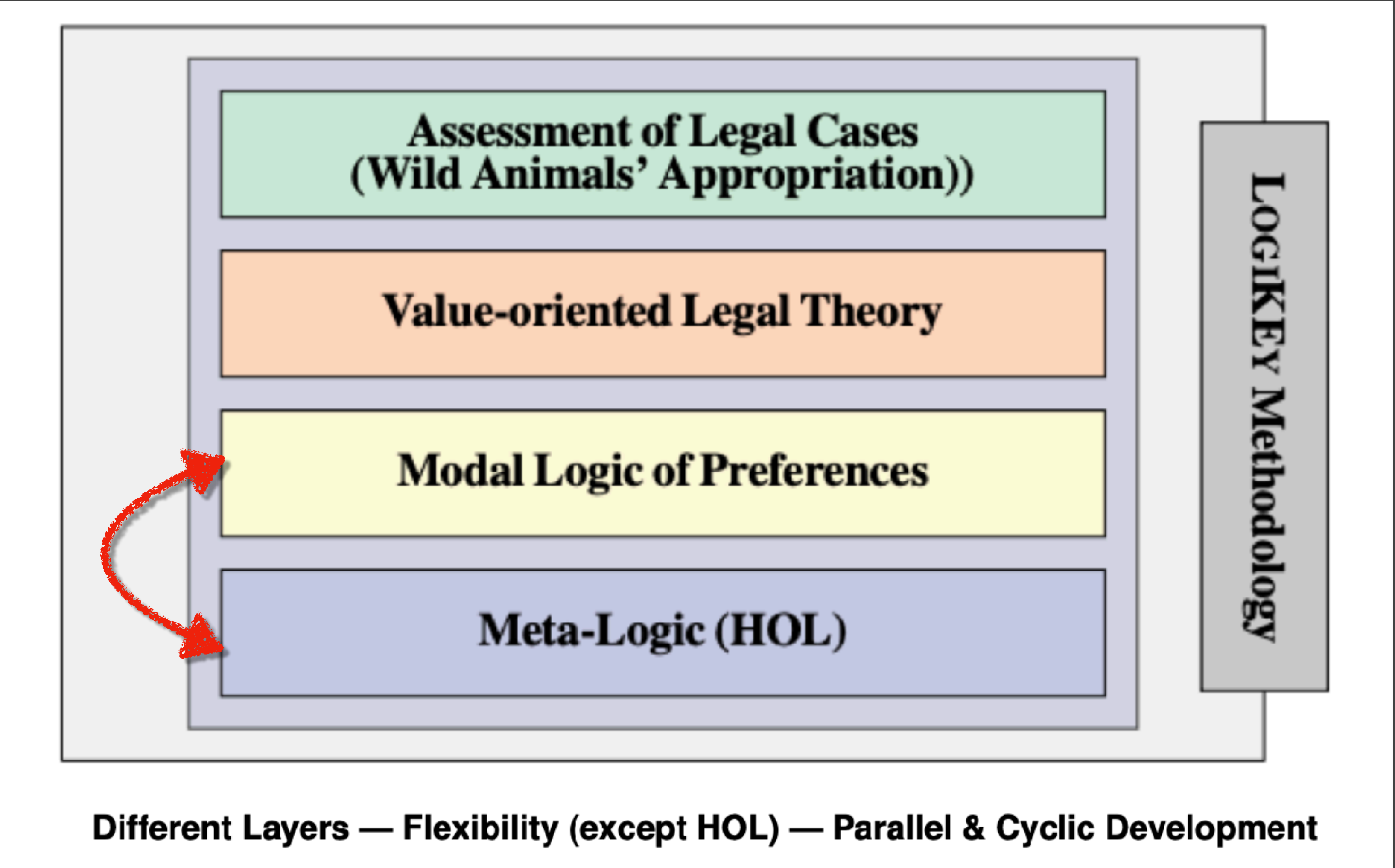
 > cs > arXiv:2006.12789

Computer Science > Artificial Intelligence

*[Submitted on 23 Jun 2020 (v1), last revised 30 Mar 2022 (this version, v5)]*

**Modelling Value-oriented Legal Reasoning in LogiKEy**

[Christoph Benzmüller](#), [David Fuenmayor](#), [Bertram Lomfeld](#)



# Universal (Meta-)Logical Reasoning

## Category Theory



International Congress on Mathematical Software  
↳ ICMS 2016: **Mathematical Software – ICMS 2016** pp 43–50 | [Cite as](#)

### Automating Free Logic in Isabelle/HOL

[Christoph Benz Müller](#) & [Dana Scott](#)

 Springer Link

Published: 01 January 2019

### Automating Free Logic in HOL, with an Experimental Application in Category Theory

[Christoph Benz Müller](#) & [Dana S. Scott](#)

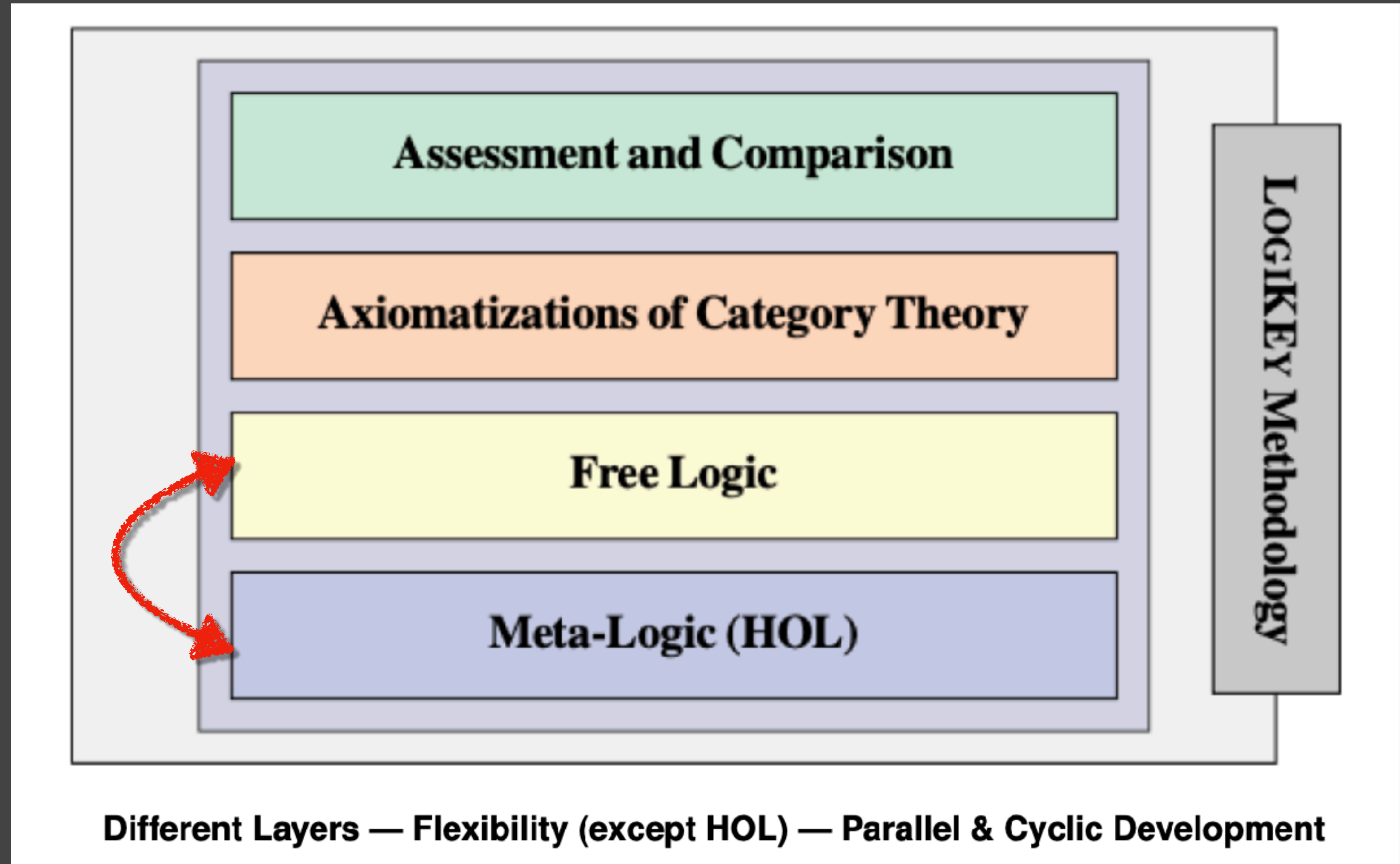
*Journal of Automated Reasoning* **64**, 53–72 (2020) | [Cite this article](#)



International Conference on Relational and Algebraic Methods in Computer Science  
↳ RAMICS 2020: **Relational and Algebraic Methods in Computer Science** pp 302–317

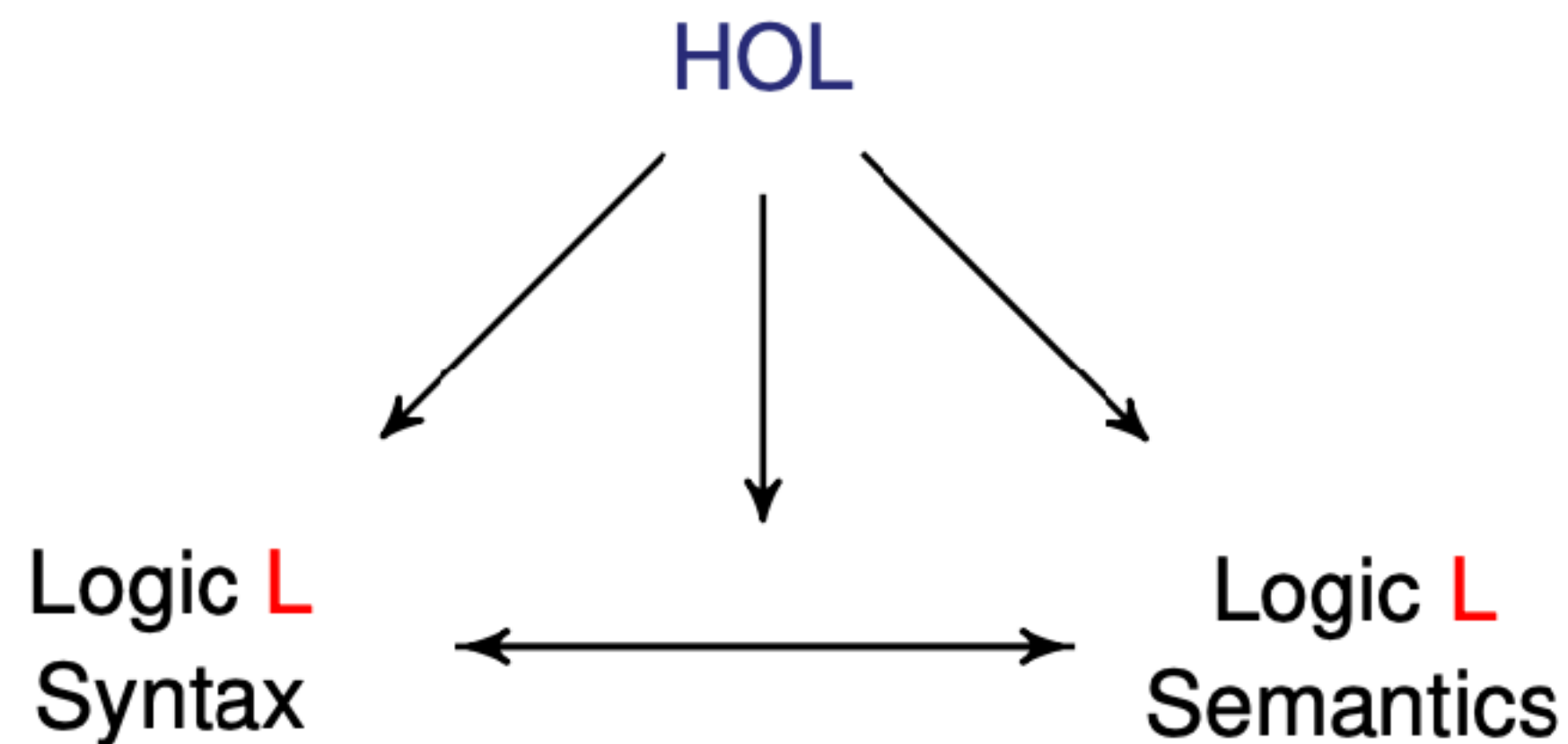
### Computer-Supported Exploration of a Categorical Axiomatization of Modeloids

[Lucca Tiemens](#), [Dana S. Scott](#), [Christoph Benz Müller](#) & [Miroslav Benda](#)



# via Shallow Semantical Embeddings in Higher-Order Logic (HOL)

(Leibniz, 1677)



**L** (target logic):

**HOL** (meta-logic):

## Embedding of in



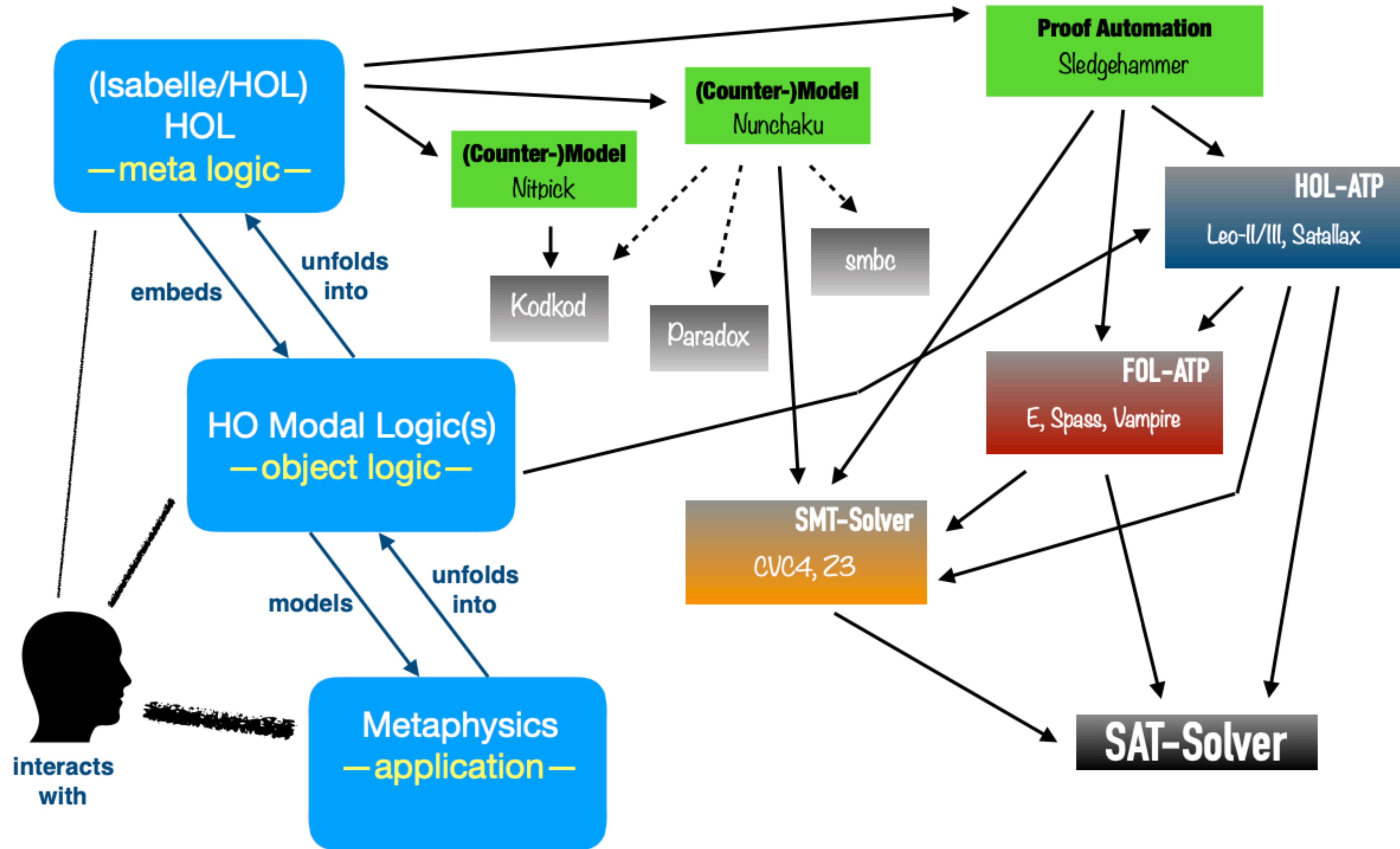
Four horizontal bars are shown, each divided into a red segment on the left and a blue segment on the right. The red segments are of varying lengths, and the blue segments are of varying lengths, representing different proportions.

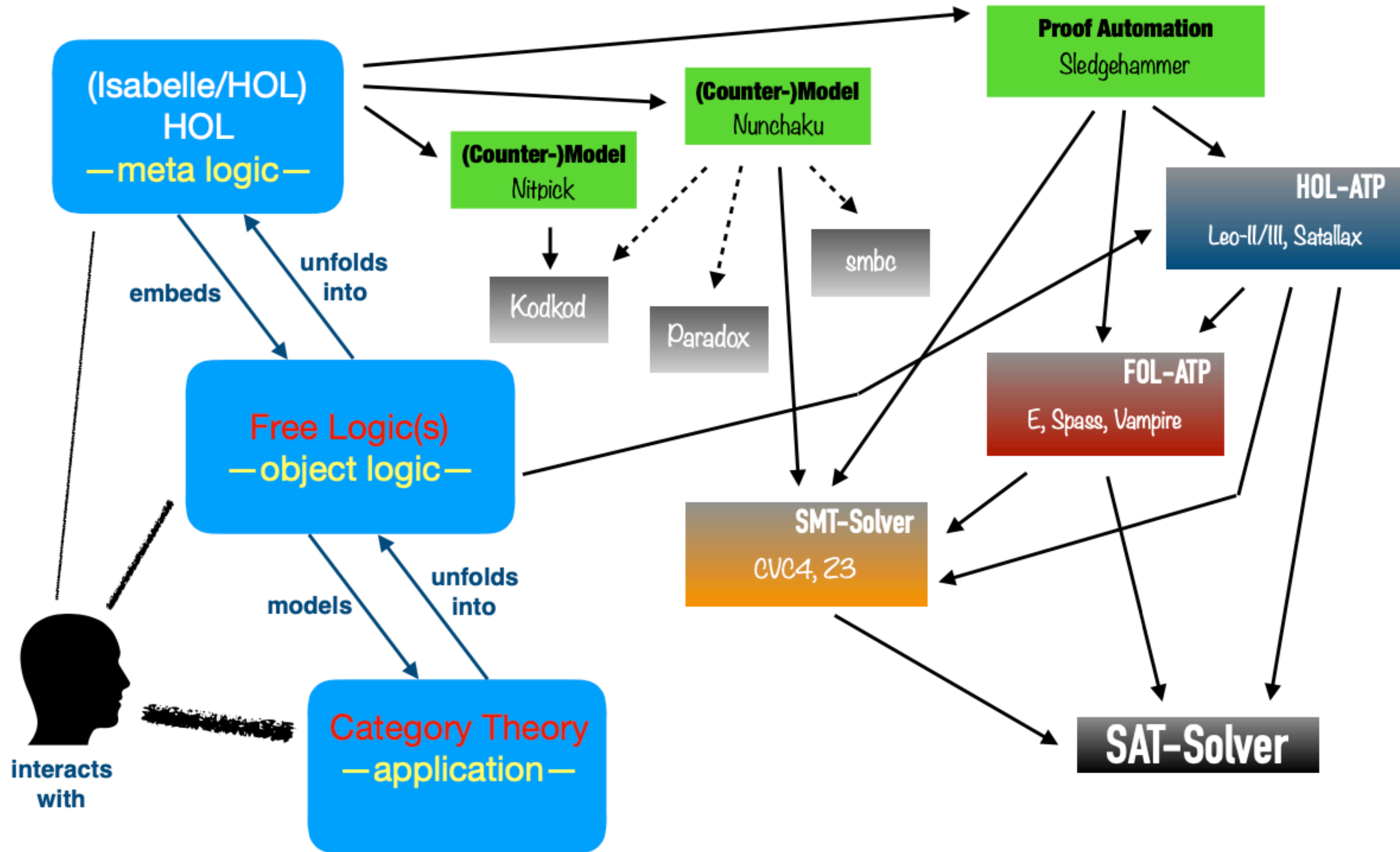
$$\left[ \begin{array}{c} \text{Red} \\ \text{Blue} \end{array} \right] = \left[ \begin{array}{c} \text{Red} \\ \text{Blue} \end{array} \right]$$

