# Simplicial delta versus fat delta in higher category theory

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#### **Topos Institute Colloquium**

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#### **Simplicial combinatorics**

• Let  $\Delta$  be the simplicial category. Its objects are finite ordered sets

$$[n] = \{0 < 1 < \dots < n\}$$

for integers  $n \ge 0$  and its morphisms are non decreasing monotone functions.

 The functor category [Δ<sup>op</sup>, C] is the category of simplicial objects and simplicial maps in C.

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#### Simplicial combinatorics, cont.

- To give a simplicial object X in C is the same as to give a sequence of objects X<sub>0</sub>, X<sub>1</sub>, X<sub>2</sub>,... together with face operators δ<sub>i</sub> : X<sub>n</sub> → X<sub>n-1</sub> and degeneracy operators σ<sub>i</sub> : X<sub>n</sub> → X<sub>n+1</sub> (i = 0,..., n) satisfying the simplicial identities.
- We denote  $X([n]) = X_n$ .

$$X \in [\Delta^{op}, \mathcal{C}] \qquad \cdots X_3 \stackrel{\longrightarrow}{\Longrightarrow} X_2 \stackrel{\longrightarrow}{\longleftarrow} X_1 \stackrel{\longrightarrow}{\longleftarrow} X_0$$

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#### Segal maps.

Let  $X \in [\Delta^{op}, C]$  be a simplicial object in a category C with pullbacks.

For each  $k \ge 2$ , let  $\nu_i : X_k \to X_1$ ,  $\nu_j = X(r_j)$ ,  $r_j(0) = j - 1$ ,  $r_j(1) = j$ 



There is a unique map, called Segal map

$$\eta_k: X_k \to X_1 \times_{X_0} \cdots \times_{X_0} X_1$$
.

#### Internal categories and simplicial objects

• Let  $\mathcal{C}$  be a category with pullbacks. There is a nerve functor

$$N: Cat \ \mathcal{C} \to [\Delta^{op}, \mathcal{C}]$$

• Given  $X \in Cat \mathcal{C}$ 

$$NX \quad \cdots X_1 \times_{X_0} X_1 \times_{X_0} X_1 \stackrel{\longrightarrow}{\Longrightarrow} X_1 \times_{X_0} X_1 \stackrel{\longrightarrow}{\longleftarrow} X_1 \stackrel{\longrightarrow}{\longleftarrow} X_1 \stackrel{\longrightarrow}{\longrightarrow} X_1$$

Fact:  $X \in [\Delta^{op}, C]$  is the nerve of an internal category in C if and only if all the Segal maps  $\eta_k : X_k \to X_1 \times_{X_0} \overset{k}{\cdots} \times_{X_0} X_1$  for each  $k \ge 2$  are isomorphisms.

#### **Double categories: some pictures**





All compositions are associative and unital; interchange law.

#### Strict 2-categories versus double categories



Thus a strict 2-category is the same as a simplicial object X in Cat such that all the Segal maps are isomorphisms and  $X_0$  is a discrete category.

#### Weakly globular double categories

#### Definition (P. and Pronk)

- $X\in [\Delta^{^{op}},\mathsf{Cat}\,]$  is in  $\mathsf{Cat}^2_{\mathsf{wg}}$  if
  - i) The Segal maps are isomorphisms:

$$X_k \cong X_1 \times_{X_0} \stackrel{k}{\cdots} \times_{X_0} X_1 \qquad k \ge 2$$

- ii) Weak globularity condition:  $X_0$  is an equivalence relation; thus  $\gamma : X_0 \to X_0^d$  is an equivalence of categories, where  $X_0^d$  is the discrete category on the set of connected components of  $X_0$ . We also call  $X_0$  a homotopically discrete category.
- iii) The induced Segal maps are equivalences of categories:

$$X_k \cong X_1 imes_{X_0} \stackrel{k}{\cdots} imes_{X_0} X_1 \stackrel{\simeq}{\longrightarrow} X_1 imes_{X_0^d} \stackrel{k}{\cdots} imes_{X_0^d} X_1 \qquad k \ge 2$$

#### Weak globularity condition

- The set underlying  $X_0^d$  plays the role of set of objects.
- The induced Segal map condition is equivalent to



#### Truncation functor and hom category

- Let p : Cat → Set be the isomorphism classes of objects functor.
- There is a truncation functor

$$p^{(1)}: \operatorname{Cat}^2_{\operatorname{wg}} o \operatorname{Cat},$$

$$(p^{(1)}X)_k = pX_k$$
 for all  $k \ge 0$ .

