September 28, 2023 Topos Institute Colloquium

Jonathan Sterling Computer Laboratory University of Cambridge In 1949, Alan Turing presented one of the first "correctness proofs" for a computer program (an addition checker). He asks:

How can one check a routine in the sense of making sure that it is right?

NAÏVE DENOTATIONAL SEMANTICS

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Turing's precocity:

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- 1. **compositional reasoning** about programs
- 2. annotating programs with **local assertions** (cf. Floyd & Hoare)
- 3. **invariants** that cut across all steps of program execution

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Isn't it obvious what an assertion means? (No)

Think of a program with some assertions.

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set x to 2 * x

// x is an even integer

print x

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The meaning of these assertions is *not* obvious.

- 1. What does a "variable" like *x* actually refer to?
- 2. Even "2 * 5" is so far only a *program expression*, so it is not an integer of any kind, much less an *even* integer.

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Assertions and the meaning of program expressions

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Denotational semantics explains the meaning of a complex program P(Q, R, S, ...) in terms of the meanings of its subroutines Q, R, S, ...; cf. Turing's compositionality criterion. Then, assertions are explained as predicates(*) on the meanings of the programs they concern.



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Naïve denotational semantics in sets

- 1. A context Γ or a type τ refers to a *set* $\llbracket \Gamma \rrbracket$ or $\llbracket \tau \rrbracket$.
- 2. A program $\Gamma \vdash M : \tau$ refers to a function $[\![M]\!] : [\![\Gamma]\!] \to [\![\tau]\!]$.
- 3. An assertion $\Gamma \mid \varphi$ refers to a subset $\llbracket \varphi \rrbracket \subseteq \llbracket \Gamma \rrbracket$.
- 4. An entailment $\Gamma \mid \varphi \vdash \psi$ refers to an inclusion $\llbracket \varphi \rrbracket \subseteq \llbracket \psi \rrbracket \subseteq \llbracket \Gamma \rrbracket$.

We are taught almost from birth how to reason informally with sets. The benefit of naïve set theoretic semantics has nothing to do with "set theory" in the professional sense: it is good because we know how to think *naïvely & reliably* about collections and mappings between them.

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NAÏVE DENOTATIONAL SEMANTICS

Mere sets are too discrete to bring order to this complexity! Dana Scott's domain theory broke the logiam (Scott, 1970; Scott, 1972; Scott, 1976; Scott, 1982; Scott, 1993).

Denotational semantics of recursion via domains

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- 2. A program $\Gamma \vdash M : \tau$ refers to a continuous function $\llbracket M \rrbracket : \llbracket \Gamma \rrbracket \to \llbracket \tau \rrbracket.$
- 3. A recursive program $\Gamma \vdash \mathbf{fix} f : \tau$ refers to the colimit of the chain $[\bot \leqslant \llbracket f \rrbracket \bot \leqslant \llbracket f \rrbracket^2 \bot \leqslant \ldots].$

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- 4. An assertion $\Gamma \mid \phi$ refers to ??? (admissible subspaces, open subspaces, closed subspaces) ???

- 1. **Proliferation of obscure variations:** there's a ton of different kinds of domain (w-dcpo, w-cpo, Scott domain, strongly algebraic domain, etc.), each solving different problems.
- 2. **Abstraction is too low:** constant continuity side-obligations an impediment for everyday users of domain theory.
- 3. **No intrinsic notion of assertion**: many different possible ways to interpret assertions, but no unifying language.
- 4. **No intrinsic notion of** *dependent type***:** thus impossible to reason "naïvely" in the language of domains, leading to an artificial boundary between programming and verification.
- 5. **Difficulty with "awkward" PL features**: higher-order store with parametric polymorphism; concurrency requires new kinds of domain (e.g. event structures, presheaf topoi, etc.).

Scott recognized the obscurity and complexity of classical domain theory, and initiated the field of synthetic domain theory to search for topoi that have domain-like spaces as full subcategories.

SYNTHETIC DOMAINS

- 1. A *topos* is a model of extensional Intuitionistic Type Theory in which the propositions (subsingletons) form a univalent universe.
- 2. It is as easy to reason rigorously and informally in an arbitrary topos as it is in set theory. Naïve denotational semantics for recursion.
- 3. A full subcategory of domains means that you never have to check a continuity condition again.
- 4. Every notion of assertion (e.g. admissible subspace, open/closed subspace, etc.) easily expressed in terms of the **subobject classifier**.
- 5. Automatic support for *dependent types*: programming blends with verification.