Formulas of **L** are directly identified with terms **HOL**

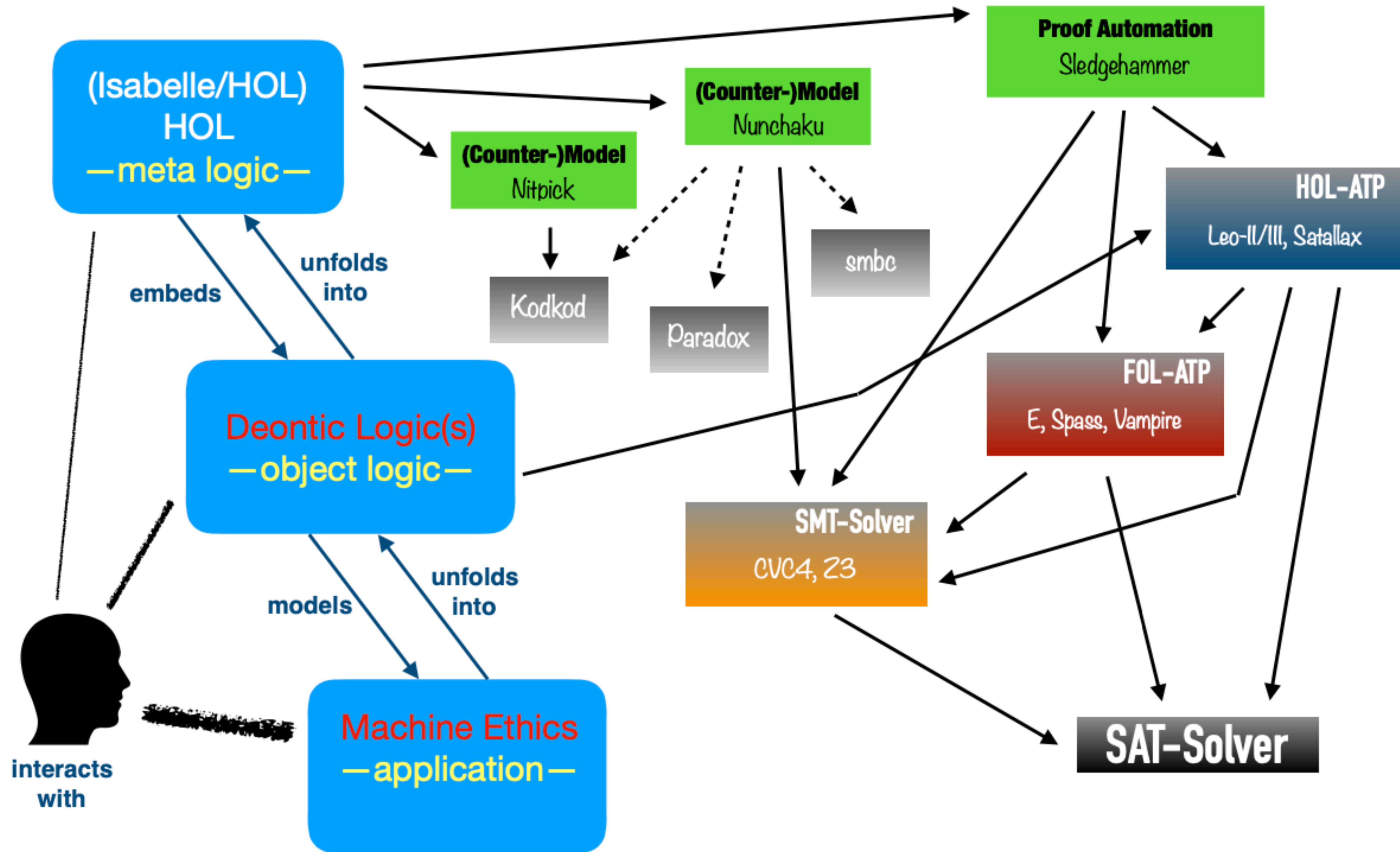
**defining equations are passed to HOL theorem prover(s)**

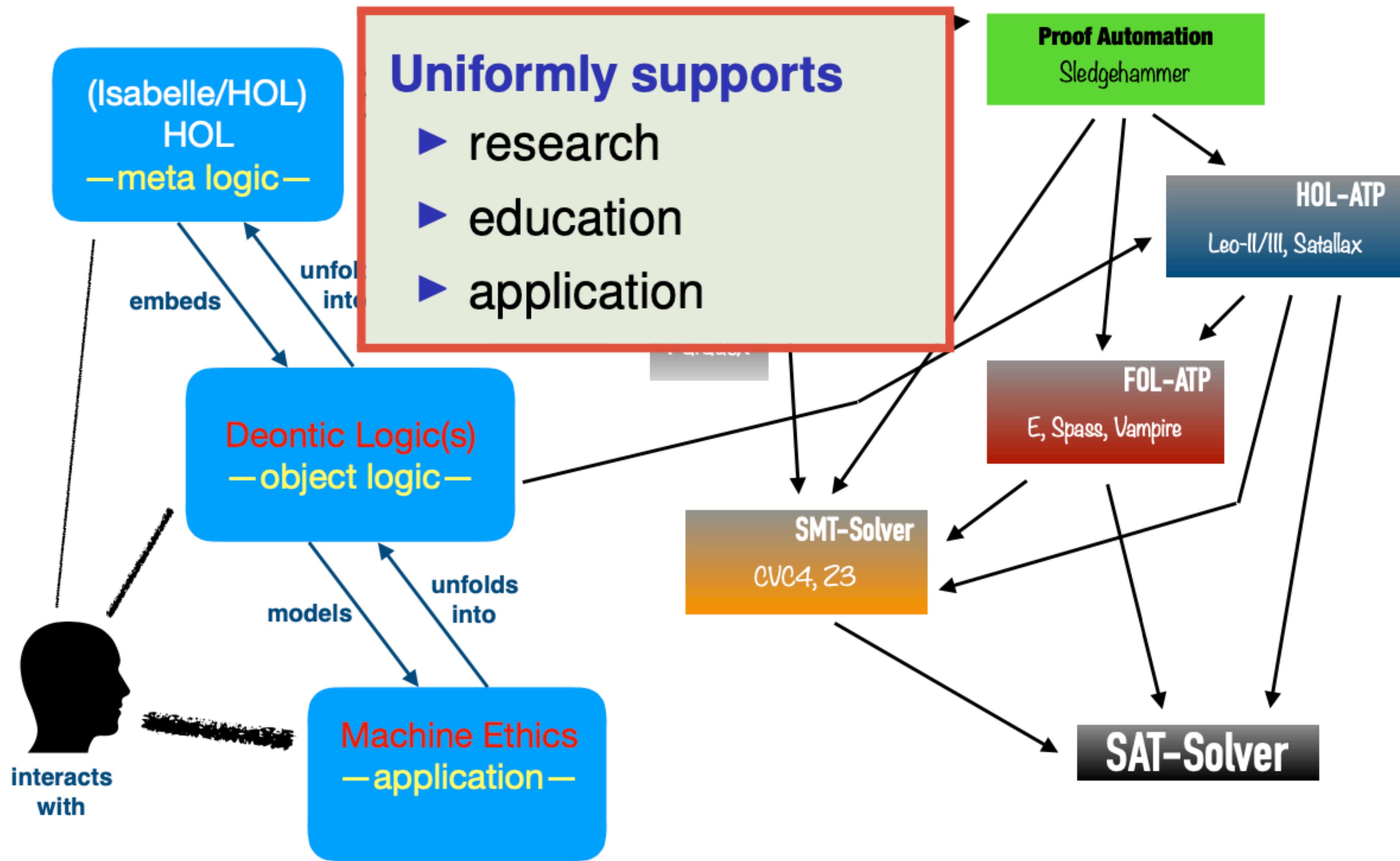














# Ontological Argument — Results

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Various insights not known before!

# Study/Exploration of Foundational Theories

## Axiom Systems for Category Theory

... explored in Free Logic ... embedded in HOL



# Axiomatization of Category Theory in Free Logic

Scott 1967

16

Dana Scott. "Existence and description in formal logic."  
In: Bertrand Russell: Philosopher of the Century, edited  
by R. Schoenman. George Allen & Unwin, London,  
1967, pp. 181-200. Reprinted with additions in:  
Philosophical Application of Free Logic, edited by K.  
Lambert. Oxford University Press, 1991, pp. 28 - 48.

DANA SCOTT

## *Existence and Description in Formal Logic*

The problem of what to do with improper descriptive phrases has bothered logicians for a long time. There have been three major suggestions of how to treat descriptions usually associated with the names of Russell, Frege and Hilbert-Bernays. The author does not consider any of these approaches really satisfactory. In many ways Russell's idea is most attractive because of its simplicity. However,

Technically the idea is to permit a wider interpretation of *free* variables. All bound variables retain their usual existential import (when we say something exists it does exist), but free variables behave in a more "schematic" way. Thus there will be no restrictions on the use of *modus ponens* or on the rule of *substitution* involving free variables and their occurrences. The laws of quantifiers require some modification, however, to make the existential assumptions explicit. The modification is very straightforward, and I shall argue that what has to be done is simply what is done naturally in making a *relativization* of quantifiers from a larger domain to a subdomain. Again in intuitionistic logic we have to take care over relativization, because we cannot say that either the subdomain is empty or not - thus a given element may be only "partially" in the subdomain.

## IDENTITY AND EXISTENCE IN INTUITIONISTIC LOGIC

Scott 1977

Dana Scott

Merton College, Oxford, England

Standard formulations of intuitionistic category theorists, generally do not take in (For a recent reference see Makkai and Reyes there is a simple psychological reason: we d

ist. Certainly we should on explicit. In classical possible to split the d question does or does n s, and the circumstance ns, for example. Many pocate in a mild way in this paper what I consider a simple al formulation of logic allowing reference to partial elements. be entirely formal here, but for the model theory of the system nsult Fourman and Scott [10] for interpretations over a complete this includes the so-called Kripke models) and Fourman [8] en in 1975) for the interpretation in an arbitrary topos.

$$(1) \quad Ex \leftrightarrow E\text{dom}(x)$$

$$(2) \quad Ex \leftrightarrow E\text{cod}(x)$$

$$(3) \quad E(x \circ y) \leftrightarrow \text{dom}(x) = \text{cod}(y)$$

$$(4) \quad x \circ (y \circ z) \equiv (x \circ y) \circ z$$

$$(5) \quad x \circ \text{dom}(x) \equiv x$$

$$(6) \quad \text{cod}(x) \circ x \equiv x$$



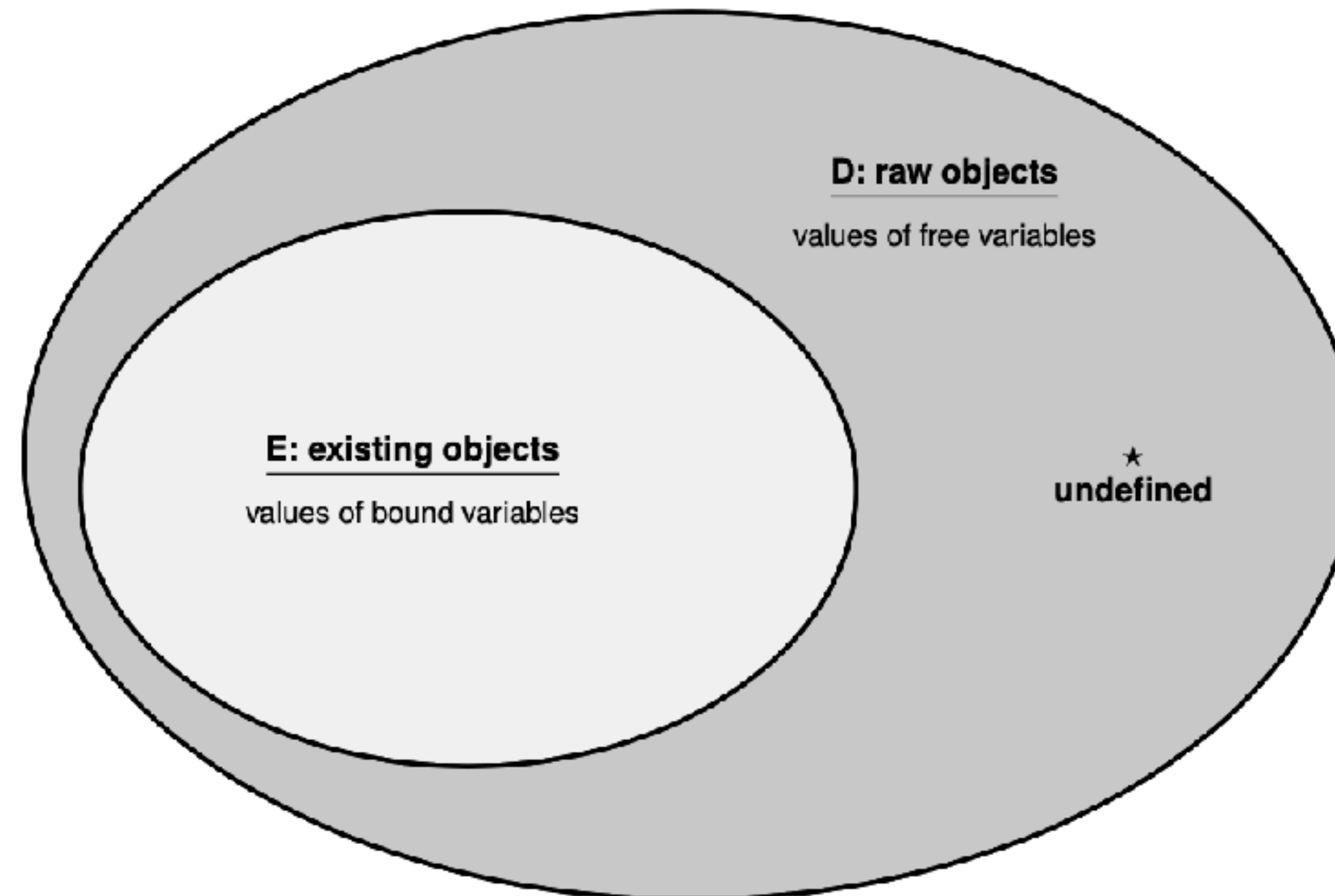
# Free Logic

## Existence and Description in Formal Logic (Dana Scott), 1967

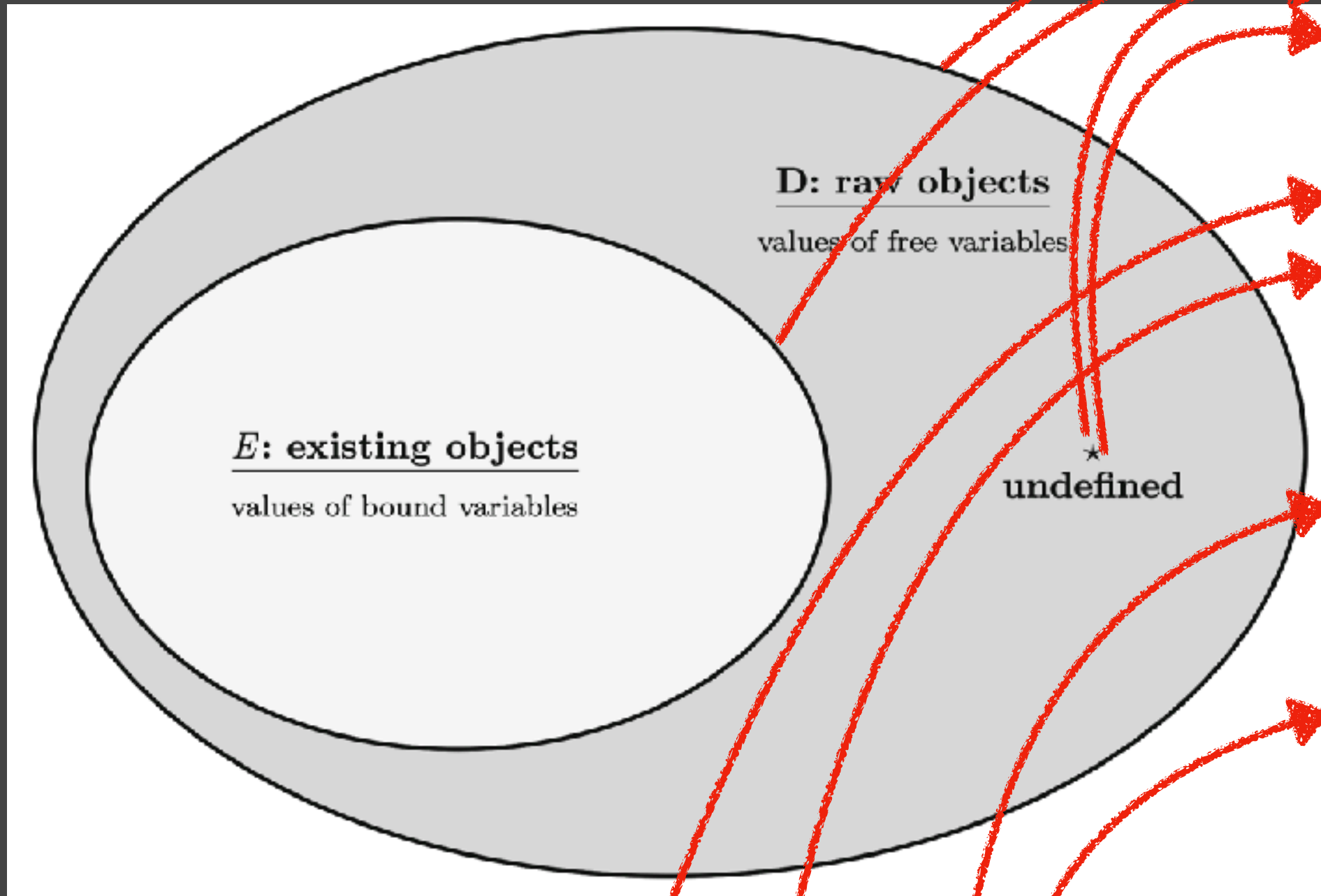
**Principle 1:** Bound individual variables range over domain  $E \subset D$

**Principle 2:** Values of terms and free variables are in  $D$ , not necessarily in  $E$  only.

**Principle 3:** Domain  $E$  may be empty



# Free Logic in HOL



- Free connectives  $\neg$  and  $\rightarrow$  ... as in HOL
- Free quantifier  $\forall$  relativized by E
- Free description constrained by E

```

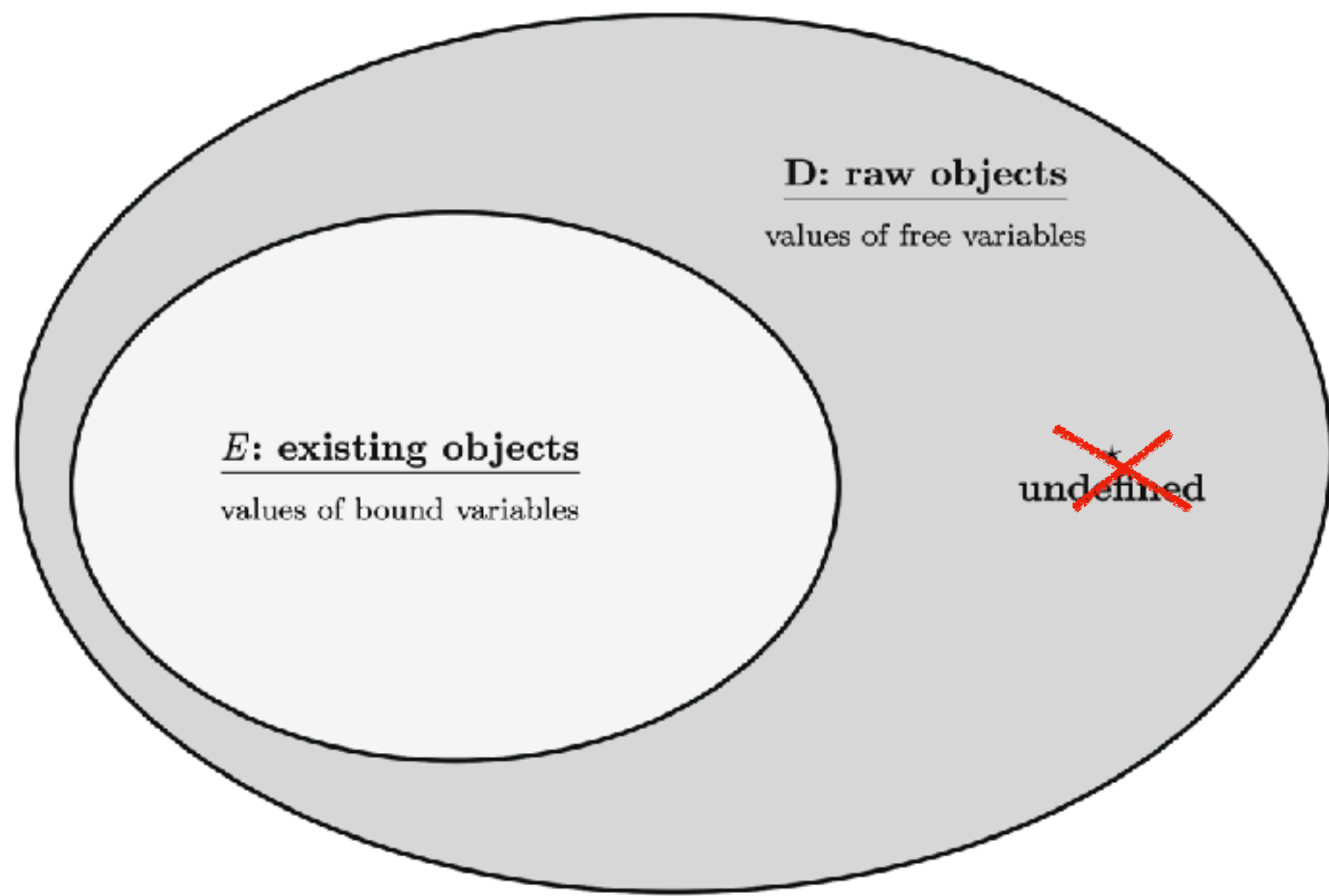
8 theory AxiomaticCategoryTheory_FullFreeLogic imports Main
9 begin
10 typedef i (*Type for individuals*)
11 consts fExistence:: "i⇒bool" ("E") (*Existence/definedness predicate in free logic*)
12 consts fStar:: "i" ("★") (*Distinguished symbol for undefinedness*)
13 axiomatization where fStarAxiom: "¬E(★)" (** is a 'non-existing' object in D.**)
14
15 abbreviation fNot ("¬") (*Free negation*)
16 where "¬φ ≡ ¬φ"
17 abbreviation fImplies (infixr "→" 13) (*Free implication*)
18 where "φ → ψ ≡ φ → ψ"
19 abbreviation fIdentity (infixr "=" 13) (*Free identity*)
20 where "l = r ≡ l = r"
21 abbreviation fForall ("∀") (*Free universal quantification guarded by @{text "E"}*)
22 where "∀Φ ≡ ∀x. E x → Φ x"
23 abbreviation fForallBinder (binder "∀" [8] 9) (*Binder notation*)
24 where "∀x. φ x ≡ ∀φ"
25 abbreviation fThat:: "(i⇒bool)⇒i" ("I")
26 where "IΦ ≡ if ∃x. E(x) ∧ Φ(x) ∧ (∀y. (E(y) ∧ Φ(y)) → (y = x))
27 then THE x. E(x) ∧ Φ(x)
28 else ★"
29 abbreviation fThatBinder:: "(i⇒bool)⇒i" (binder "I" [8] 9)
30 where "IX. φ(x) ≡ I(φ)"
31
32 text < Further free logic connectives can now be defined as usual. >
33
34 abbreviation fOr (infixr "∨" 11)
35 where "φ ∨ ψ ≡ (¬φ) → ψ"
36 abbreviation fAnd (infixr "∧" 12)
37 where "φ ∧ ψ ≡ ¬(¬φ ∨ ¬ψ)"
38 abbreviation fImplied (infixr "←" 13)
39 where "φ ← ψ ≡ ψ → φ"
40 abbreviation fEquiv (infixr "↔" 15)
41 where "φ ↔ ψ ≡ (φ → ψ) ∧ (ψ → φ)"
42 abbreviation fExists ("∃")
43 where "∃Φ ≡ ¬(∀(λy. ¬(Φ y)))"
44 abbreviation fExistsBinder (binder "∃" [8]9)
45 where "∃x. φ x ≡ ∃φ"