• Given  $X \in \operatorname{Cat}^2_{wg}$ ,  $a, b \in X_0^d$  let X(a, b) be the fibre at (a, b) of

$$X_1 \xrightarrow{(\partial_0,\partial_1)} X_0 \times X_0 \xrightarrow{(\gamma,\gamma)} X_0^d \times X_0^d.$$

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### 2-Equivalences in Cat<sup>2</sup><sub>wg</sub>

#### Definition

A morphism  $F: X \to Y$  in  $Cat^2_{wq}$  is a 2-equivalence if

(i) For all  $a, b \in X_0^d$   $F(a, b) : X(a, b) \to Y(Fa, Fb)$  is an equivalence of categories.

(ii)  $p^{(1)}F$  is an equivalence of categories.

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#### Theorem (P. and Pronk)

Cat<sup>2</sup><sub>wg</sub> is 2-equivalent to bicategories.

• Given  $X \in \operatorname{Cat}_{wg}^2$  the corresponding bicategory has set of objects  $X_0^d$  and hom categories X(a, b) for  $a, b \in X_0^d$ .

#### **Definition of fat delta**

Epi $\Delta$   $\downarrow \qquad \downarrow$ Objects are epis in  $\Delta$  and morphisms are commuting squares in  $\Delta$ .



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#### A different description of Epi $\Delta$

- Recall that  $[n] \in \Delta$  can be seen as a category, the ordinal [n].
- An epi η : [n'] → [n] in Δ identifies a wide subcategory of [n'] with morphisms i → j (for 0 ≤ i ≤ j ≤ n') iff η(i) = η(j).
- The ordinal [n'] together with this wide subcategory is called a colored ordinal, and the (non identity) morphisms of the wide subcategory are called colored arrows, and pictured as links.
- Example:



#### A different description of Epi $\Delta$ , cont.

The morphism in Epi∆

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preserves colored arrows: if  $0 \le i \le j \le n'$  and  $\eta_1(i) = \eta_1(j)$ , then  $\eta_2 f(i) = g\eta_1(i) = g\eta_1(j) = \eta_2 f(j)$ .

 Thus Epi∆ is isomorphic to the category whose objects are non-empty colored ordinals and whose morphisms are color-preserving functors between them.

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#### **Semi-categories**

A semi-category C is a diagram in Set

$$C_1 \times_{C_0} C_1 \xrightarrow{m} C_1 \xrightarrow{d_0} C_0$$

satisfying  $d_1p_2 = d_1m$ ,  $d_0p_1 = d_0m$ ,  $m(Id \times_{C_0} m) = m(m \times_{C_0} Id)$ .

- Let Δ<sub>mono</sub> be the subcategory of Δ with the same objects and maps the monomorphisms in Δ.
- Δ<sub>mono</sub> is isomorphic to the category of finite non-empty semi-ordinals (that is, semi-categories associated to a finite total strict order relation).
- We can identify semicategories with functors X ∈ [Δ<sup>op</sup><sub>mono</sub>, Set] in which Segal maps are isomorphisms.

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#### A different description of the fat delta

Epi∆ • → •

Isomorphic to category of finite non empty colored ordinals and color-preserving maps.



Isomorphic to category of finite non empty colored semi-ordinals and color-preserving maps.

## Remark: There is a map π : <u>Δ</u> → Δ given by the target of the surjections.

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#### Vertical and horizontal embeddings

• There are horizontal and vertical inclusions

$$h: \Delta_{mono} \hookrightarrow \underline{\Delta} \quad v: \Delta_{mono} \hookrightarrow \underline{\Delta}$$

• Given  $\varepsilon : [n] \hookrightarrow [m]$  in  $\Delta_{mono}$ ,  $h[\varepsilon]$  and  $v[\varepsilon]$  are the maps in  $\underline{\Delta}$ 



In pictures:

• We will denote h[n] = [n] and  $h[\varepsilon] = \varepsilon$ .