Many possible axiom systems, but we will focus on a few core axioms that are sufficient in practice, inspired by Simpson (2004).

SYNTHETIC DOMAINS

Let S be an elementary topos with a natural numbers object; we will work informally in the internal language.

SYNTHETIC DOMAINS

Axiom (Dominance)

A subuniverse $\Sigma \subseteq \Omega$ closed under \top and dependent sums $\sum_{x: \Phi} \psi x$ where $\phi : \Sigma$ and $\psi : \phi \to \Sigma$.

Using this axiom, the Σ -partial map classifier construction gives a monad $\mathbb{L} = (L, \eta, \mu)$.

$$\begin{split} \text{LA} &\coloneqq \textstyle \sum_{\varphi : \Sigma} A^{\varphi} \\ \eta_{\text{A}} a &\coloneqq (\top, \lambda_.a) \\ \mu_{\text{A}}(\varphi, u) &\coloneqq \left(\textstyle \sum_{x : \varphi} (ux).\textbf{1}, \lambda(x, y).(ux).\textbf{2}y \right) \end{split}$$

This is a semantic partiality monad! We will later isolate the types in which partial functions can be defined by recursion.

Axiom (Empty Join)

The dominance $\Sigma \subseteq \Omega$ is closed under \bot .

We can also assume joins of higher arity, but this limits the models. Empty joins parameterize diverging computations $(\bot, \lambda()) : LA;$ binary joins would parameterize *parallel* computations.

SYNTHETIC DOMAINS

Think of ω **as the "generic \omega-chain"**; we have elements corresponding to natural numbers, but ω is somehow "thicker" than \mathbb{N} .

Let $\bar{\omega} \to L\bar{\omega}$ be the **final coalgebra** for L; this is a coinductive type. We have $\omega \hookrightarrow \bar{\omega}$, and outside the image lies an infinite element $\infty : \bar{\omega}$.

Think of $\omega \hookrightarrow \bar{\omega}$ as the incidence relation between the generic omega chain and its colimit.

Definition

A type A is called *complete* when it is *orthogonal* to $\omega \hookrightarrow \bar{\omega}$, *i.e.* every figure $\alpha:\omega\to A$ extends to a unique figure $\bar{\alpha}:\bar{\omega}\to A$. We may write $\bigvee_{i \in \mathcal{U}} \alpha_i$ for $\bar{\alpha}_{\infty}$.

There exists a reflective full subfibration $\mathcal{P} \subseteq \mathcal{S}$ whose objects are called *predomains* and are all complete and closed under L.

SYNTHETIC DOMAINS

Note: by above, \mathcal{P} is automatically cartesian closed, and both complete & cocomplete in the fibered sense, with limits computed as in S.

Definition

A *domain* is defined to be an L-algebra whose underlying type is a predomain. A *strict (linear) map* between domains is an L-algebra homomorphism.

Analogy: predomains \sim unpointed cpos, domains \sim pointed cpos.

The Kleisli category $\mathcal{P}_{\mathbb{L}}$ is algebraically compact as a fibration over \mathcal{S} .

SYNTHETIC DOMAINS

In other words, we can compute recursive types.

Many more axioms can be imposed, to refine our picture of "domains"; important for relating synthetic constructions to ordinary math, but not needed for workaday denotational semantics.

The internal intuitionistic type theory of any topos S satisfying our axioms serves as a *metalanguage* for naïve denotational semantics.

SYNTHETIC DOMAINS 0000000000

- 1. A context Γ or a type τ refers to a predomain Γ or τ .
- 2. A program $\Gamma \vdash M : \tau$ refers to a Kleisli mapping $[\![M]\!] : [\![\Gamma]\!] \to L[\![\tau]\!]$. (Continuity is automatic!)