```

As usual



# Free Logic in HOL



```

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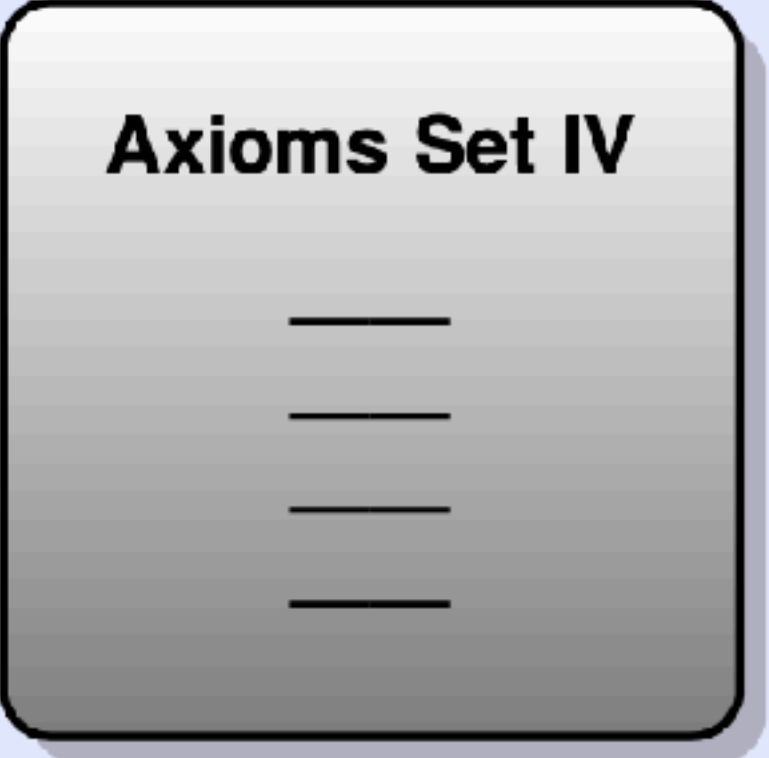
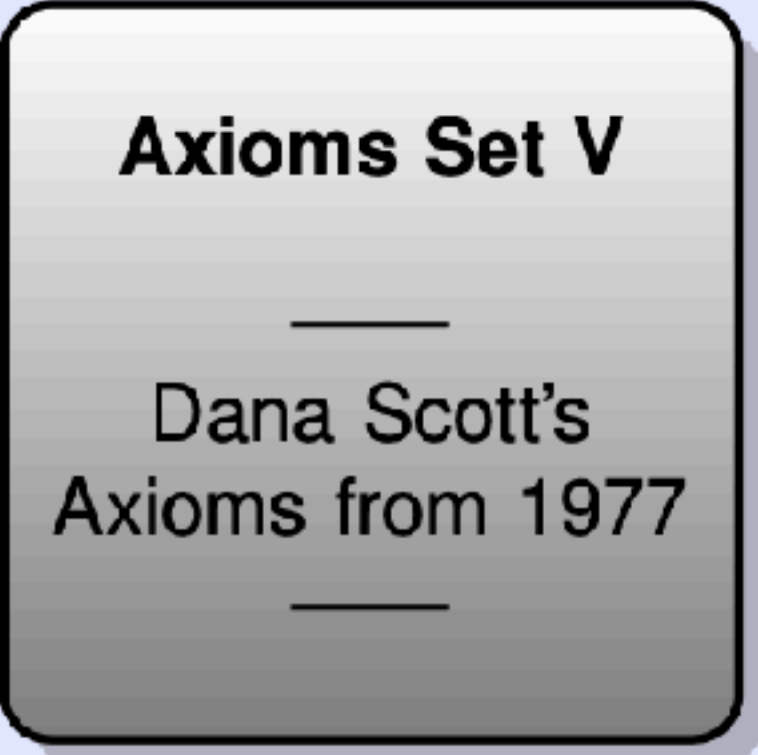
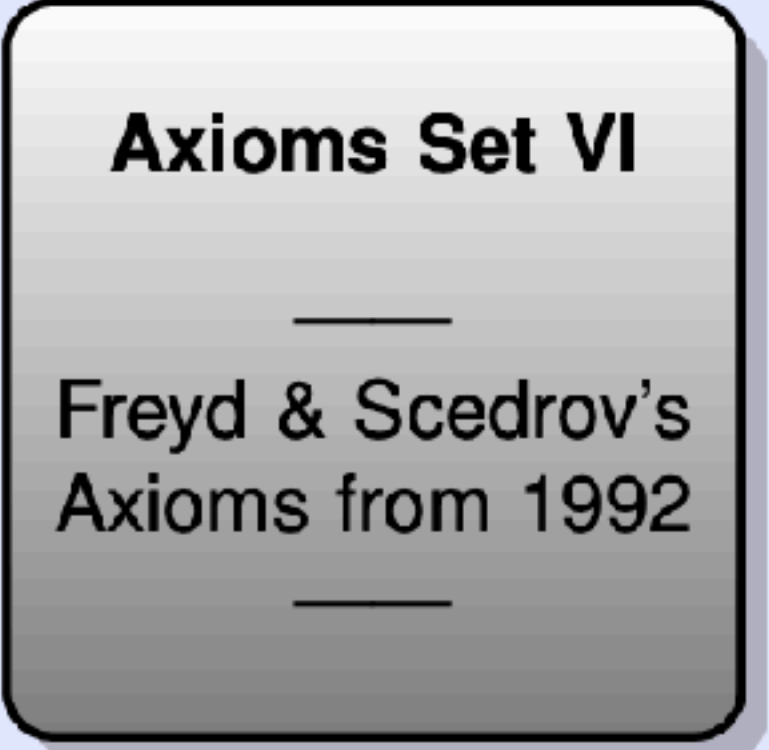
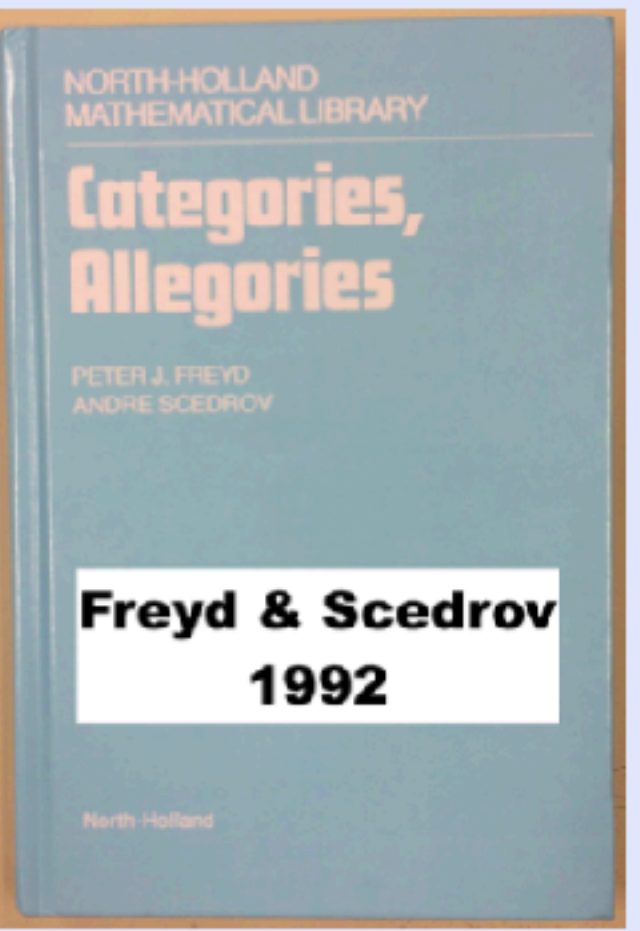
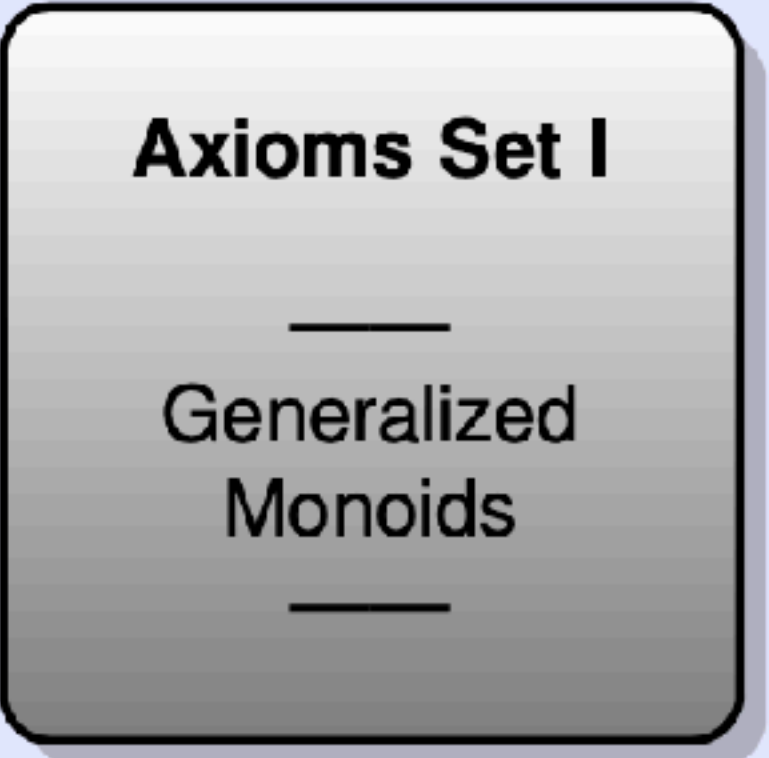
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30 where "Ix. φ(x) ≡ I(φ)"

```





all equivalent?

—?—

Dana Scott

## Preliminaries

Morphisms: objects of type of  $i$  (raw domain D)

Partial functions:

domain	$dom$	of type $i \rightarrow i$
codomain	$cod$	of type $i \rightarrow i$
composition	$\cdot$	of type $i \rightarrow i \rightarrow i$ (resp. $i \times i \rightarrow i$ )

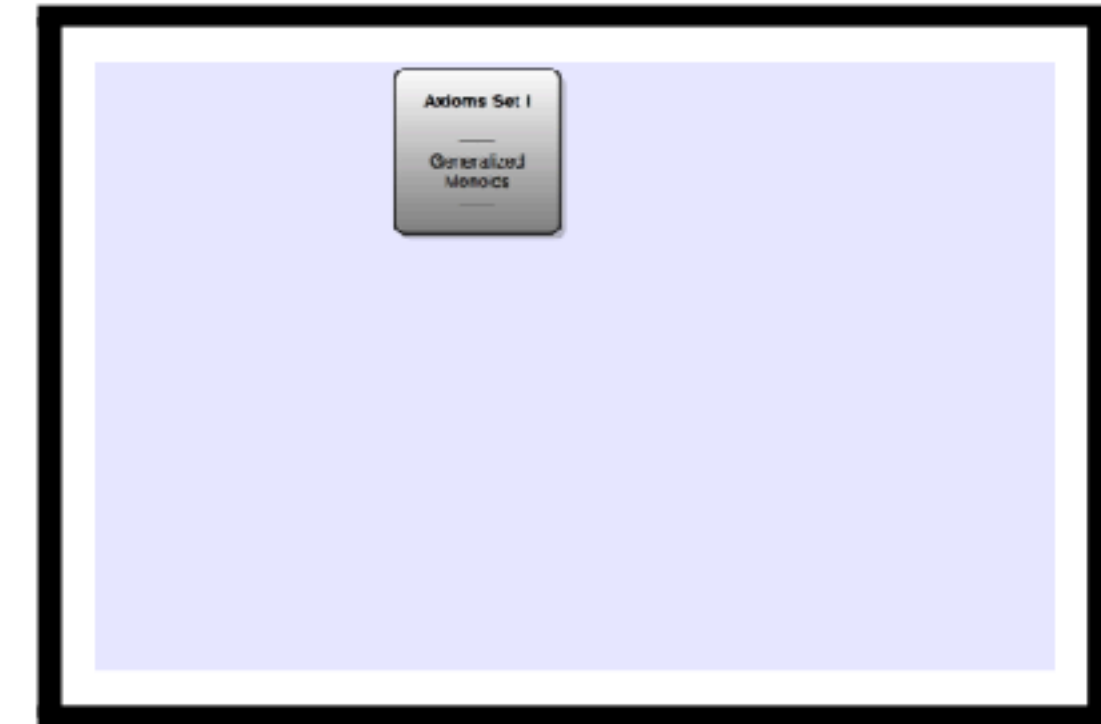
$\cong$  denotes **Kleene equality**:  $x \cong y \equiv (Ex \vee Ey) \rightarrow x = y$

(where  $=$  is identity on all objects of type  $i$ , existing or non-existing)

$\cong$  is an equivalence relation: **SLEDGEHAMMER**.

$\simeq$  denotes **existing identity**:  $x \simeq y \equiv Ex \wedge Ey \wedge x = y$

$\simeq$  is symmetric and transitive, but lacks reflexivity: **SLEDGEHAMMER, NITPICK**.





## Preliminaries



- ▶  $\simeq$  equivalence relation on  $E$ , empty relation outside  $E$
- ▶  $1/0 \neq 1/0 \quad 1/0 \neq 2/0 \quad \dots$
- ▶  $Ix.pkoFrance(x) \neq Ix.pkoFrance(x)$   
 $Ix.pkoFrance(x) \neq Ix.pkoPoland(x)$

$\cong$  denotes **Kleene equality**:  $x \cong y \equiv (Ex \vee Ey) \rightarrow x = y$

(where  $=$  is identity on all objects of type  $i$ , existing or non-existing)

$\cong$  is an equivalence relation: **SLEDGEHAMMER**.

$\simeq$  denotes **existing identity**:  $x \simeq y \equiv Ex \wedge Ey \wedge x = y$

$\simeq$  is symmetric and transitive, but lacks reflexivity: **SLEDGEHAMMER**, **NITPICK**.

# Category Theory in Free Logic (in HOL)

```

235 section < Axioms Set V >
236
237 locale Axioms_Set_V =
238 assumes
239 S1: "E(dom x) → E x" and
240 S2: "E(cod y) → E y" and
241 S3: "E(x·y) ↔ dom x ≅ cod y" and
242 S4: "x·(y·z) ≅ (x·y)·z" and
243 S5: "x·(dom x) ≅ x" and
244 S6: "(cod y)·y ≅ y"
245 begin (*The obligatory consistency checks*)
246 lemma True
247   nitpick [satisfy, user_axioms, expect=genuine] oops (*model found*)
248 lemma assumes "∃x. ¬(E x)" shows True
249   nitpick [satisfy, user_axioms, expect=genuine] oops (*model found*)
250 lemma assumes "(∃x. ¬(E x)) ∧ (∃x. (E x))" shows True
251   nitpick [satisfy, user_axioms, expect=genuine] oops (*model found*)
252 end
253
254 context Axioms_Set_V (*Axioms Set IV is implied by Axioms Set V*)
255 begin
256 lemma SivFromV: "(E(x·y) → (E x ∧ E y)) ∧ (E(dom x) → E x) ∧ (E(cod y) → E y)"
257   using S1 S2 S3 by blast
258 lemma EivFromV: "E(x·y) ↔ (dom x ≅ cod y ∧ E(cod y))" using S3 by metis
259 lemma AivFromV: "x·(y·z) ≅ (x·y)·z" using S4 by blast
260 lemma CivFromV: "(cod y)·y ≅ y" using S6 by blast
261 lemma DivFromV: "x·(dom x) ≅ x" using S5 by blast
262 end
263
264 context Axioms_Set_IV (*Axioms Set V is implied by Axioms Set IV*)
265 begin
266 lemma S1FromIV: "E(dom x) → E x" using Siv by blast
267 lemma S2FromIV: "E(cod y) → E y" using Siv by blast
268 lemma S3FromIV: "E(x·y) ↔ dom x ≅ cod y" using Eiv by metis
269 lemma S4FromIV: "x·(y·z) ≅ (x·y)·z" using Aiv by blast
270 lemma S5FromIV: "x·(dom x) ≅ x" using Div by blast
271 lemma S6FromIV: "(cod y)·y ≅ y" using Civ by blast

```

**Table 1** Stepwise evolution of Scott's [33] axiom system for category theory from partial monoids

## Axioms Set I

$S_i$	$E(x \cdot y) \longrightarrow (Ex \wedge Ey)$
$E_i$	$E(x \cdot y) \longleftarrow (Ex \wedge Ey \wedge (\exists z. z \cdot z \cong z \wedge x \cdot z \cong x \wedge z \cdot y \cong y))$
$A_i$	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
$C_i$	$\forall y. \exists i. Ii \wedge i \cdot y \cong y$
$D_i$	$\forall x. \exists j. Ij \wedge x \cdot j \cong x$

## Axioms Set II

$S_{ii}$	$E(x \cdot y) \longrightarrow (Ex \wedge Ey) \wedge (E(dom x) \longrightarrow Ex) \wedge (E(cod y) \longrightarrow Ey)$
$E_{ii}$	$E(x \cdot y) \longleftarrow (Ex \wedge Ey \wedge (\exists z. z \cdot z \cong z \wedge x \cdot z \cong x \wedge z \cdot y \cong y))$
$A_{ii}$	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
$C_{ii}$	$Ey \longrightarrow (I(cod y) \wedge (cod y) \cdot y \cong y)$
$D_{ii}$	$Ex \longrightarrow (I(dom x) \wedge x \cdot (dom x) \cong x)$

## Axioms Set III

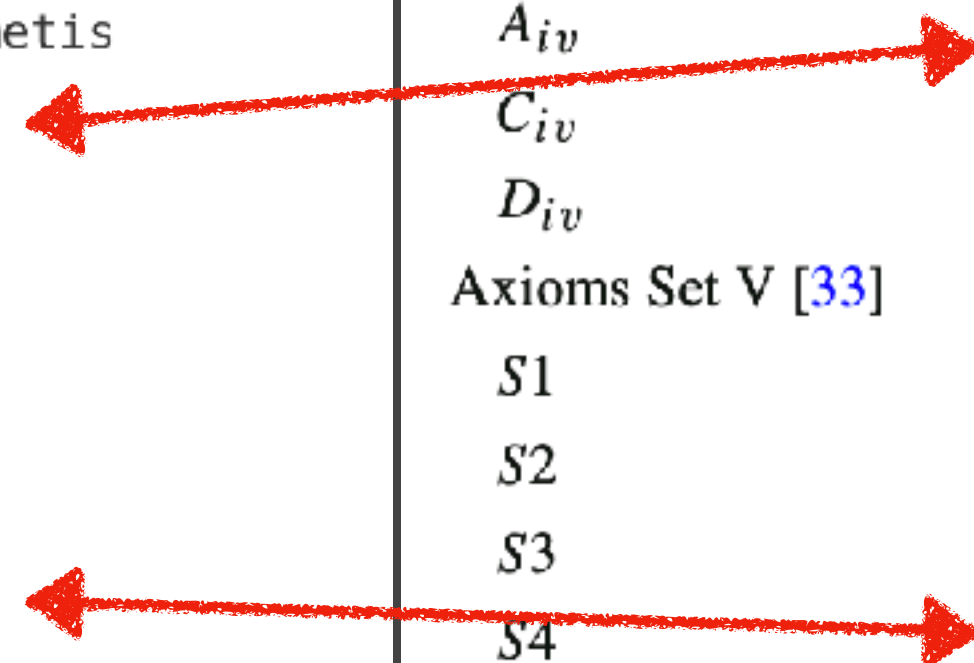
$S_{iii}$	$E(x \cdot y) \longrightarrow (Ex \wedge Ey) \wedge (E(dom x) \longrightarrow Ex) \wedge (E(cod y) \longrightarrow Ey)$
$E_{iii}$	$E(x \cdot y) \longleftarrow (dom x \cong cod y \wedge E(cod y))$
$A_{iii}$	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
$C_{iii}$	$Ey \longrightarrow (I(cod y) \wedge (cod y) \cdot y \cong y)$
$D_{iii}$	$Ex \longrightarrow (I(dom x) \wedge x \cdot (dom x) \cong x)$