#### Segal maps for $X \in [\Delta^{op}, C]$

- Given  $\eta : [n'] \twoheadrightarrow [n]$  in  $\underline{\Delta}$  let  $0 \le j_1 < j_2 < \cdots < j_t \le n$  be such that  $|\eta^{-1}(j_i)| > 1$   $(i = 1, \dots, t)$  and let  $n_i = |\eta^{-1}(j_i)| 1$ .
- We can picture  $\eta$  as the coloured semi-ordinal



• We have a map in  ${\mathcal C}$ 

 $X_n \rightarrow X_{i_1} \times_{X_0} X_{v[n_1]} \times_{X_0} X_{i_2-i_1} \times_{X_0} \cdots X_{v[n_t]} \times_{X_0} X_{n-i_t}$ 

#### Segal map example





Given  $X \in [\underline{\Delta}^{op}, \mathcal{C}]$ , we have the Segal map

$$X_\eta \to X_{\nu[1]} imes_{X_0} X_{\nu[1]} imes_{X_0} X_1 imes_{X_0} X_1 imes_{X_0} X_1 imes_{X_0} X_{\nu[1]}$$
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#### **Definition of fair 2-categories**

- Recall that a colored category is a category with a specified wide subcategoy whose arrows are called colored arrows.
- $\underline{\Delta}$  is a colored category with coloured arrows the ones sent to identities by  $\pi : \underline{\Delta} \to \Delta$ .
- Cat is a colored category with coloured arrows the equivalence of categories.

#### Definition (J. Kock)

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A fair 2-category is a color-preserving functor  $X : \underline{\Delta}^{op} \to \text{Cat}$  such that  $X_0$  is a discrete category and all the Segal maps are isomorphisms.

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#### **Remarks**

Denote

$$\mathcal{O} = X_0, \qquad \mathcal{A} = X_1, \qquad \mathcal{U} = X_{\nu[1]}$$

and think of these as categories of objects, arrows, weak identity arrows.

• The Segal maps being isomorphisms gives semicategory structures internal to Cat on  $\mathcal{U}$  and  $\mathcal{A}$  and a semifunctor

• The preservation of colors is equivalent to the following maps being equivalences of categories:

$$\mathcal{U} \rightrightarrows \mathcal{O}, \quad \mathcal{U} \times_{\mathcal{O}} \mathcal{A} \rightarrow \mathcal{A} \leftarrow \mathcal{A} \times_{\mathcal{O}} \mathcal{U}, \quad \mathcal{U} \times_{\mathcal{O}} \mathcal{U} \rightarrow \mathcal{U}$$

• These correspond to the maps in  $\Delta$ 



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#### Weak identity arrows

- A weak identity arrow (or weak unit) in a semi 2-category C consists of
  - Arrow  $I_o: o \rightarrow o$  for  $o \in \mathcal{O}b\mathcal{C}$
  - Invertible 2-cells (called left and right constraints)

 $\lambda_{\mathbf{Y}}: \mathbf{I}_{\mathbf{0}} \otimes \mathbf{Y} \xrightarrow{\sim} \mathbf{Y} \qquad \rho_{\mathbf{X}}: \mathbf{X} \otimes \mathbf{I}_{\mathbf{0}} \simeq \mathbf{X}$ 

such that



• A morphism of identity arrows  $(I_o, \lambda, \rho) \rightarrow (J_o, \lambda', \rho')$  is a 2-cell  $I_o \rightarrow J_o$  compatible with left and right constraints.

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#### • The category of identity arrows in C is the disjoint union

$$\mathsf{Id}_\mathcal{C} = \coprod_{o \in \mathcal{O}b\mathcal{C}} \mathsf{Id}_\mathcal{C}(o)$$

#### Lemma (J. Kock)

The category  $Id_{\mathcal{C}}$  of identity arrows in a bicategory  $\mathcal{C}$  is equivalent to the discrete category  $\mathcal{Ob}(\mathcal{C})$ .

#### **Bicategories and fair 2-categories**

Proposition (J. Kock)

There is an equivalence of categories

 $\text{Fair}_2 \simeq \mathbb{B}$ 

where  $\mathbb{B}$  is the category of bicategories with strict composition laws.