SYNTHETIC DOMAINS

- Recursive functions computed using completeness of $L[\tau]$, taking the "formal colimits" of a parameterized chain $\llbracket \Gamma \rrbracket \times \omega \to L \llbracket \tau \rrbracket$ defined using structural recursion on ω .
- 3. An assertion $\Gamma \mid \phi$ refers to a subset $\llbracket \phi \rrbracket \subseteq \llbracket \Gamma \rrbracket$; f.p. induction restricted to complete subsets.
- 4. An entailment $\Gamma \mid \phi \vdash \psi$ refers to an inclusion $\llbracket \phi \rrbracket \subseteq \llbracket \psi \rrbracket \subseteq \llbracket \Gamma \rrbracket$.

Scales effortlessly to parametric polymorphism, recursive types, first-order store, finite non-determinism, and thus interleaving **concurrency.** Higher-order store (storing closures) as well as true concurrency not accounted for in this environment.

SYNTHETIC DOMAINS

It is well and good to verify programs using the axioms of synthetic domain theory, but is this "sound" with respect to (1) classical domain theoretic semantics or (2) operational notions of equivalence?

Answering these questions means finding *models* of the axioms.

- 1. Soundness for operational equivalence ("computational adequacy") follows from a *nearly arbitrary* model of SDT thanks to Simpson (2004) and Marcelo P. Fiore and Plotkin (1994).
- 2. Soundness for classical denotational semantics follows because cpos, etc. embed nicely into sheaf models of SDT (Marcelo P. Fiore and Plotkin, 1996; Marcelo P. Fiore and Rosolini, 1997).

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See: Arnold and Nivat (1980), MacQueen, Plotkin, and Sethi (1984), and America and Rutten (1987).

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Metric domain theory escapes the lab

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- 2. worse is better: the rich categorical structure of domain theory thrown away, because who needs it? (Actually needed for scaling!)
- 3. **exceptionally strong results:** operational step-indexing the catalyst for solving many long-standing problems, e.g. semantic soundness of **System F**_{u,ref} as in the tour de force thesis of Ahmed (2004).

The end of history?

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Theorem (Birkedal, Møgelberg, Schwinghammer, and Støvring (2011))

A **complete bisected ultrametric space** is more simply described as a **presheaf** on ω whose restriction maps are surjections / quotients (i.e. flabby presheaves). The inclusion into $\widehat{\omega}$ is **coreflective**.

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Synthetic guarded domain theory generalizes the internal language of $\widehat{\omega}$, lifting the ill-advised (*) restriction to flabby presheaves.

A new synthetic domain theory from step-indexing

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Axiomatizations: Birkedal, Møgelberg, Schwinghammer, and Støvring (2011), Milius and Litak (2017), and Palombi and Sterling (2023).

New features of synthetic guarded domain theory

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- 2. Recursion introduced by an endofunctor ▶, which corresponds to a single "unfolding" of a recursive domain equation; "domains" are just ▶-algebras.
- 3. **New feature:** the universe of *all* small predomains is a domain (*cf.* domains of information systems in classical domain theory, which classify only algebraic[...] domains).

Naïve denotational semantics in SGDT?

1. Naïve denotational semantics of general recursion is both easy and elegant (Paviotti, Møgelberg, and Birkedal, 2015; Møgelberg and Paviotti, 2016; Paviotti, 2016).

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- 2. Only a little bit harder is naïve denotational semantics of *general recursion*, *parametric polymorphism*, and *higher-order store* with semantic worlds, *etc.* (Sterling, Gratzer, and Birkedal, 2022).

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- 3. **A new result:** denotational semantics for full dependent type theory with higher-order store, parametricity, etc. (op. cit.).
- 4. Aagaard, Sterling, and Birkedal (2023) adapt Iris-style **higher-order separation logic** to denotational semantics, higher-order ghost state and invariants forthcoming.

Finally denotational semantics responds to Ahmed (2004), after which it seemed to many community members that operationally-based semantics was the only viable approach to higher-order store.

- 1. Denotational semantics of **interleaving concurrency** + **higher-order effects** are too easy, but easy examples important.
- 2. **True concurrency** could be the "killer application" of denotational clarity in the era of relaxed memory. Let go of functional bias and study 2-dimensional domains!
- 3. **Education and outreach:** operational methods have dominated in an era in which *sheer humanpower* plays a bigger role than clarity. Soon, the pendulum swings again.

Thanks! P.S. I'm hiring! Please get in touch.

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