## Axioms Set IV

$S_{iv}$	$E(x \cdot y) \longrightarrow (Ex \wedge Ey) \wedge (E(dom x) \longrightarrow Ex) \wedge (E(cod y) \longrightarrow Ey)$
$E_{iv}$	$E(x \cdot y) \longleftrightarrow (dom x \cong cod y \wedge E(cod y))$
$A_{iv}$	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
$C_{iv}$	$(cod y) \cdot y \cong y$
$D_{iv}$	$x \cdot (dom x) \cong x$

## Axioms Set V [33]

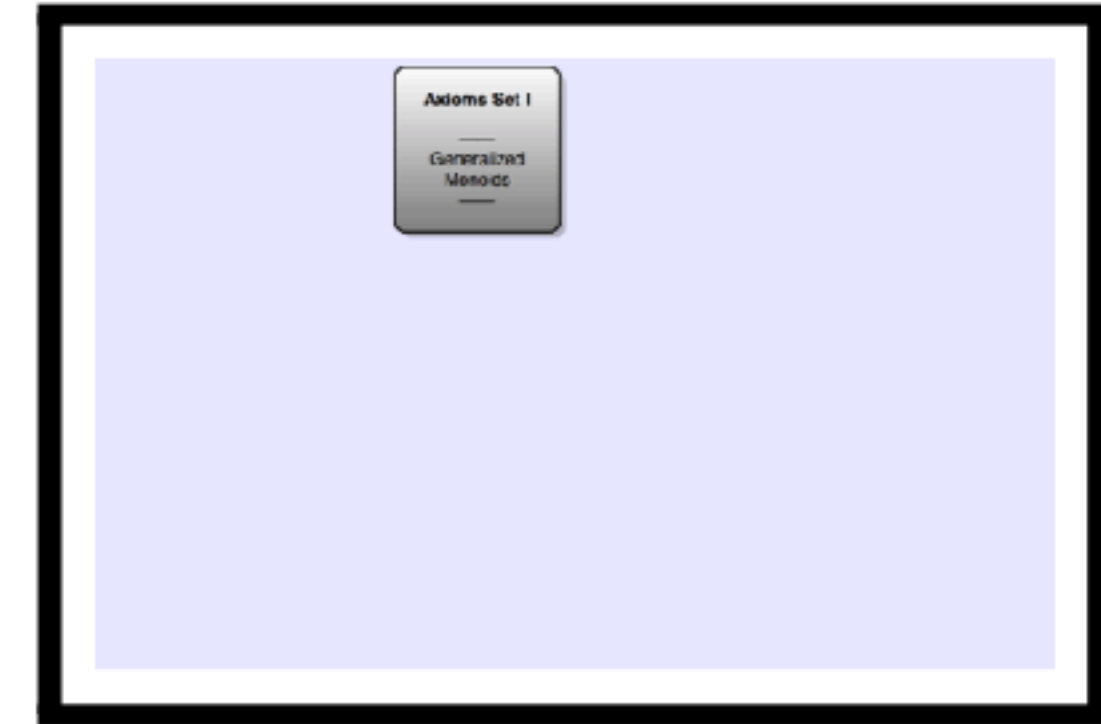
$S1$	$E(dom x) \longrightarrow Ex$
$S2$	$E(cod y) \longrightarrow Ey$
$S3$	$E(x \cdot y) \longleftrightarrow dom x \simeq cod y$
$S4$	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
$S5$	$(cod y) \cdot y \cong y$
$S6$	$x \cdot (dom x) \cong x$





## From Monoids to Categories

We employ a partial, strict binary composition operation  $\cdot$ .  
Left and right identity elements are addressed in  $C_i, D_i$ .



### Categories: Axioms Set I

$S_i$	Strictness	$E(x \cdot y) \rightarrow (Ex \wedge Ey)$
$E_i$	Existence	$E(x \cdot y) \leftarrow (Ex \wedge Ey \wedge (\exists z. z \cdot z \cong z \wedge x \cdot z \cong x \wedge z \cdot y \cong y))$
$A_i$	Associativity	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
$C_i$	Codomain	$\forall y. \exists i. ID(i) \wedge i \cdot y \cong y$
$D_i$	Domain	$\forall x. \exists j. ID(j) \wedge x \cdot j \cong x$

where  $I$  is an **identity morphism** predicate:

$$ID(i) \equiv (\forall x. E(i \cdot x) \rightarrow i \cdot x \cong x) \wedge (\forall x. E(x \cdot i) \rightarrow x \cdot i \cong x)$$

### Monoid

Closure:	$\forall a, b \in S. a \circ b \in S$
Associativity:	$\forall a, b, c \in S. a \circ (b \circ c) = (a \circ b) \circ c$
Identity:	$\exists id_S \in S. \forall a \in S. id_S \circ a = a = a \circ id_S$

## From Monoids to Categories

We employ a partial, strict binary composition operation  $\cdot$ .  
Left and right identity elements are addressed in  $C_i, D_i$ .



### Categories: Axioms Set I

$S_i$	Strictness	$E(x \cdot y) \rightarrow (Ex \wedge Ey)$
$E_i$	Existence	$E(x \cdot y) \leftarrow (Ex \wedge Ey \wedge (\exists z. z \cdot z \cong z \wedge x \cdot z \cong x \wedge z \cdot y \cong y))$
$A_i$	Associativity	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
$C_i$	Codomain	$\forall y. \exists i. ID(i) \wedge i \cdot y \cong y$
$D_i$	Domain	$\forall x. \exists j. ID(j) \wedge x \cdot j \cong x$

where  $I$  is an **identity morphism** predicate:

$$ID(i) \equiv (\forall x. E(i \cdot x) \rightarrow i \cdot x \cong x) \wedge (\forall x. E(x \cdot i) \rightarrow x \cdot i \cong x)$$

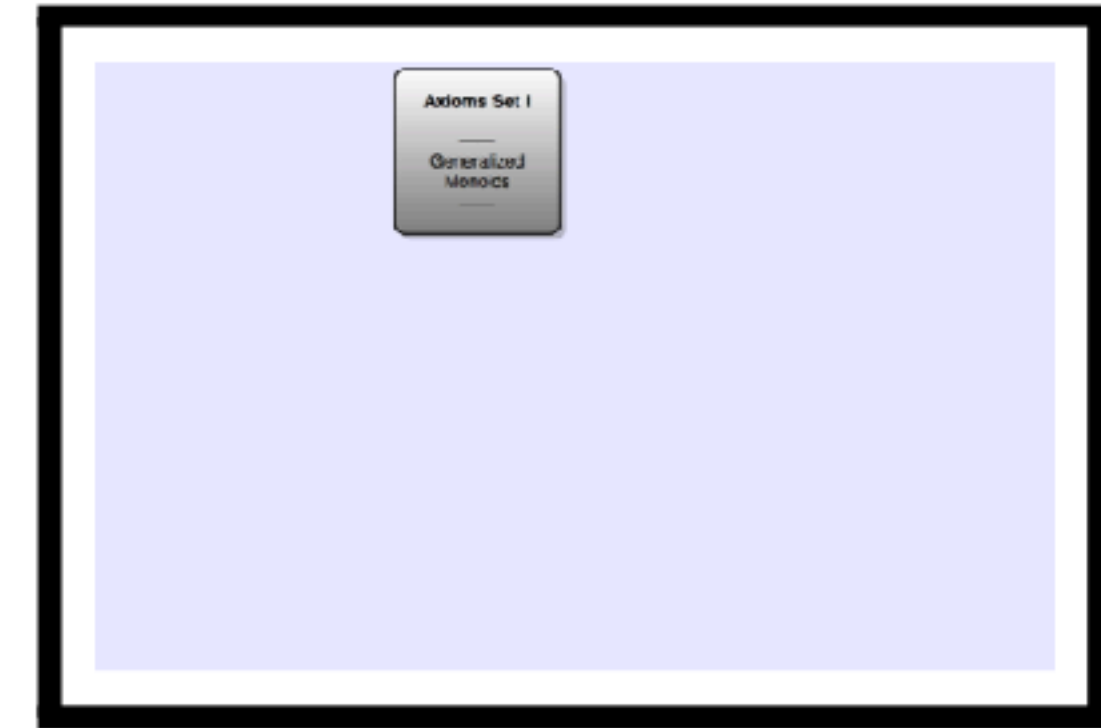
### Experiments with Isabelle/HOL

- The  $i$  in axiom  $C$  is unique: **SLEDGEHAMMER**.
- The  $j$  in axiom  $D$  is unique: **SLEDGEHAMMER**.
- However, the  $i$  and  $j$  need not be equal: **NITPICK**



## From Monoids to Categories

We employ a partial, strict binary composition operation  $\cdot$ .  
Left and right identity elements are addressed in  $C_i, D_i$ .



### Categories: Axioms Set I

$S_i$	Strictness	$E(x \cdot y) \rightarrow (Ex \wedge Ey)$
$E_i$	Existence	$E(x \cdot y) \leftarrow (Ex \wedge Ey \wedge (\exists z. z \cdot z \cong z \wedge x \cdot z \cong x \wedge z \cdot y \cong y))$
$A_i$	Associativity	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
$C_i$	Codomain	$\forall y. \exists i. ID(i) \wedge i \cdot y \cong y$
$D_i$	Domain	$\forall x. \exists j. ID(j) \wedge x \cdot j \cong x$

where  $I$  is an **identity morphism** predicate:

$$ID(i) \equiv (\forall x. E(i \cdot x) \rightarrow i \cdot x \cong x) \wedge (\forall x. E(x \cdot i) \rightarrow x \cdot i \cong x)$$

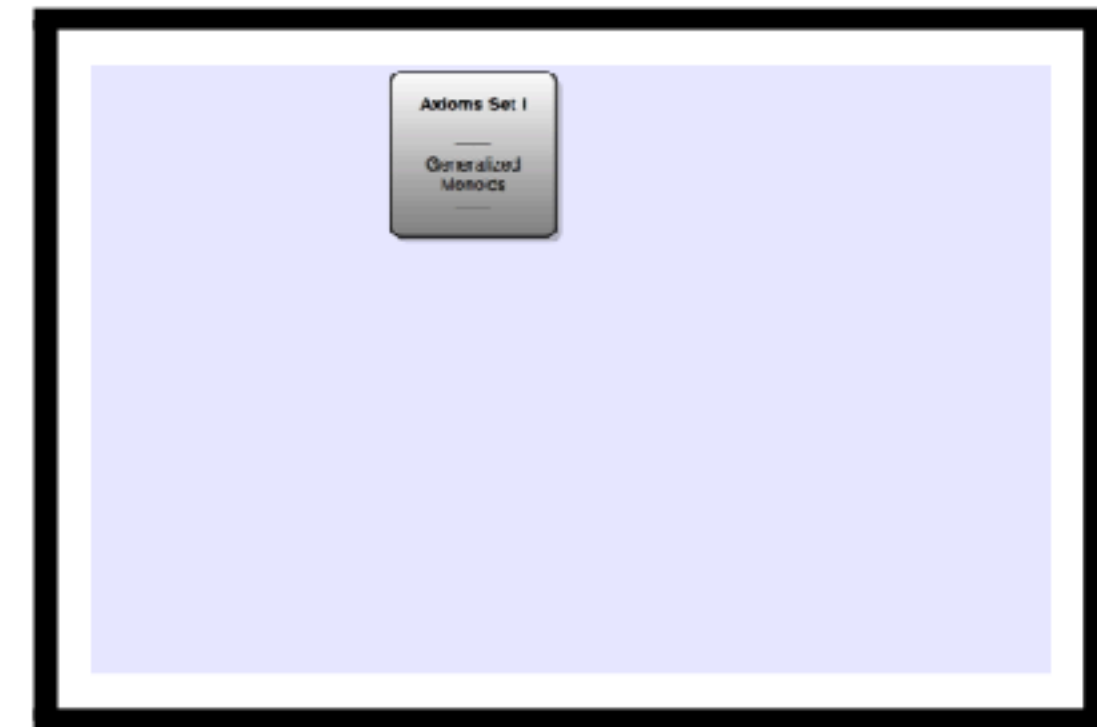
### Experiments with Isabelle/HOL

- The left-to-right direction of  $E$  is implied: **SLEDGEHAMMER**.

$$E(x \cdot y) \rightarrow (Ex \wedge Ey \wedge (\exists z. z \cdot z \cong z \wedge x \cdot z \cong x \wedge z \cdot y \cong y))$$

## From Monoids to Categories

We employ a partial, strict binary composition operation  $\cdot$ .  
Left and right identity elements are addressed in  $C_i, D_i$ .



### Categories: Axioms Set I

$S_i$	Strictness	$E(x \cdot y) \rightarrow (Ex \wedge Ey)$
$E_i$	Existence	$E(x \cdot y) \leftarrow (Ex \wedge Ey \wedge (\exists z. z \cdot z \cong z \wedge x \cdot z \cong x \wedge z \cdot y \cong y))$
$A_i$	Associativity	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
$C_i$	Codomain	$\forall y. \exists i. ID(i) \wedge i \cdot y \cong y$
$D_i$	Domain	$\forall x. \exists j. ID(j) \wedge x \cdot j \cong x$

where  $I$  is an **identity morphism** predicate:

$$ID(i) \equiv (\forall x. E(i \cdot x) \rightarrow i \cdot x \cong x) \wedge (\forall x. E(x \cdot i) \rightarrow x \cdot i \cong x)$$

### Experiments with Isabelle/HOL

- Model finder **NITPICK** confirms that this axiom set is consistent.
- Even if we assume there are non-existing objects  $(\exists x. \neg(Ex))$  we get consistency.



Interaction: Dana – Christoph – Isabelle/HOL



**Dana Scott** <dana.scott@cs.cmu.edu>

8/6/16

to me


> On Aug 5, 2016, at 11:00 PM, Christoph Benzmueller  
>  
> When we take  $IDD(i)$  as  
>  $(\text{all } x)[E(i.x) \implies i.x == x]$  &  
>  $(\text{all } x)[E(x.i) \implies x.i == x]$   
> and replace  $ID(i)$  in our SACDE-axioms by  $IDD(i)$  then  
>  $ID(I)$  and  $IDD(i)$  are equivalent. See attachment New\_  
>  
> So  $IDD(i)$  seem suited as a notion of identity morphism

Ha! I am surprised, because I did not see how to prove

$$(\text{all } i)[IDD(i) \implies i.i == i]$$

I have to think about this. I hate it when computers are smarter than I am!

I guess C and D have to be used.



**Christoph Benzmueller**  
to Dana  
Hi Dana  
C.

Existing: 1, 2      Undefined: 3

	dom	cod
1	1	1
2	2	2
3	3	3

	1	2	3
1	1	3	3
2	3	2	3
3	3	3	3

Interaction: Dana – Christoph – Isabelle/HOL



**Christoph Benzmueller** <c.benzmueller@gmail.com>

7/23/16

to Dana

Dana,  
  
here are the results of the experiments; doesn't look too good.

On Fri, Jul 22, 2016 at 11:43 PM, Dana Scott <dana.scott@cs.cmu.edu> wrote:

> On Jul 21, 2016, at 9:32 AM, Christoph Benzmueller <c.benzmueller@gmail.com> wrote:  
>  
> The F-axioms are all provable from the old S-axioms.  
> But D2, D3 and E3 are not.

I think I see the trouble with those D axioms. But E3 is very odd.

$$E3: E(x.y) \implies (\text{exist } i)[Id(i) \ \& \ x.(i.y) == x.y]$$

You see, by the S-axioms, if you assume  $E(x.y)$ , then  $E(x) \ \& \ E(y) \ \& \ E(\text{cod}(x))$  follows. So the "i" in the conclusion of E3 ought to be "cod(x)".

Please check, therefore, whether this is provable from the S-axioms:

$$(\text{all } x) Id(\text{cod}(x))$$

Apparently it isn't. See file Scott\_new\_axioms\_4.png; the countermodel is presented in the lower window; he have:

dom(i1)=i1, dom(i2)=i2, dom(i3)=i3  
cod(i1)=i1, cod(i2)=i2, cod(i3)=i3  
i1.i1=i1, i1.i2=i3, i1.i3=i3  
i2.i1=i3, i2.i2=i2, i2.i3=i3  
i3.i1=i3, i3.i2=i3, i3.i3=i3  
 $E(i1), E(i2), \neg E(i3)$

I have briefly checked it; it seems to validate each S-axiom.

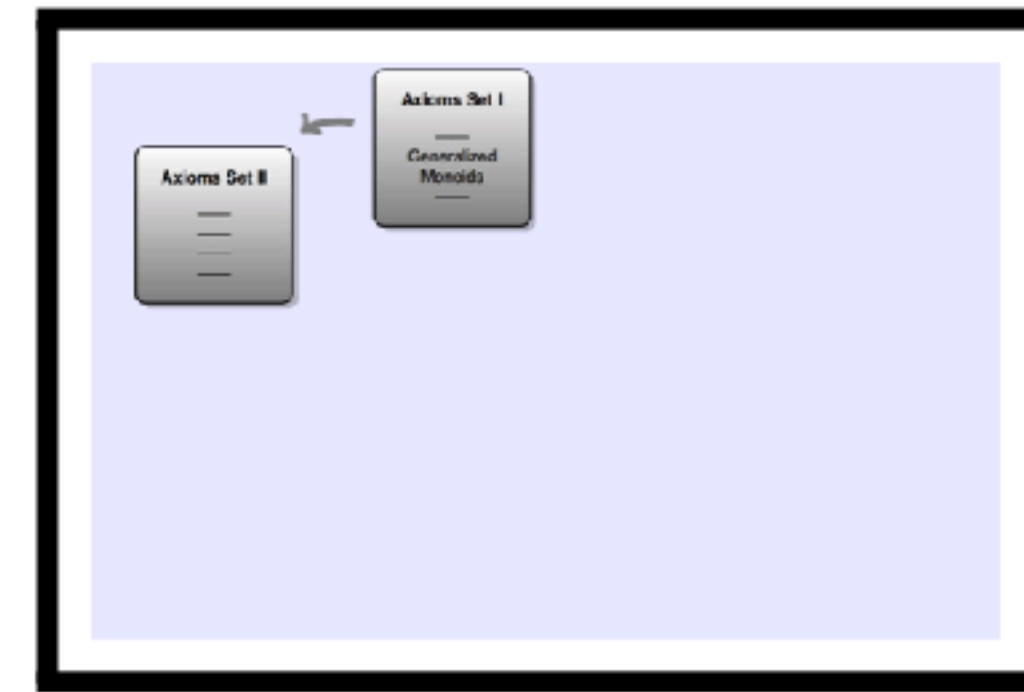
If this is OK, then E3 should have been provable.

**Countermodel by Nitpick converted by me into a readable form**



## From Monoids to Categories

Axioms Set II is developed from Axioms Set I by Skolemization of  $i$  and  $j$  in axioms  $C$  and  $D$ . We can argue semantically that every model of Axioms Set I has such functions. The strictness axiom  $S$  is extended, so that strictness is now also postulated for the new Skolem functions  $dom$  and  $cod$ .



### Categories: Axioms Set II

$S_{ii}$	Strictness	$E(x \cdot y) \rightarrow (Ex \wedge Ey) \wedge (E(dom\ x) \rightarrow Ex) \wedge (E(cod\ y) \rightarrow Ey)$
$E_{ii}$	Existence	$E(x \cdot y) \leftarrow (Ex \wedge Ey \wedge (\exists z. z \cdot z \cong z \wedge x \cdot z \cong x \wedge z \cdot y \cong y))$
$A_{ii}$	Associativity	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
$C_{ii}$	Codomain	$Ey \rightarrow (ID(cod\ y) \wedge (cod\ y) \cdot y \cong y)$
$D_{ii}$	Domain	$Ex \rightarrow (ID(dom\ x) \wedge x \cdot (dom\ x) \cong x)$

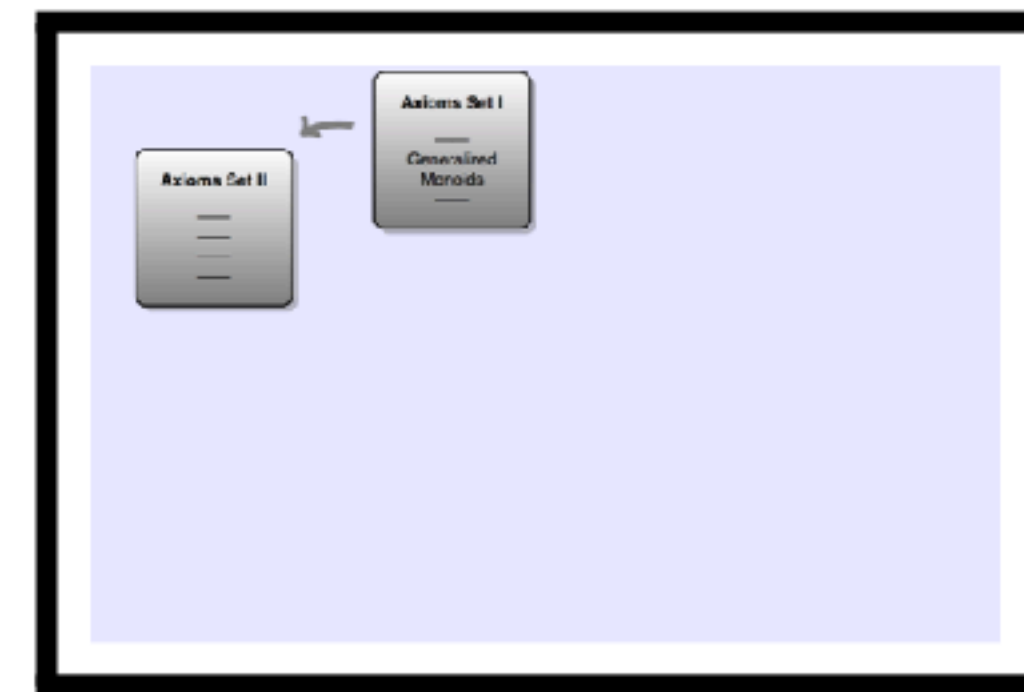
### Categories: Axioms Set I

$S_i$	Strictness	$E(x \cdot y) \rightarrow (Ex \wedge Ey)$
$E_i$	Existence	$E(x \cdot y) \leftarrow (Ex \wedge Ey \wedge (\exists z. z \cdot z \cong z \wedge x \cdot z \cong x \wedge z \cdot y \cong y))$
$A_i$	Associativity	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
$C_i$	Codomain	$\forall y. \exists i. ID(i) \wedge i \cdot y \cong y$
$D_i$	Domain	$\forall x. \exists j. ID(j) \wedge x \cdot j \cong x$



## From Monoids to Categories

Axioms Set II is developed from Axioms Set I by Skolemization of  $i$  and  $j$  in axioms  $C$  and  $D$ . We can argue semantically that every model of Axioms Set I has such functions. The strictness axiom  $S$  is extended, so that strictness is now also postulated for the new Skolem functions  $dom$  and  $cod$ .