 Given a bicategory C with strict composition laws the corresponding fair 2-category has

- 
$$\mathcal{O} = \mathcal{O}b\mathcal{C}$$

$$-\mathcal{A} = \operatorname{Hom}_{x,y \in \mathcal{Ob} \mathcal{C}}(x,y) \qquad \mathcal{U} = \coprod_{x \in \mathcal{Ob} \mathcal{C}} \operatorname{Id}_{\mathcal{C}}(x)$$

#### **Motivating question**

- Both fair 2-categories and weakly globular double categories contain a homotopically discrete category: giving the weak units in the former and the weak globularity condition in the latter.
- Can we interpret the weak globularity condition in terms of weak units?
- To do this, we seek a direct comparison between Fair<sup>2</sup> and Cat<sup>2</sup><sub>wa</sub>.
- This involves an interplay between the simplicial delta and the fat delta.

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#### A criterion for fair 2-categories

To give a fair 2-category it is enough to give a discrete category  $\mathcal{O}$  and categories  $\mathcal{A}$  and  $\mathcal{U}$  such that

a) there is a commuting diagram



b) U and A have semi-category structures internal to Cat (with objects O) such that the following maps are equivalences of categories.

$$\mathcal{U} \rightrightarrows \mathcal{O}, \quad \mathcal{U} \times_{\mathcal{O}} \mathcal{A} \rightarrow \mathcal{A} \leftarrow \mathcal{A} \times_{\mathcal{O}} \mathcal{U}, \quad \mathcal{U} \times_{\mathcal{O}} \mathcal{U} \rightarrow \mathcal{U}$$

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#### Using this criterion: preview

- Given  $X \in \operatorname{Cat}^2_{wg}$ , set  $\mathcal{O} = X_0^d$ ,  $\mathcal{A} = X_1$ ,  $\mathcal{U} = X_0$ .
- There is a commuting diagram



where  $\partial_0, \partial_1 : X_1 \rightarrow X_0, \sigma_0 : X_0 \rightarrow X_1$ , are the structure maps for X.

• From the definition of Cat<sup>2</sup><sub>wq</sub>, there are equivalences of categories:

$$X_0 
ightarrow X_0^d, \quad X_1 imes_{X_0^d} X_0 
ightarrow X_1 \leftarrow X_0 imes_{X_0^d} X_1, \quad X_0 imes_{X_0^d} X_0 
ightarrow X_0 \; .$$

 It remains to show that X<sub>1</sub> and X<sub>0</sub> have semi-category structures internal to Cat.

#### Segal maps for pseudo-functors

Let  $H \in Ps[\Delta^{\circ p}, Cat]$  be such that  $H_0$  is discrete. The following diagram in Cat commutes, for all  $k \ge 2$ 



#### Definition

The category SegPs[ $\Delta^{op}$ , Cat] is the full subcategory of Ps[ $\Delta^{op}$ , Cat] whose objects *H* are such that

- i)  $H_0$  is discrete.
- ii) All Segal maps are isomorphisms: for all  $k \ge 2$

$$H_k \cong H_1 \times_{H_0} \stackrel{k}{\cdots} \times_{H_0} H_1$$
.

## Segalic pseudofunctors and weakly globular double categories

#### Theorem (P. and Pronk)

a) There is a functor

$$Tr_2 : \operatorname{Cat}^2_{wg} \to \operatorname{SegPs}[\Delta^{^{op}}, \operatorname{Cat}]$$
$$(Tr_2X)_k = \begin{cases} X_0^d, & k = 0\\ X_1, & k = 1\\ X_1 \times_{X_0^d} \stackrel{k}{\cdots} \times_{X_0^d} X_1, & k > 1 \end{cases}.$$

b) The strictification functor  $St : Ps[\Delta^{op}, Cat] \rightarrow [\Delta^{op}, Cat]$  restricts to a functor

$$St : SegPs[\Delta^{op}, Cat] \rightarrow Cat^2_{wg}$$
.

### From Cat<sup>2</sup><sub>wq</sub> to semi-simplicial objects

• Let 
$$i^* : Ps[\Delta^{op}, Cat] \to Ps[\Delta^{op}_{mono}, Cat]$$
 be induced by  $i : \Delta^{op}_{mono} \to \Delta^{op}$ .

Proposition

The composite functor

$$\operatorname{Cat}^{2}_{\operatorname{wg}} \xrightarrow{Tr_{2}} \operatorname{SegPs}[\Delta^{op}, \operatorname{Cat}] \xrightarrow{i^{*}} \operatorname{Ps}[\Delta^{op}_{mono}, \operatorname{Cat}]$$

lands in  $[\Delta_{mono}^{op}, Cat]$ .

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#### **Sketch of proof**

• The induced Segal maps  $(k \ge 2)$ 

$$\hat{\mu}_k: X_k = X_1 \times_{X_0} \stackrel{k}{\cdots} \times_{X_0} X_1 \to X_1 \times_{X_0^d} \stackrel{k}{\cdots} \times_{X_0^d} X_1 = (Tr_2 X)_k$$

is injective on objects, thus  $\nu_k \hat{\mu}_k = Id$ , where  $\nu_k$  is the pseudo-inverse.

Thus Tr<sub>2</sub>∂<sub>2</sub> : (Tr<sub>2</sub>X)<sub>n</sub> → (Tr<sub>2</sub>X)<sub>n-1</sub> satisfy the semi-simplicial identities. For instance for k > 2

$$(Tr_2X)_{k+1} \xrightarrow{\partial'_j} (Tr_2X)_k \xrightarrow{\partial'_i} (Tr_2X)_{k-1}$$
  
$$\partial'_i \partial'_j = (\hat{\mu}_{k-1} \partial_i \nu_k) (\hat{\mu}_k \partial_j \nu_{k+1}) = \hat{\mu}_{k-1} \partial_i \partial_j \nu_{k+1} =$$
  
$$= \hat{\mu}_{k-1} \partial_{j-1} \partial_i \nu_{k+1} = (\hat{\mu}_{k-1} \partial_{j-1} \nu_k) (\hat{\mu}_k \partial_i \nu_{k+1}) = \partial'_{j-1} \partial'_i.$$

## From Cat<sup>2</sup><sub>wg</sub> to Fair<sup>2</sup>



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## From Fair<sup>2</sup> to Cat<sup>2</sup><sub>wg</sub>

#### Proposition

There is a functor

$$T_2$$
: Fair<sup>2</sup>  $\rightarrow$  SegPs[ $\Delta^{op}$ , Cat]

such that, for each  $X \in \text{Fair}^2$ ,  $(T_2X)_0 = X_0$ ,  $(T_2X)_1 = X_1$  and  $(T_2X)_r = X_1 \times_{X_0} \stackrel{r}{\cdots} \times_{X_0} X_1$  for  $r \ge 2$ .

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#### The functor *T*<sub>2</sub>: definition

For each η : k' → k in Δ and X ∈ Fair<sup>2</sup> there is an equivalence of categories

$$\alpha_{\eta}: X_{k} = X_{\pi(\eta)} \leftrightarrows X_{\eta}: \beta_{\eta}$$

such that  $\beta_{\eta}\alpha_{\eta} = Id$ .

• Given  $f : n \to m$  in  $\Delta^{op}$ , choose  $\underline{f} : \eta \to \mu$  in  $\underline{\Delta}^{op}$  with  $\pi \underline{f} = f$  and let  $T_2 f$  be given by the composite

$$X_n \xrightarrow{\alpha_\eta} X_\eta \xrightarrow{f} X_\mu \xrightarrow{\beta_\mu} X_m$$
.

#### Is this well-defined?

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#### Lemma

Let  $\underline{f}: \eta \to \mu$  and  $\underline{f}': \eta' \to \mu'$  be maps in  $\underline{\Delta}^{op}$  with  $\pi \underline{f} = \pi \underline{f}'$ . Then, if  $X \in \mathsf{Fair}^2$ ,

$$\beta_{\mu} \mathbf{X}(\underline{f}) \alpha_{\eta} = \beta_{\mu'} \mathbf{X}(\underline{f}') \alpha_{\eta'}.$$

#### • It follows that $T_2 f$ is well-defined.

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#### The functor $T_2$ : definition, cont.