### Categories: Axioms Set II

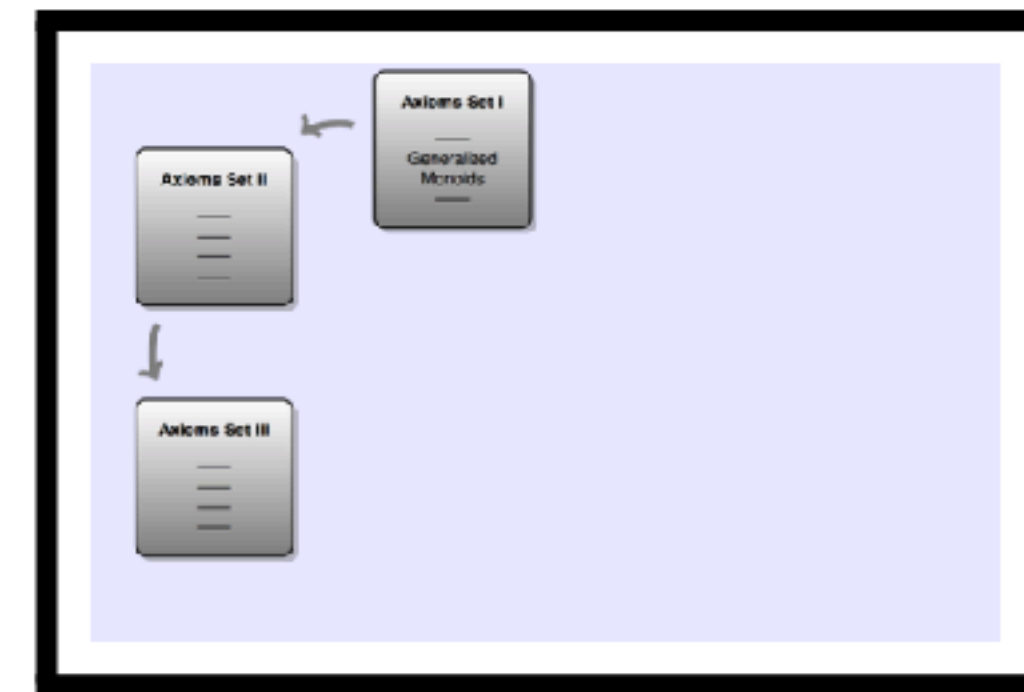
$S_{ii}$	Strictness	$E(x \cdot y) \rightarrow (Ex \wedge Ey) \wedge (E(dom\ x) \rightarrow Ex) \wedge (E(cod\ y) \rightarrow Ey)$
$E_{ii}$	Existence	$E(x \cdot y) \leftarrow (Ex \wedge Ey \wedge (\exists z. z \cdot z \cong z \wedge x \cdot z \cong x \wedge z \cdot y \cong y))$
$A_{ii}$	Associativity	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
$C_{ii}$	Codomain	$Ey \rightarrow (ID(cod\ y) \wedge (cod\ y) \cdot y \cong y)$
$D_{ii}$	Domain	$Ex \rightarrow (ID(dom\ x) \wedge x \cdot (dom\ x) \cong x)$

### Experiments with Isabelle/HOL

- Consistency holds (also when  $\exists x. \neg(Ex)$ ): confirmed by **NITPICK**.
- Axioms Set II implies Axioms Set I: easily proved by **SLEDGEHAMMER**.
- Axioms Set I also implies Axioms Set II (by semantical means on the meta-level)

## From Monoids to Categories

In Axioms Set III the existence axiom  $E$  is simplified by taking advantage of the two new Skolem functions  $dom$  and  $cod$ .



### Categories: Axioms Set III

$S_{iii}$	Strictness	$E(x \cdot y) \rightarrow (Ex \wedge Ey) \wedge (E(dom\ x) \rightarrow Ex) \wedge (E(cod\ y) \rightarrow Ey)$
$E_{iii}$	Existence	$E(x \cdot y) \leftarrow (dom\ x \cong cod\ y \wedge E(cod\ y))$
$A_{iii}$	Associativity	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
$C_{iii}$	Codomain	$Ey \rightarrow (ID(cod\ y) \wedge (cod\ y) \cdot y \cong y)$
$D_{iii}$	Domain	$Ex \rightarrow (ID(dom\ x) \wedge x \cdot (dom\ x) \cong x)$

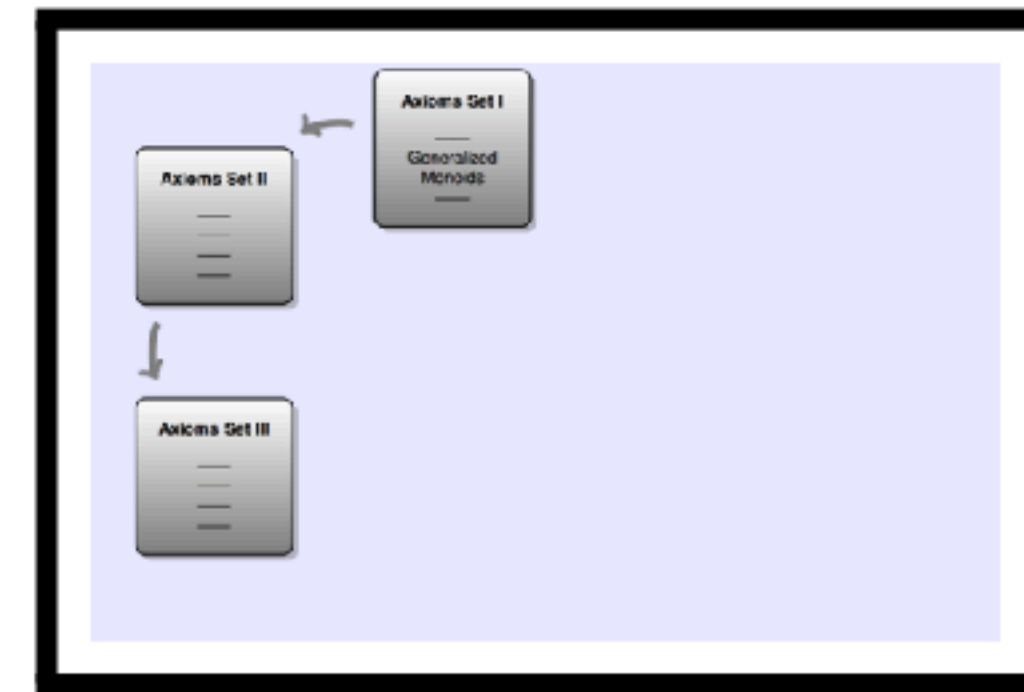
### Categories: Axioms Set II

$S_{ii}$	Strictness	$E(x \cdot y) \rightarrow (Ex \wedge Ey) \wedge (E(dom\ x) \rightarrow Ex) \wedge (E(cod\ y) \rightarrow Ey)$
$E_{ii}$	Existence	$E(x \cdot y) \leftarrow (Ex \wedge Ey \wedge (\exists z. z \cdot z \cong z \wedge x \cdot z \cong x \wedge z \cdot y \cong y))$
$A_{ii}$	Associativity	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
$C_{ii}$	Codomain	$Ey \rightarrow (ID(cod\ y) \wedge (cod\ y) \cdot y \cong y)$
$D_{ii}$	Domain	$Ex \rightarrow (ID(dom\ x) \wedge x \cdot (dom\ x) \cong x)$



## From Monoids to Categories

In Axioms Set III the existence axiom  $E$  is simplified by taking advantage of the two new Skolem functions  $dom$  and  $cod$ .



### Categories: Axioms Set III

$S_{iii}$	Strictness	$E(x \cdot y) \rightarrow (Ex \wedge Ey) \wedge (E(dom\ x) \rightarrow Ex) \wedge (E(cod\ y) \rightarrow Ey)$
$E_{iii}$	Existence	$E(x \cdot y) \leftarrow (dom\ x \cong cod\ y \wedge E(cod\ y))$
$A_{iii}$	Associativity	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
$C_{iii}$	Codomain	$Ey \rightarrow (ID(cod\ y) \wedge (cod\ y) \cdot y \cong y)$
$D_{iii}$	Domain	$Ex \rightarrow (ID(dom\ x) \wedge x \cdot (dom\ x) \cong x)$

### Experiments with Isabelle/HOL

- Consistency holds (also when  $\exists x. \neg(Ex)$ ): confirmed by **NITPICK**.
- The left-to-right direction of existence axiom  $E$  is implied: **SLEDGEHAMMER**.
- Axioms Set III implies Axioms Set II: **SLEDGEHAMMER**.
- Axioms Set II implies Axioms Set III: **SLEDGEHAMMER**.

## Interesting Model (idempotents, but no left- & right-identities)

AxiomaticCategoryTheorySimplifiedAxiomSetIE1.thy

AxiomaticCategoryTheorySimplifiedAxiomSetIE1.thy (~chris/trunk/tex/talks/2018-AITP/DEMO/)

```

153 context (* Axiom Set III *)
154 assumes
155   S_iii: "(E(x·y) → (E x ∧ E y)) ∧ (E(dom x) → E x) ∧ (E(cod y) → E y)" and
156   E_iii: "E(x·y) ← (dom x ≅ cod y ∧ E(cod y))" and
157   A_iii: "x·(y·z) ≅ (x·y)·z" and
158   C_iii: "E y → (ID(cod y) ∧ (cod y)·y ≅ y)" and
159   D_iii: "E x → (ID(dom x) ∧ x·(dom x) ≅ x)"
160 begin
161   (* lemma E_iiFromIII: "E(x·y) ← (E x ∧ E y ∧ (∃z. z·z ≅ z ∧ x·z ≅ x ∧ z·y ≅ y))" *)
162   lemma E_iiFromIII: "E(x·y) ← (E x ∧ E y)" nitpick [show all, format=2] (*Countermodel*)
163 end

```

☒ Proof state
 ☒ Auto update
 Update
 Search:
 100%

Nitpicking formula...

Nitpick found a counterexample for card i = 3

Free variables:

- $x = i_1$
- $y = i_2$

Constants:

- $\text{codomain} = (\lambda x. \_) (i_1 := i_1, i_2 := i_2, i_3 := i_3)$
- $\text{op} \cdot = (\lambda x. \_) ((i_1, i_1) := i_1, (i_1, i_2) := i_3, (i_1, i_3) := i_3, (i_2, i_2) := i_2, (i_2, i_3) := i_3, (i_3, i_3) := i_3)$
- $\text{domain} = (\lambda x. \_) (i_1 := i_1, i_2 := i_2, i_3 := i_3)$
- $F = (\lambda x. \_) (i_1 := \text{True}, i_2 := \text{True}, i_3 := \text{True})$

Output Query Sledgehammer Symbols

162,63 (6973/30779) (isabelle,isabelle,UTF-8-Isabelle)Nm r o UG 526/535MB 1 error(s) 3:46 PM

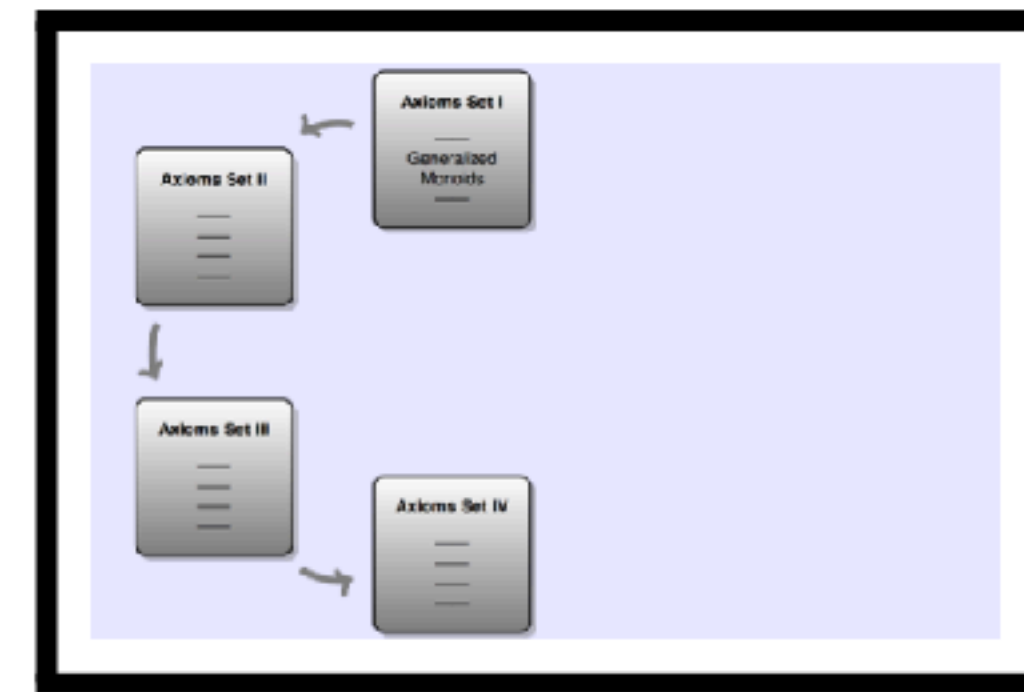
Existing: 1, 2      Undefined: 3

	dom	cod		1	2	3
1	1	1	1	1	3	3
2	2	2	2	3	2	3
3	3	3	3	3	3	3



## From Monoids to Categories

Axioms Set IV simplifies the axioms  $C$  and  $D$ . However, as it turned out, these simplifications also require the existence axiom  $E$  to be strengthened into an equivalence.



### Categories: Axioms Set IV

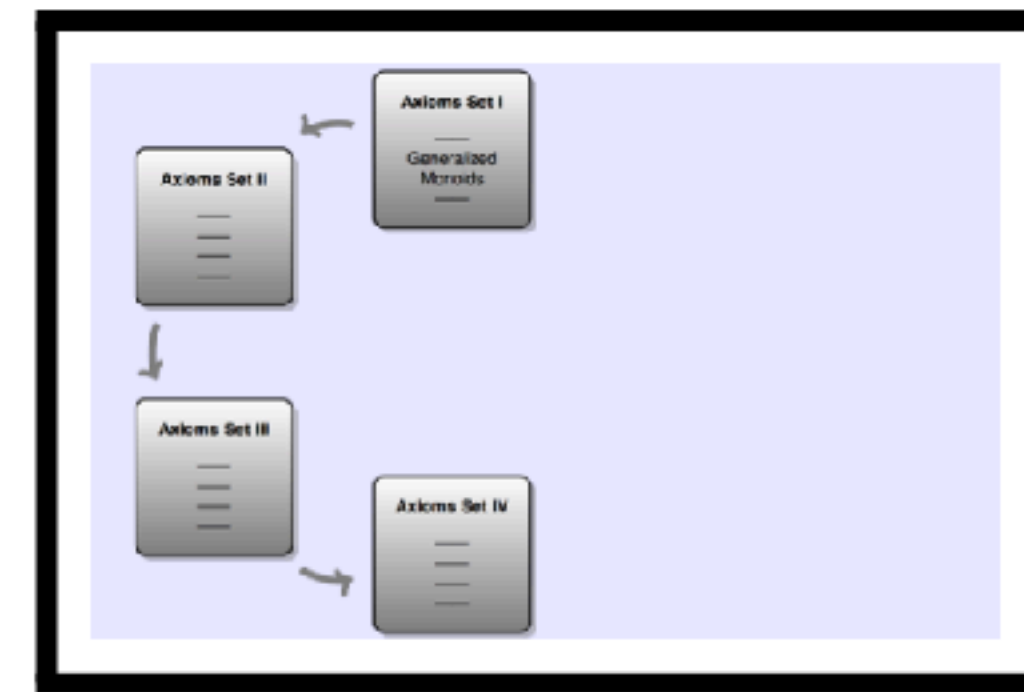
$S_{iv}$	Strictness	$E(x \cdot y) \rightarrow (Ex \wedge Ey) \wedge (E(dom\ x) \rightarrow Ex) \wedge (E(cod\ y) \rightarrow Ey)$
$E_{iv}$	Existence	$E(x \cdot y) \leftrightarrow (dom\ x \cong cod\ y \wedge E(cod\ y))$
$A_{iv}$	Associativity	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
$C_{iv}$	Codomain	$(cod\ y) \cdot y \cong y$
$D_{iv}$	Domain	$x \cdot (dom\ x) \cong x$

### Categories: Axioms Set III

$S_{iii}$	Strictness	$E(x \cdot y) \rightarrow (Ex \wedge Ey) \wedge (E(dom\ x) \rightarrow Ex) \wedge (E(cod\ y) \rightarrow Ey)$
$E_{iii}$	Existence	$E(x \cdot y) \leftarrow (dom\ x \cong cod\ y \wedge E(cod\ y))$
$A_{iii}$	Associativity	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
$C_{iii}$	Codomain	$Ey \rightarrow (ID(cod\ y) \wedge (cod\ y) \cdot y \cong y)$
$D_{iii}$	Domain	$Ex \rightarrow (ID(dom\ x) \wedge x \cdot (dom\ x) \cong x)$

## From Monoids to Categories

Axioms Set IV simplifies the axioms  $C$  and  $D$ . However, as it turned out, these simplifications also require the existence axiom  $E$  to be strengthened into an equivalence.



### Categories: Axioms Set IV

$S_{iv}$	Strictness	$E(x \cdot y) \rightarrow (Ex \wedge Ey) \wedge (E(dom\ x) \rightarrow Ex) \wedge (E(cod\ y) \rightarrow Ey)$
$E_{iv}$	Existence	$E(x \cdot y) \leftrightarrow (dom\ x \cong cod\ y \wedge E(cod\ y))$
$A_{iv}$	Associativity	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
$C_{iv}$	Codomain	$(cod\ y) \cdot y \cong y$
$D_{iv}$	Domain	$x \cdot (dom\ x) \cong x$

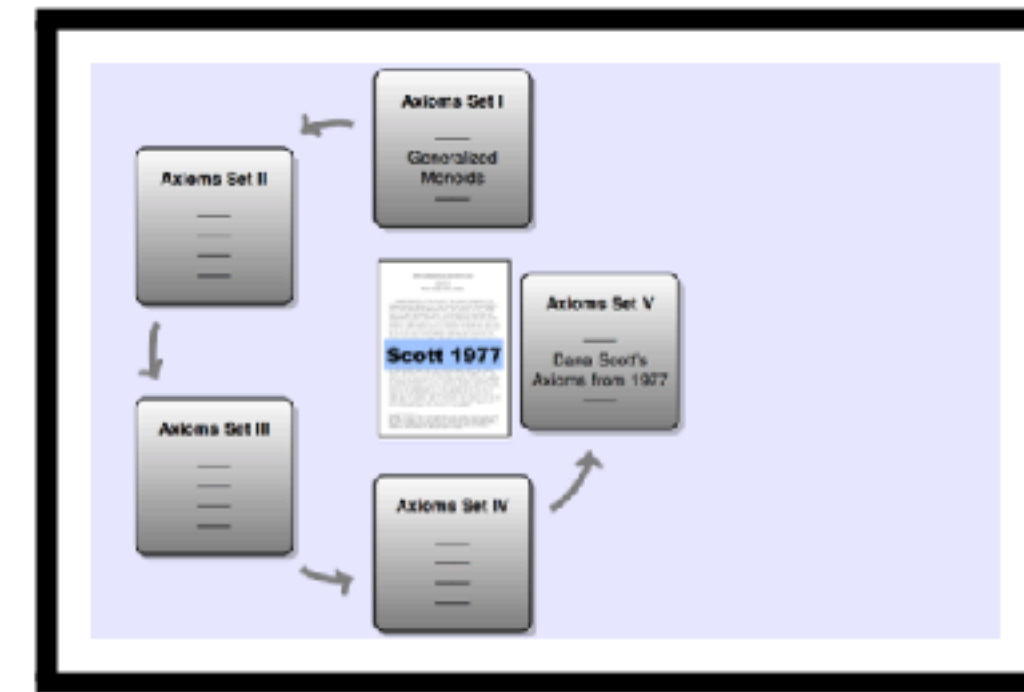
### Experiments with Isabelle/HOL

- Consistency holds (also when  $\exists x. \neg(Ex)$ ): confirmed by **NITPICK**.
- Axioms Set IV implies Axioms Set III: **SLEDGEHAMMER**.
- Axioms Set III implies Axioms Set IV: **SLEDGEHAMMER**.



## From Monoids to Categories

Axioms Set V simplifies axiom  $E$  (and  $S$ ).  
Now, strictness of  $\cdot$  is implied.