• Given  $n_1 \xrightarrow{f_1} n_2 \xrightarrow{f_2} n_3$  in  $\Delta^{op}$ , to define  $T_2(f_1 f_2)$  we take composable maps in  $\underline{\Delta}^{op}$ 

$$\mu_1 \xrightarrow{\underline{f_1}} \mu_2 \xrightarrow{\underline{f_2}} \mu_3,$$

such that  $\pi(\underline{f}_1) = f_1, \pi(\underline{f}_2) = f_2$ .

• We then define  $T_2(f_1f_2)$  to be the composite

$$X_n \xrightarrow{\alpha_{\mu_1}} X_{\mu_1} \xrightarrow{\underline{f_1 f_2}} X_{\mu_2} \xrightarrow{\beta_{\mu_2}} X_m.$$

• Why do composable liftings  $\underline{f}_1, \underline{f}_2$  of  $f_1$  and  $f_2$  exist ?

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#### Proposition

Given maps in  $\Delta$ 

$$n_1 \xrightarrow{f_1} n_2 \xrightarrow{f_2} n_3 \rightarrow \cdots \xrightarrow{f_k} n_{k+1}$$

#### there are maps in $\Delta$

$$\mu_1 \xrightarrow{\underline{f_1}} \mu_2 \xrightarrow{\underline{f_2}} \mu_3 \to \cdots \xrightarrow{\underline{f_k}} \mu_{k+1}$$

with  $\pi \underline{f}_j = f_j$ .

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#### **Comparison result**

#### Definition

Let  $R_2$ : Fair<sup>2</sup>  $\rightarrow$  Cat<sup>2</sup><sub>wg</sub> be the composite

$$\mathsf{Fair}^2 \xrightarrow{\mathcal{T}_2} \mathsf{SegPs}[\Delta^{^{op}},\mathsf{Cat}\,] \xrightarrow{\mathcal{S}t} \mathsf{Cat}^2_{\mathsf{wg}} \;,$$

Theorem (P.)

The functors

$$F_2: \operatorname{Cat}^2_{\operatorname{wg}} \rightleftarrows \operatorname{Fair}^2: R_2$$

induce an equivalence of categories after localization with respect to the 2-equivalences

$$\operatorname{Cat}^2_{\operatorname{wg}}/\sim \simeq$$
  $\operatorname{Fair}^2/\sim$ 

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#### **Higher dimensions**

 Simplicial models of higher categories do exist: for instance weakly globular *n*-fold categories Cat<sup>n</sup><sub>wg</sub> satisfy the homotopy hypothesis and are equivalent to Tamsamani *n*-categories (P.)

• Note that 
$$\operatorname{Cat}^n_{\operatorname{wg}} \hookrightarrow [\Delta^{n-1^{\operatorname{op}}},\operatorname{Cat}], \quad \Delta^{n-1^{\operatorname{op}}} = \Delta^{\operatorname{op}} \times \stackrel{n-1}{\cdots} \times \Delta^{\operatorname{op}}.$$

• Question: could we do higher category theory using  $\underline{\Delta}^{n-1^{op}} = \underline{\Delta}^{op} \times \stackrel{n-1}{\dots} \times \underline{\Delta}^{op}?$ 

#### **Fair n-categories**

• Joachim Kock defined Fair *n*-categories

$$\mathsf{Fair}^{\mathsf{n}} \hookrightarrow [\underline{\Delta}^{n-1^{op}}, \mathsf{Cat}]$$

encoding strict composition but weak units.

- It is not known if Fair<sup>n</sup> satisfies the homotopy hypothesis.
- Conjecture: Fair<sup>n</sup> is equivalent to Cat<sup>n</sup><sub>wq</sub>.
- This would imply that Fair<sup>n</sup> satisfies the homotopy hypothesis, and is thus a model of weak *n*-categories (Simpson's conjecture).

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#### Summary

- Several models of weak 2-categories.
- Direct comparison between weakly globular double categories and fair 2-categories.
- New light on weakly globular double categories, as encoding weak units.
- New properties of <u>Δ</u>, such as lifting of strings of composable maps from Δ to <u>Δ</u>.

• Potential for higher dimensional generalisations (proof of weak units conjecture).