### Categories: Axioms Set V (Scott, 1977)

$S1$	Strictness	$E(dom\ x) \rightarrow Ex$
$S2$	Strictness	$E(cod\ y) \rightarrow Ey$
$S3$	Existence	$E(x \cdot y) \leftrightarrow dom\ x \simeq cod\ y$
$S4$	Associativity	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
$S5$	Codomain	$(cod\ y) \cdot y \cong y$
$S6$	Domain	$x \cdot (dom\ x) \cong x$

### Categories: Axioms Set IV

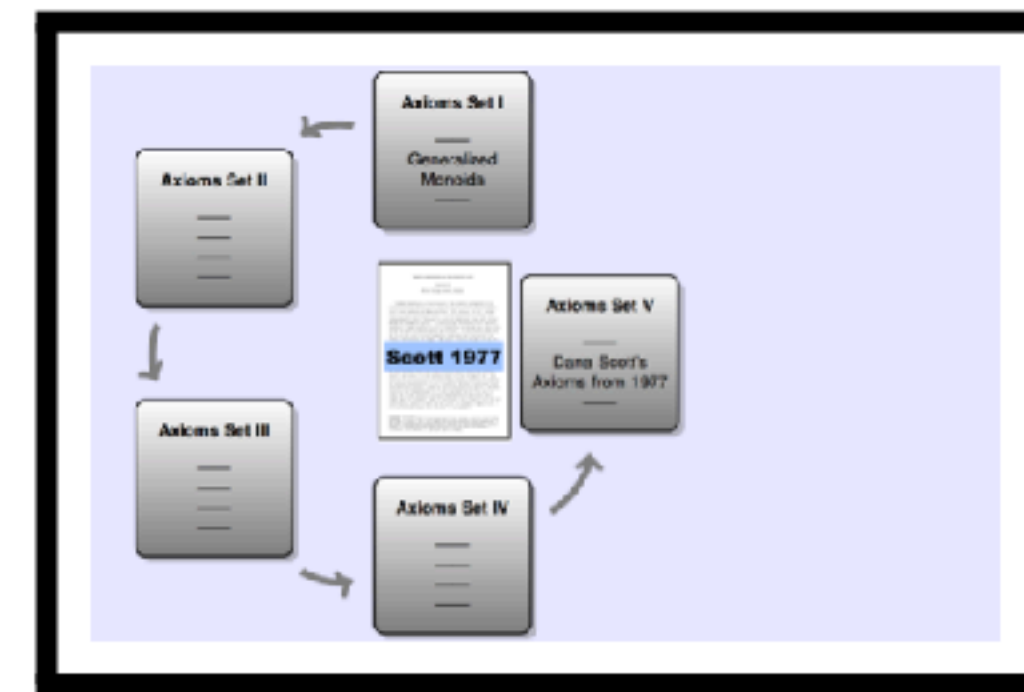
$S_{iv}$	Strictness	$E(x \cdot y) \rightarrow (Ex \wedge Ey) \wedge (E(dom\ x) \rightarrow Ex) \wedge (E(cod\ y) \rightarrow Ey)$
$E_{iv}$	Existence	$E(x \cdot y) \leftrightarrow (dom\ x \cong cod\ y \wedge E(cod\ y))$
$A_{iv}$	Associativity	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
$C_{iv}$	Codomain	$(cod\ y) \cdot y \cong y$
$D_{iv}$	Domain	$x \cdot (dom\ x) \cong x$

## From Monoids to Categories

Axioms Set V simplifies axiom  $E$  (and  $S$ ).  
Now, strictness of  $\cdot$  is implied.

### Categories: Axioms Set V (Scott, 1977)

$S1$	Strictness	$E(\text{dom } x) \rightarrow Ex$
$S2$	Strictness	$E(\text{cod } y) \rightarrow Ey$
$S3$	Existence	$E(x \cdot y) \leftrightarrow \text{dom } x \simeq \text{cod } y$
$S4$	Associativity	$x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
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$S6$	Domain	$x \cdot (\text{dom } x) \cong x$

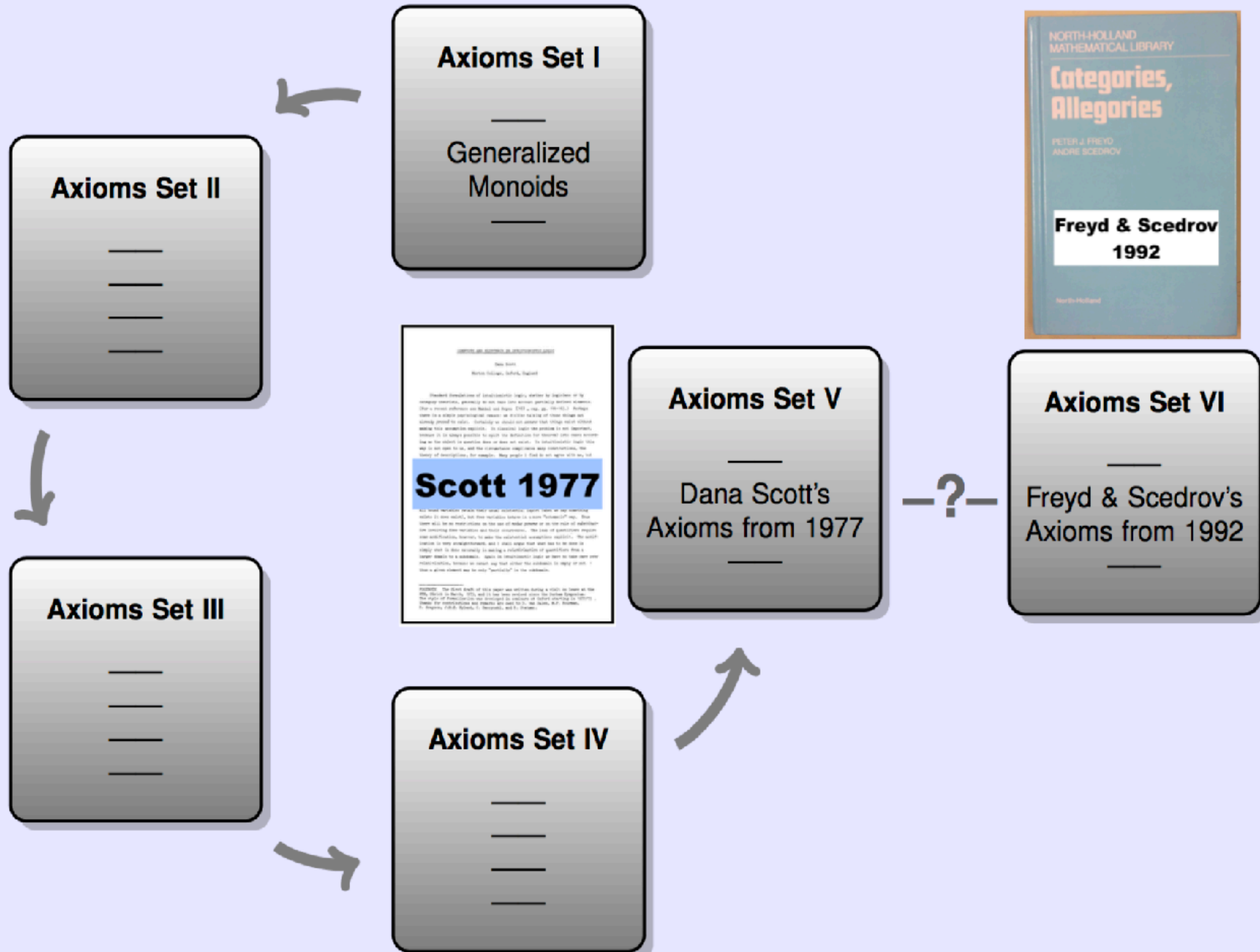


### Experiments with Isabelle/HOL

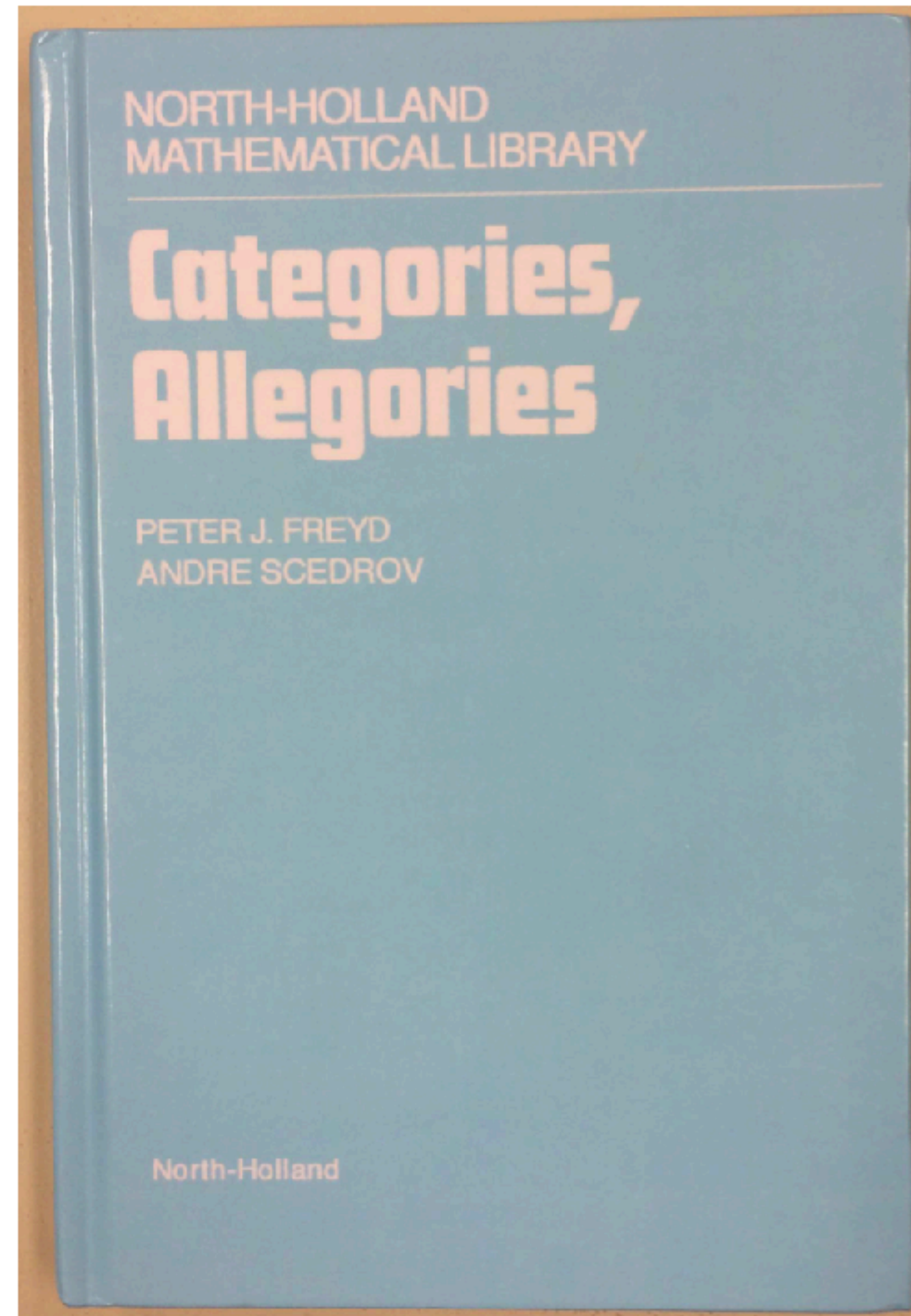
- Consistency holds (also when  $\exists x. \neg(Ex)$ ): confirmed by **NITPICK**.
- Axioms Set V implies Axioms Set IV: **SLEDGEHAMMER**.
- Axioms Set IV implies Axioms Set V: **SLEDGEHAMMER**.



# Cats & Alligators



# Cats & Alligators



## 1.1. BASIC DEFINITIONS

The theory of CATEGORIES is given by two unary operations and a binary partial operation. In most contexts lower-case variables are used for the 'individuals' which are called *morphisms* or *maps*. The values of the operations are denoted and pronounced as:

$\square x$  the source of  $x$ ,

$x\square$  the target of  $x$ ,

$xy$  the composition of  $x$  and  $y$ .

The axioms:

A1  $xy$  is defined iff  $x\square = \square y$ ,

A1a  $(\square x)\square = \square x$  and  $\square(x\square) = x\square$ , A2b

A3a  $(\square x)x = x$  and  $x(x\square) = x$ , A3b

A4a  $\square(xy) = \square(x(\square y))$  and  $(xy)\square = ((x\square)y)\square$ , A4b

A5  $x(yz) = (xy)z$ .

**1.11.** The ordinary equality sign  $=$  will be used only in the symmetric sense, to wit: if either side is defined then so is the other and they are equal. A theory, such as this, built on an ordered list of partial operations, the domain of definition of each given by equations in the previous, and with all other axioms equational, is called an **ESSENTIALLY ALGEBRAIC THEORY**.

**1.12.** We shall use a venturi-tube  $\asymp$  for *directed equality* which means: if the left side is defined then so is the right and they are equal. The axiom that  $\square(xy) = \square(x(\square y))$  is equivalent, in the presence of the earlier axioms, with  $\square(xy) \asymp \square x$  as can be seen below.

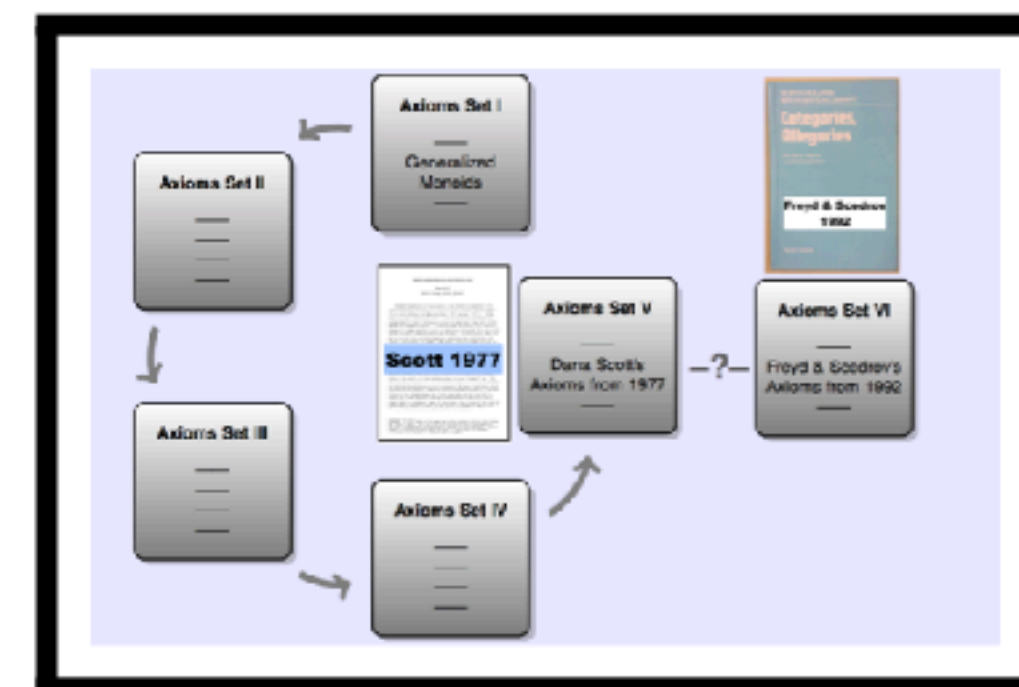
**1.13.**  $\square(\square x) = \square x$  because  $\square(\square x) = \square((\square x)\square) = (\square x)\square = \square x$ . Similarly  $(x\square)\square = x\square$ .



# Cats & Alligators

## Categories: Original axiom set by Freyd and Scedrov (modulo notation)

- A1  $E(x \cdot y) \leftrightarrow \text{dom } x \cong \text{cod } y$
- A2a  $\text{cod}(\text{dom } x) \cong \text{dom } x$
- A2b  $\text{dom}(\text{cod } y) \cong \text{cod } y$
- A3a  $x \cdot (\text{dom } x) \cong x$
- A3b  $(\text{cod } y) \cdot y \cong y$
- A4a  $\text{dom}(x \cdot y) \cong \text{dom}((\text{dom } x) \cdot y)$
- A4b  $\text{cod}(x \cdot y) \cong \text{cod}(x \cdot (\text{cod } y))$
- A5  $x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$



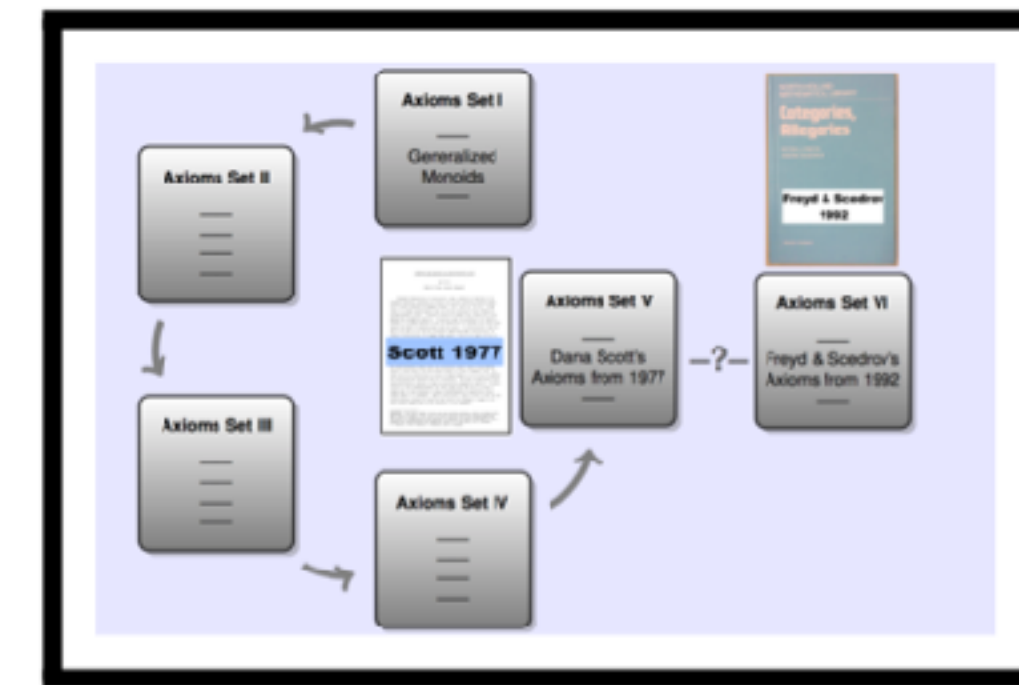
## Experiments with Isabelle/HOL

- Consistency? — Nitpick finds a model.
- Consistency when assuming  $\exists x. \neg Ex$  — Nitpick does **not** find a model.
- lemma  $(\exists x. \neg Ex) \rightarrow \text{False}$ : **SLEDGEHAMMER**. (Problematic axioms: A1, A2a, A3a)

# Cats & Alligators

## Categories: Axioms Set VI (Freyd and Scedrov, when corrected)

- A1  $E(x \cdot y) \leftrightarrow \text{dom } x \simeq \text{cod } y$
- A2a  $\text{cod}(\text{dom } x) \cong \text{dom } x$
- A2b  $\text{dom}(\text{cod } y) \cong \text{cod } y$
- A3a  $x \cdot (\text{dom } x) \cong x$
- A3b  $(\text{cod } y) \cdot y \cong y$
- A4a  $\text{dom}(x \cdot y) \cong \text{dom}((\text{dom } x) \cdot y)$
- A4b  $\text{cod}(x \cdot y) \cong \text{cod}(x \cdot (\text{cod } y))$
- A5  $x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$



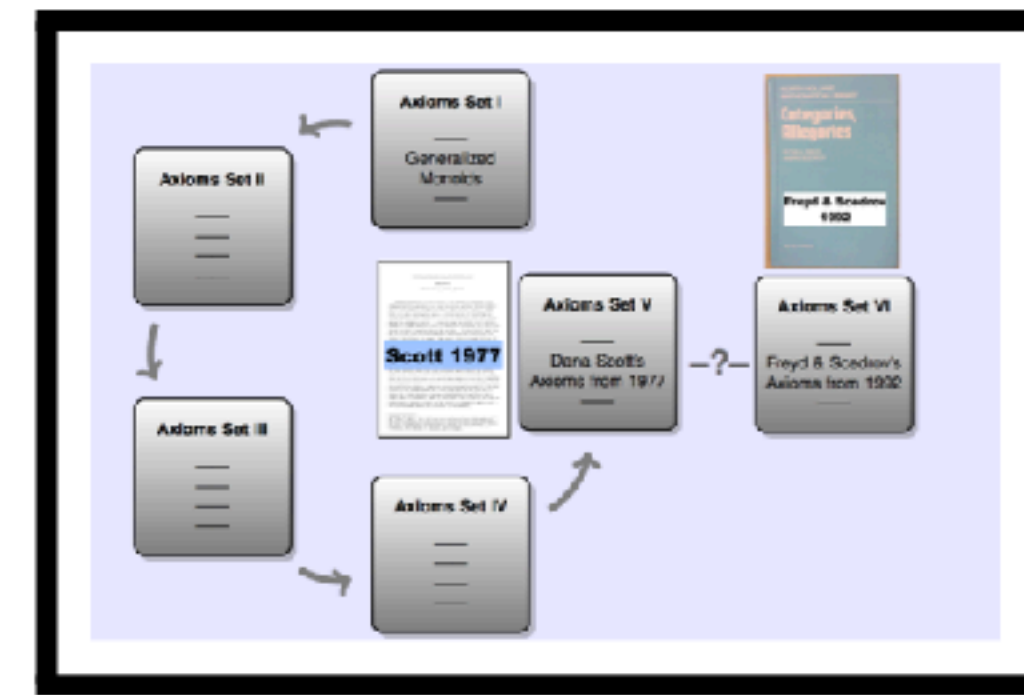
## Experiments with Isabelle/HOL

- Consistency holds (also when  $\exists x. \neg(E x)$ ): confirmed by **NITPICK**.
- Axioms Set VI implies Axioms Set V: **SLEDGEHAMMER**.
- Axioms Set V implies Axioms Set VI: **SLEDGEHAMMER**.
- Redundancies:
  - The A4-axioms are implied by the others: **SLEDGEHAMMER**.
  - The A2-axioms are implied by the others: **SLEDGEHAMMER**.



## Cats & Alligators

Maybe Freyd and Scedrov do not assume a free logic.  
In algebraic theories free variables often range over existing objects only. However, we can formalise this as well:



### Categories: “Algebraic reading” of axiom set by Freyd and Scedrov.

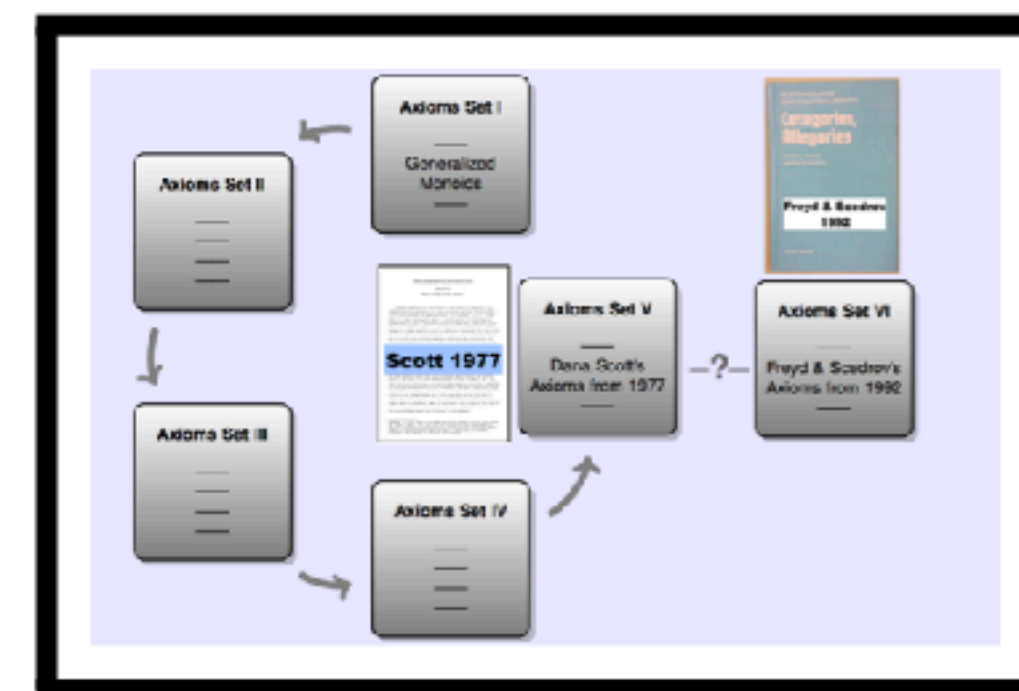
- A1  $\forall xy. E(x \cdot y) \leftrightarrow \text{dom } x \cong \text{cod } y$
- A2a  $\forall x. \text{cod}(\text{dom } x) \cong \text{dom } x$
- A2b  $\forall y. \text{dom}(\text{cod } y) \cong \text{cod } y$
- A3a  $\forall x. x \cdot (\text{dom } x) \cong x$
- A3b  $\forall y. (\text{cod } y) \cdot y \cong y$
- A4a  $\forall xy. \text{dom}(x \cdot y) \cong \text{dom}((\text{dom } x) \cdot y)$
- A4b  $\forall xy. \text{cod}(x \cdot y) \cong \text{cod}(x \cdot (\text{cod } y))$
- A5  $\forall xyz. x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$

### Experiments with Isabelle/HOL

- Consistency holds (also when  $\exists x. \neg(Ex)$ ): confirmed by **NITPICK**.
- However, none of V-axioms are implied: **NITPICK**.
- For equivalence to V-axioms: add strictness of *dom*, *cod*,  $\cdot$ , **SLEDGEHAMMER**.

## Cats & Alligators

Maybe Freyd and Scedrov do not assume a free logic.  
In algebraic theories free variables often range over existing objects only. However, we can formalise this as well:



### Categories: “Algebraic reading” of axiom set by Freyd and Scedrov.

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- A3b  $\forall y. (\text{cod } y) \cdot y \cong y$
- A4a  $\forall xy. \text{dom}(x \cdot y) \cong \text{dom}((\text{dom } x) \cdot y)$
- A4b  $\forall xy. \text{cod}(x \cdot y) \cong \text{cod}(x \cdot (\text{cod } y))$
- A5  $\forall xyz. x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$

### Experiments with Isabelle/HOL

But: Strictness is not mentioned in Freyd and Scedrov!

And it could not even be expressed axiomatically, when variables range over existing objects only. This leaves us puzzled about their axiom system.

Hence, we better prefer the Axioms Set V by Scott (from 1977).



# GROUPS, CATEGORIES AND DUALITY

BY SAUNDERS MACLANE\*

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CHICAGO

Communicated by Marshall Stone, May 1, 1948

It has long been recognized that the theorems of group theory display a certain duality. The concept of a lattice gives a partial expression for this duality, in that some of the theorems about groups which can be formulated in terms of the lattice of subgroups of a group display the customary lattice duality between meet (intersection) and join (union). The duality is not always present, in the sense that the lattice dual of a

true theorem is a theorem of the same series, but there are other theorems which are not dual to any theorem. As an

introduced the notion of a category.<sup>6</sup> A *category* is a class of "mappings" (say, homomorphisms) in which the product  $\alpha\beta$  of certain pairs of mappings  $\alpha$  and  $\beta$  is defined. A mapping  $e$  is called an *identity* if  $\rho\alpha = \alpha$  and  $\beta\rho = \beta$  whenever the products in question are defined. These products must satisfy the axioms:

- (C-1). If the products  $\gamma\beta$  and  $(\gamma\beta)\alpha$  are defined, so is  $\beta\alpha$ ;
- (C-1'). If the products  $\beta\alpha$  and  $\gamma(\beta\alpha)$  are defined, so is  $\gamma\beta$ ;
- (C-2). If the products  $\gamma\beta$  and  $\beta\alpha$  are defined, so are the products  $(\gamma\beta)\alpha$  and  $\gamma(\beta\alpha)$ , and these products are equal.
- (C-3). For each  $\gamma$  there is an identity  $e_D$  such that  $\gamma e_D$  is defined;
- (C-4). For each  $\gamma$  there is an identity  $e_R$  such that  $e_R \gamma$  is defined.

It follows that the identities  $e_D$  and  $e_R$  are unique; they may be called, respectively, the *domain* and the *range* of the given mapping  $\gamma$ . A mapping  $\theta$  with a two-sided inverse is an *equivalence*.

These axioms are clearly self dual, and a dual theory of free and direct products may be constructed in any category in which such products exist.

As before, we adopt an algebraic reading and add an explicit strictness condition.

**Categories: Axioms Set by Mac Lane**

- C0  $E(\gamma \cdot \beta) \rightarrow (E\gamma \wedge E\beta)$  **(added by us)**  
C1  $\forall \gamma, \beta, \alpha. (E(\gamma \cdot \beta) \wedge E((\gamma \cdot \beta) \cdot \alpha)) \rightarrow E(\beta \cdot \alpha)$   
C1'  $\forall \gamma, \beta, \alpha. (E(\beta \cdot \alpha) \wedge E(\gamma \cdot (\beta \cdot \alpha))) \rightarrow E(\gamma \cdot \beta)$   
C2  $\forall \gamma, \beta, \alpha. (E(\gamma \cdot \beta) \wedge E(\beta \cdot \alpha)) \rightarrow$   
 $(E((\gamma \cdot \beta) \cdot \alpha) \wedge E(\gamma \cdot (\beta \cdot \alpha)) \wedge ((\gamma \cdot \beta) \cdot \alpha) = (\gamma \cdot (\beta \cdot \alpha)))$   
C3  $\forall \gamma. \exists eD. IDMcL(eD) \wedge E(\gamma \cdot eD)$   
C4  $\forall \gamma. \exists eR. IDMcL(eR) \wedge E(eR \cdot \gamma)$

where  $IDMcL(\rho) \equiv (\forall \alpha. E(\rho \cdot \alpha) \rightarrow \rho \cdot \alpha = \alpha) \wedge (\forall \beta. E(\beta \cdot \rho) \rightarrow \beta \cdot \rho = \beta)$

Consistency holds (also when  $\exists x. \neg(Ex)$ ): confirmed by **NITPICK**.



How about the Skolemized variant?

### Categories: Axioms Set by Mac Lane

- C0  $(E(\gamma \cdot \beta) \rightarrow (E\gamma \wedge E\beta)) \wedge (E(\text{dom } \gamma) \rightarrow (E\gamma)) \wedge (E(\text{cod } \gamma) \rightarrow (E\gamma))$  **(added)**
- C1  $\forall \gamma, \beta, \alpha. (E(\gamma \cdot \beta) \wedge E((\gamma \cdot \beta) \cdot \alpha)) \rightarrow E(\beta \cdot \alpha)$
- C1'  $\forall \gamma, \beta, \alpha. (E(\beta \cdot \alpha) \wedge E(\gamma \cdot (\beta \cdot \alpha))) \rightarrow E(\gamma \cdot \beta)$
- C2  $\forall \gamma, \beta, \alpha. (E(\gamma \cdot \beta) \wedge E(\beta \cdot \alpha)) \rightarrow$   
 $(E((\gamma \cdot \beta) \cdot \alpha) \wedge E(\gamma \cdot (\beta \cdot \alpha)) \wedge ((\gamma \cdot \beta) \cdot \alpha) = (\gamma \cdot (\beta \cdot \alpha)))$
- C3  $\forall \gamma. \text{IDMcL}(\text{dom } \gamma) \wedge E(\gamma \cdot (\text{dom } \gamma))$
- C4  $\forall \gamma. \text{IDMcL}(\text{cod } \gamma) \wedge E((\text{cod } \gamma) \cdot \gamma)$

Consistency holds (also when  $\exists x. \neg(Ex)$ ): confirmed by **NITPICK**.

This axioms set is equivalent to (as shown by Sledgehammer)

### Categories: Axioms Set V (Scott, 1977)

- S1 Strictness  $E(\text{dom } x) \rightarrow Ex$
- S2 Strictness  $E(\text{cod } y) \rightarrow Ey$
- S3 Existence  $E(x \cdot y) \leftrightarrow \text{dom } x \simeq \text{cod } y$
- S4 Associativity  $x \cdot (y \cdot z) \cong (x \cdot y) \cdot z$
- S5 Codomain  $(\text{cod } y) \cdot y \cong y$
- S6 Domain  $x \cdot (\text{dom } x) \cong x$

How about the Skolemized variant?

**Categories: Axioms Set by Mac Lane**

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Consistency holds (also when  $\exists x. \neg (Ex)$ ): confirmed by **NITPICK**.

See also our “Archive of Formal Proofs” entry at:

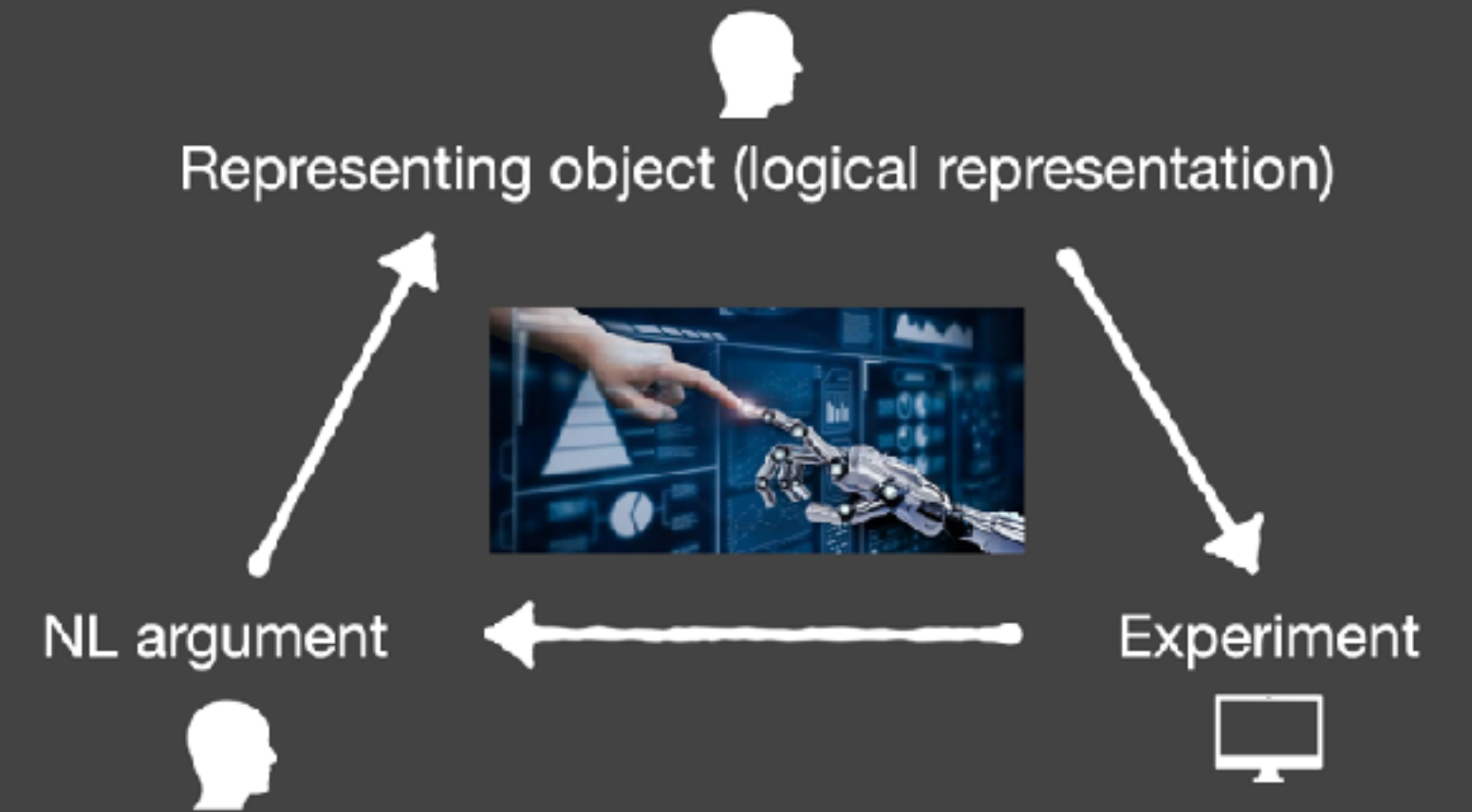
<https://www.isa-afp.org/entries/AxiomaticCategoryTheory.html>



# Results of this study

## Axiom Systems for Category Theory

- Connection depicted to generalised monoids
- Minimal axiom systems, dependencies
- Consistency, strictness assumptions
- Mutual relationships explored



## Methodological Results

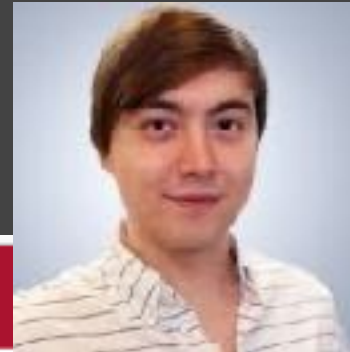
- Evidence for LogiKEy methodology
- High degree of automation: theorem proving & (counter-)model finding
- Required familiarity with Isabelle/HOL still (too) high for non-experts

## Obvious Question

- How about digging deeper?

# Further Experiments

lucca@tiemens.de



International Conference on Relational and Algebraic Methods in Computer Science  
↳ RAMiCS 2020: Relational and Algebraic Methods in Computer Science pp 302–317

## Computer-Supported Exploration of a Categorical Axiomatization of Modeloids

[Lucca Tiemens](#) ✉, [Dana S. Scott](#), [Christoph Benz Müller](#) & [Miroslav Benda](#)

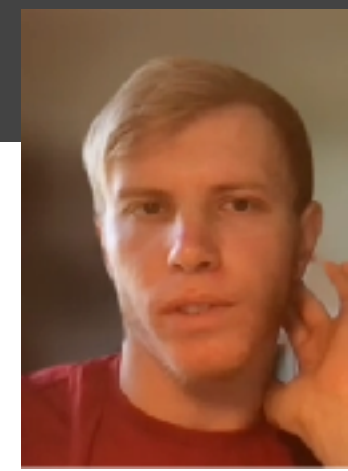
A **modeloid** abstracts from a structure to the set of its partial automorphisms.  
**Using our axiomatisation of category theory** we develop a generalization of a modeloid first to an inverse semigroup and then to an **inverse category**.

Formal framework to study relationship between structures of same vocabulary.

**Abstract representation of Ehrenfeucht-Fraisse games** between two structures.

gonus.aleksey@gmail.com

Freie Universität Berlin



## Categorical semantics of Intuitionistic Multiplicative Linear Logic and its formalization in Isabelle/HOL

Master's thesis

17 May 2021

Focuses on fragment of linear logic: **intuitionistic multiplicative LL (IMLL)**; further generalisation possible.

**Using our axiomatisation of category theory** an interpretation of IMLL formulas and rules in **symmetric monoidal closed categories** is presented.

**Sound Modeling & Automation:** IMLL modelled in Axiomatic Category Theory modelled in Free Logic modell. in HOL.

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FREIE UNIVERSITÄT BERLIN  
BACHELOR'S THESIS

## Exploring categories, formally

2021

JONAS BAYER

Studies **practicability/elegance** of axiomatic category theory approach.

Studies **infinite structures**: category  $\alpha$ -Set of functions between sets (with  $\alpha$ -type elements); good automation.

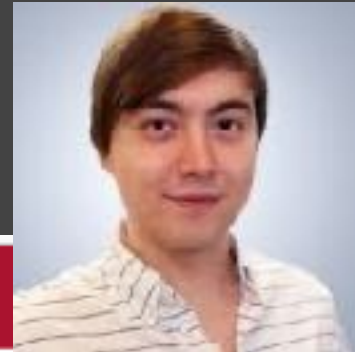
**Categ. with products & coproducts**; some limitations discussed.

**Category of categories**: proves that categories themselves form a category with functors as arrows.



# Further Experiments

lucca@tiemens.de



International Conference on Relational and Algebraic Methods in Computer Science  
→ RAMiCS 2020: Relational and Algebraic Methods in Computer Science pp 302–317

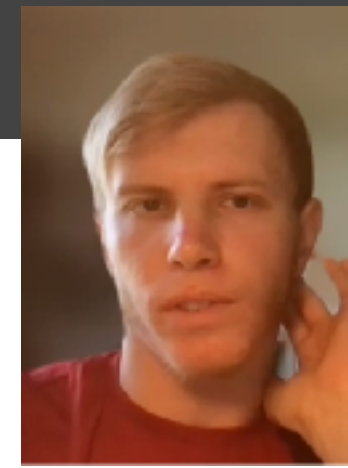
Computer-Supported Exploration of a Categorical  
Axiomatization of Modeloids

Lucca Tiemens, Dana S. Scott, Christoph Benzmüller & Miroslav Benda

A **modeloid** abstracts from a structure to the set of its partial automorphisms. Using our **axiomatisation of modeloids** we develop a generalization of modeloids first to an inverse semigroup and then to an **inverse category**. Formal framework to study relationships between structures of same variety. **Abstract representation of Ehrenfeucht-Fraïssé games** between two structures.

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Freie Universität Berlin



Categorical semantics of Intuitionistic  
Multiplicative Linear Logic and its formalization  
in Isabelle/HOL

jonas.bayer@fu-berlin.de

FREIE UNIVERSITÄT BERLIN

BACHELOR'S THESIS



Exploring categories, formally

## Further formalized concepts

Constructions	Instantiations	Categories + Structure
(Co)products	(typed) category Set	+ Binary (co)product
Equalizers	Category of Posets	Cartesian categories
Final & initial objects	Binary (co)product Category of Lattices	Cartesian closed categories
Exponentials	Category of Categories	Elementary Toposes
Limits (generically)		
Pullbacks		



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# Further Foundational Studies: Metaphysical Theory

(PhD of Daniel Kirchner, supervised by Ed Zalta and myself)

## Principia Logico-Metaphysica (Draft/Excerpt)



Edward N. Zalta  
Philosophy Department  
Stanford University

*With critical theoretical contributions by*

Daniel Kirchner  
Institut für Mathematik  
Freie Universität Berlin  
and

Uri Nodelman  
Philosophy Department  
Stanford University

October 13, 2021

<http://mally.stanford.edu/principia.pdf>



## Computer-Verified Foundations of Metaphysics and an Ontology of Natural Numbers in Isabelle/HOL

**Dissertation**  
zur Erlangung des Grades eines  
**Doktors der Naturwissenschaften**

am Fachbereich Mathematik und Informatik  
der Freien Universität Berlin

vorgelegt von  
**Daniel Kirchner**

Berlin, December 2021

Entire PhD  
thesis was  
written  
directly in  
Isabelle/HOL



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**Foundational metaphysical theory** (based on  
a hyperintensional relational HO modal logic)

**Formalised & studied in Isabelle/HOL**

- approx. 24000 loc
- using LogiKEy methodology
- paradox rediscovered & fixed
- derivation of natural numbers

Latest versions of this theory shifted towards  
**free logic**; strongly influenced (& verified) by  
**computer-experiments**



# Further Foundational Studies: Metaphysical Theory

(PhD of Daniel Kirchner, supervised by Ed Zalta and myself)



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Stanford University

*With critical theoretical contributions by*

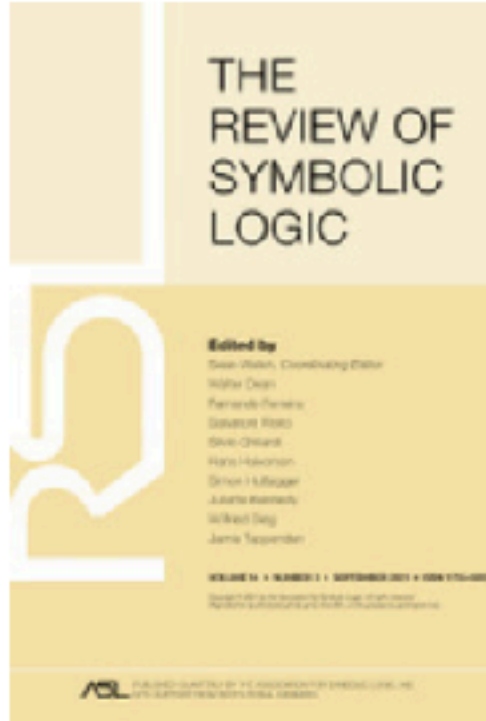
Daniel Kirchner  
Institut für Mathematik  
Freie Universität Berlin  
and

Uri Nodelman  
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Stanford University

October 13, 2021

<http://mally.stanford.edu/principia.pdf>





THE  
REVIEW OF  
SYMBOLIC  
LOGIC

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### MECHANIZING *PRINCIPIA LOGICO-METAPHYSICA* IN FUNCTIONAL TYPE-THEORY

Published online by Cambridge University Press: 12 July 2019

DANIEL KIRCHNER, CHRISTOPH BENZMÜLLER and  
EDWARD N. ZALTA

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### Computer Science and Metaphysics: A Cross-Fertilization

Open Philosophy

Daniel Kirchner, Christoph Benz Müller, Edward N. Zalta August 23, 2019

Isabelle/HOL Code (~24000 loc):

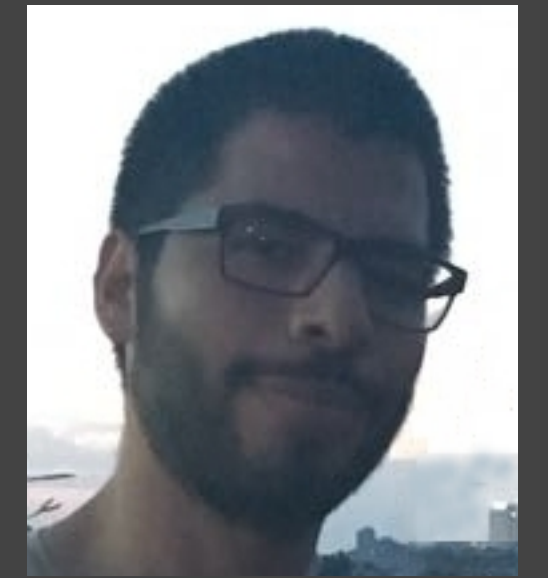
<https://github.com/ekpyron/AOT>

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# Further Foundational Studies: Topology

(ongoing PhD studies of David Fuenmayor)



## TOPOLOGICAL SEMANTICS FOR PARAconsistent AND PARacomplete LOGICS

<b>Title:</b>	Topological semantics for paraconsistent and paracomplete logics
<b>Author:</b>	David Fuenmayor (davfuenmayor /at/ gmail /dot/ com)
<b>Submission date:</b>	2020-12-17
<b>Abstract:</b>	We introduce a generalized topological semantics for paraconsistent and paracomplete logics by drawing upon early works on topological Boolean algebras (cf. works by Kuratowski, Zarycki, McKinsey & Tarski, etc.). In particular, this work exemplarily illustrates the shallow semantical embeddings approach ( <a href="#">SSE</a> ) employing the proof assistant Isabelle/HOL. By means of the SSE technique we can effectively harness theorem provers, model finders and 'hammers' for reasoning with quantified non-classical logics.
<b>BibTeX:</b>	@article{Topological_Semantics-AFP, <a href="#">[...]</a>
<b>License:</b>	<a href="#">BSD License</a>

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## Formalising Basic Topology for Computational Logic in Simple Type Theory

David Fuenmayor<sup>1,2</sup> and Fabian Serrano<sup>3</sup>

<sup>1</sup> University of Luxembourg, Esch-sur-Alzette, Luxembourg

<sup>2</sup> University of Bamberg, Bamberg, Germany

<sup>3</sup> National University of Colombia, Manizales, Colombia

**Abstract.** We present a formalisation of basic topology in simple type theory encoded using the Isabelle/HOL proof assistant. In contrast to related formalisation work, which follows more 'traditional' approaches, our work builds upon closure algebras, encoded as Boolean algebras of (characteristic functions of) sets featuring an axiomatised closure operator (cf. seminal work by Kuratowski and McKinsey & Tarski). With this approach we primarily address students of computational logic, for whom we bring a different focus, closer to lattice theory and logic than to set theory or analysis. This approach has allowed us to better leverage the automated tools integrated into Isabelle/HOL (model finder Nitpick and Sledgehammer) to do most of the proof and refutation heavy-lifting, thus allowing for assumption-minimality and less-verbose interactive proofs.

**Keywords:** Closure Algebras · Topology · Simple Type Theory · Isabelle/HOL

<https://github.com/davfuenmayor/basic-topology.git>



# Further Foundational Studies: Ethics

(ongoing PhD studies of David Fuenmayor)



Pacific Rim International Conference on Artificial Intelligence  
↳ PRICAI 2019: **PRICAI 2019: Trends in Artificial Intelligence** pp 418–432 | [Cite as](#)

## Harnessing Higher-Order (Meta-)Logic to Represent and Reason with Complex Ethical Theories

[David Fuenmayor](#) ✉ & [Christoph Benz Müller](#)

### Normative Reasoning with Expressive Logic Combinations

Authors

David Fuenmayor, Christoph Benz Müller

Pages

2903 - 2904

DOI

10.3233/FAIA200445

Category

Research Article

Series

Frontiers in Artificial Intelligence and Applications

Ebook

Volume 325: ECAI 2020

Deontological ethical theory — **PGC** by Alan Gewirth

**F**ORMALISATION AND **E**VALUATION OF **A**LAN **G**EWIRTH'S **P**ROOF FOR THE **P**RINCIPLE OF **G**ENERIC **C**ONSISTENCY IN **I**SABELLE/**H**OL

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<b>Title:</b>	Formalisation and Evaluation of Alan Gewirth's Proof for the Principle of Generic Consistency in Isabelle/HOL
<b>Authors:</b>	David Fuenmayor (davfuenmayor /at/ gmail /dot/ com) and <a href="#">Christoph Benz Müller</a>
<b>Submission date:</b>	2018-10-30
<b>Abstract:</b>	An ambitious ethical theory ---Alan Gewirth's "Principle of Generic Consistency"--- is encoded and analysed in Isabelle/HOL. Gewirth's theory has stirred much attention in philosophy and ethics and has been proposed as a potential means to bound the impact of artificial general intelligence.
<b>Change history:</b>	[2019-04-09]: added proof for a stronger variant of the PGC and exemplary inferences (revision 88182cb0a2f6)
<b>BibTeX:</b>	@article{GewirthPGCProof-AFP, <a href="#">[...]</a>
<b>License:</b>	<a href="#">BSD License</a>

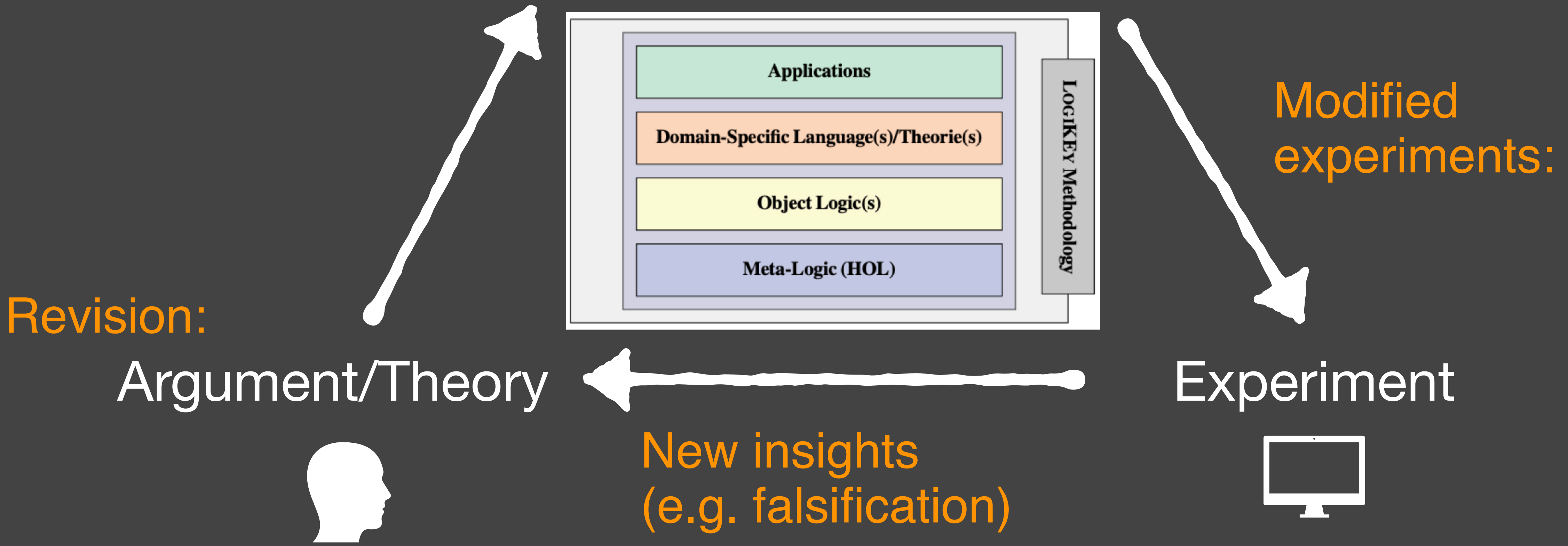
Our encoding utilises the **dyadic deontic logic** by Carmo and Jones as object logic; in fact, it uses a rich logic combination.



# Conclusion: Successful Application(s) of LogiKEy

Revision (often small changes):  Human-Computer Interaction

Representing object (logical representation)



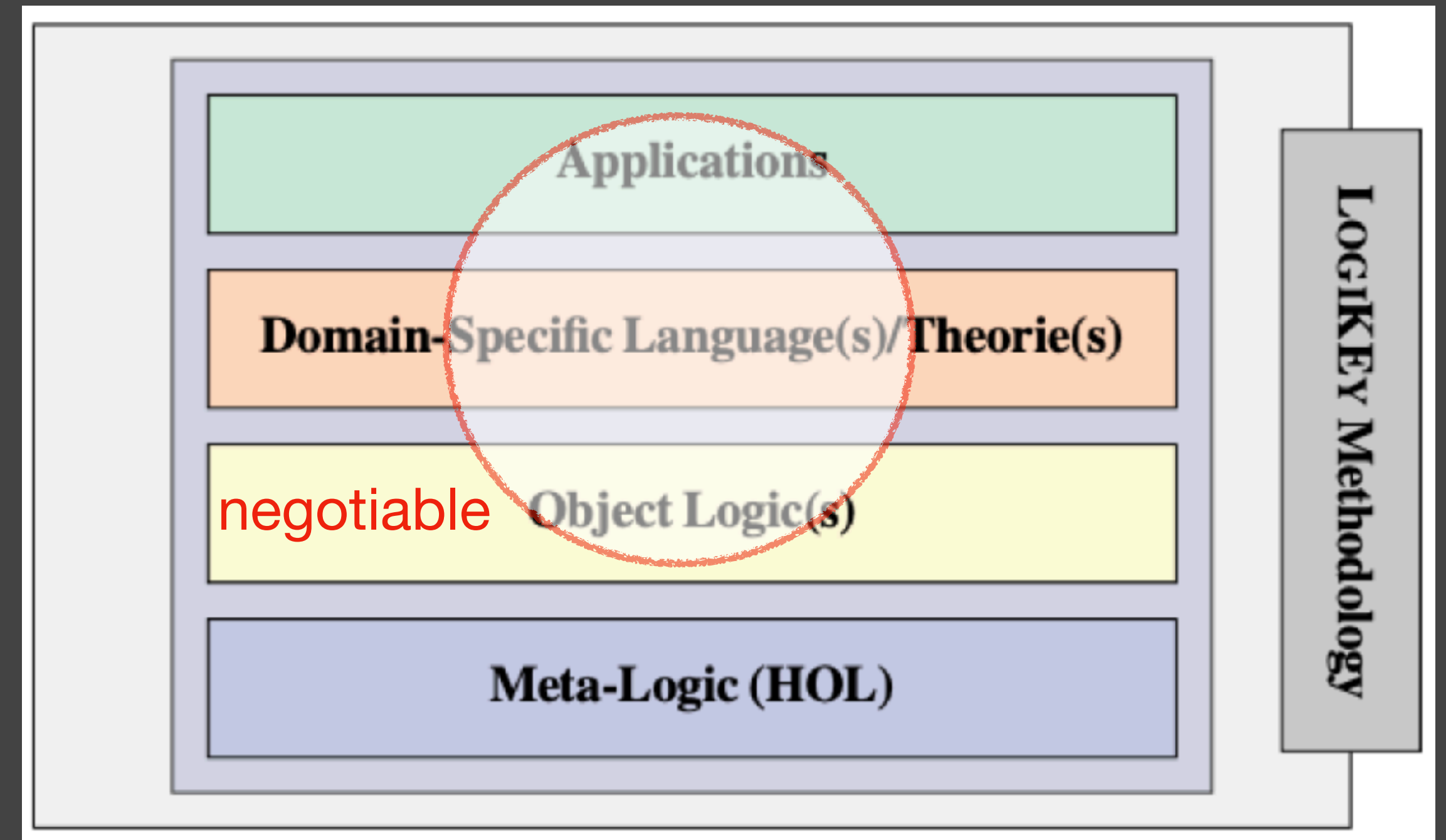
# Conclusion: Logico-Pluralistic LogiKEy Approach

LogiKEy successfully applied for

- a wide range of object logics
- various object logic combinations
- different application domains (with contribution of new insights)

LogiKEy in Isabelle/HOL

- good proof automation with Sledgehammer
- even more valuable is (counter-)model finding with Nitpick
- very good syntax representations



LogiKEy offers a uniform methodology and infrastructure where even object logics and their combinations become negotiable and objects of study.

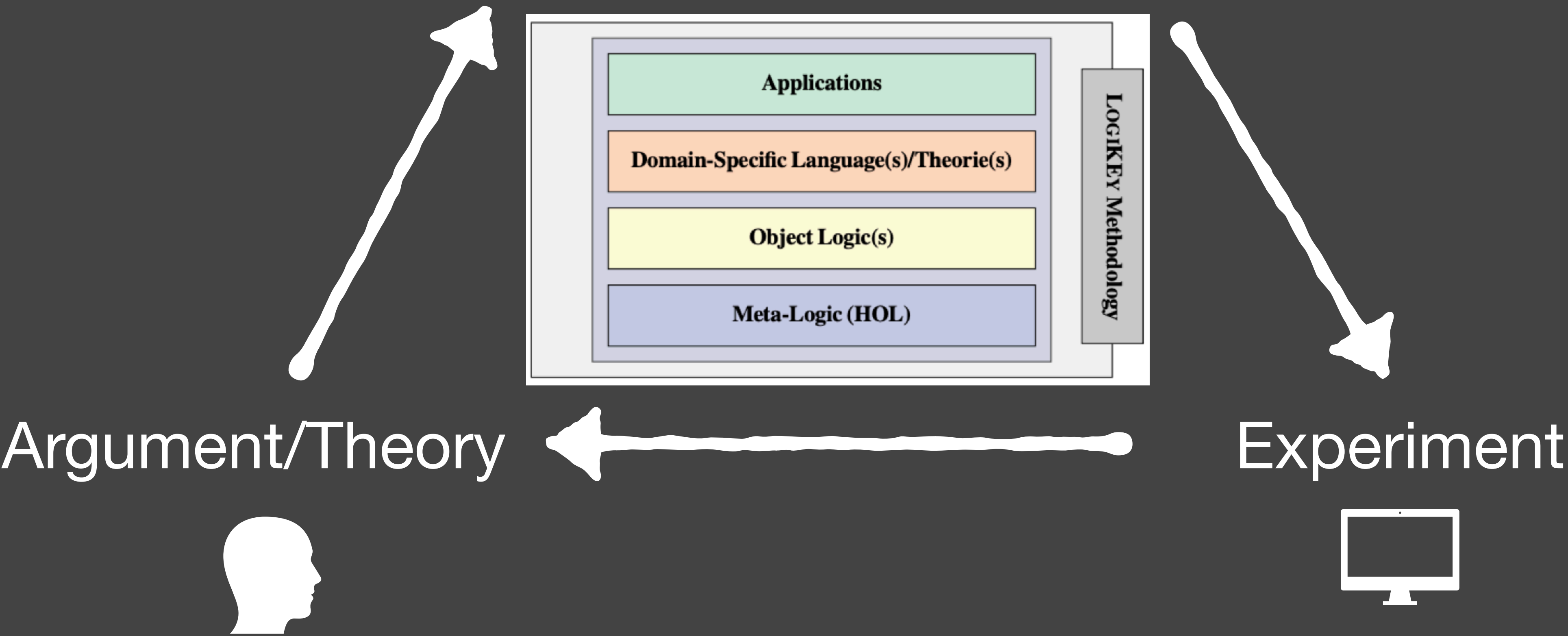


# Conclusion: Successful Application(s) of LogiKEy

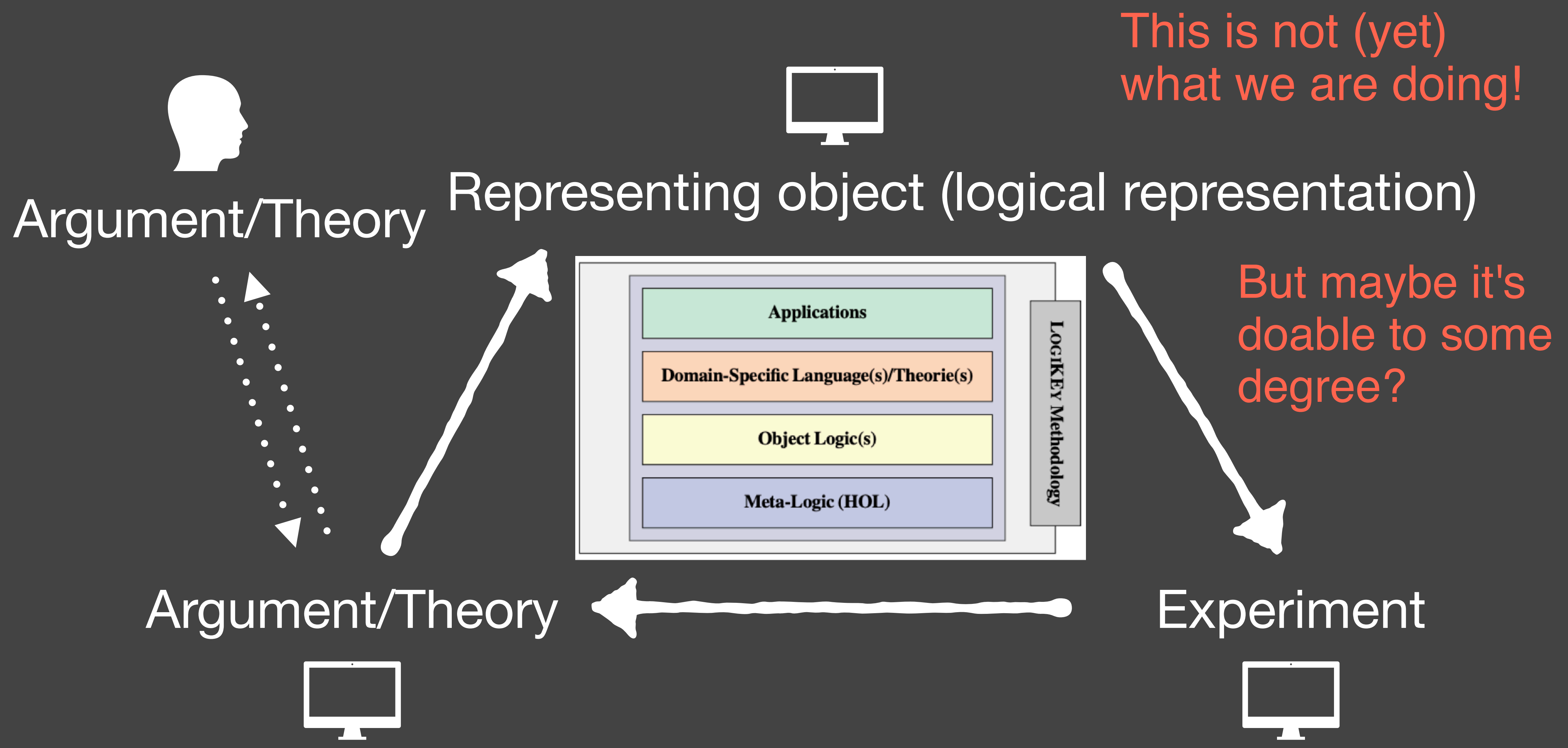
Human-Computer  
Interaction



Representing object (logical representation)



# Conclusion: Successful Application(s) of LogiKEy





# Conclusion: Maths, Metaphysics & Experimental Sciences

... The difference is that the natural scientists base their answers on observation, experiment, measurement and calculation, while the metaphysicians base theirs on armchair reflection ...

*(Timothy Williamson, Oxford, in an article for the British Academy, 14 Aug 2020)*

This seems not completely true anymore.

The differences between metaphysics, maths and experimental sciences could gradually disappear?

But clearly: **Representing Objects, Logic & Symbolic AI** are needed.

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