

# Learners' languages

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# Outline

## 1 Introduction

- Goal
- Plan

## 2 Background on Poly

## 3 The operad $\mathcal{O}rg$ of organizations

## 4 Where I'm stuck

## Goal of today's talk

I want to tell you what I've been doing and where I'm stuck.

- Thanks Toby, for saying that that's what you wanted to hear about.

I feel like I've developed the machinery I want to use.

- As you know, I love Poly: it's expressive and well-behaved.
- Inside Poly is a categorical operad I'm calling  $\mathcal{O}rg$ .
- It packages my usual "interacting machines" thing inside of Poly.

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- As you know, I love Poly: it's expressive and well-behaved.
- Inside Poly is a categorical operad I'm calling  $\mathcal{O}rg$ .
- It packages my usual "interacting machines" thing inside of Poly.

But now I have to actually use it.

- I want to talk about what matters most to me:
- What actually makes things work?
- What is coordination, cooperation, health, effectiveness?

# Plan of the talk

- Background on Poly.
  - The basics.
  - The  $(y, \otimes)$  monoidal structure and its closure  $[-, -]$ .
  - Coalgebras: e.g. dynamical systems and wiring diagrams.
  - Type theory and logic in the topos of  $p$ -coalgebras.
- Introduce the categorical operad  $\mathcal{O}rg$  of *organizations*.
  - Recall the setup in “backprop as functor”.
  - Define  $\mathcal{O}rg$  and give intuition.
- Explain where I’m stuck.

# Outline

## 1 Introduction

## 2 Background on Poly

- Basics
- Monoidal closed structure  $(y, \otimes, [-, -])$
- Coalgebras
- Type theory and logic in  $p$ -Coalg

## 3 The operad $\mathcal{O}rg$ of organizations

## 4 Where I'm stuck

## Definition and terminology of Poly

Poly is the category of sums of representables  $\text{Set} \rightarrow \text{Set}$ .

- For any  $A \in \text{Set}$ , write  $y^A \in \text{Poly}$  to mean  $(X \mapsto X^A): \text{Set} \rightarrow \text{Set}$ .
- A polynomial  $p$  is a coproduct of representables,  $p = \sum_{i \in I} y^{A_i}$ .
  - Call each  $i \in I$  a *position* in  $p$ .
  - Note:  $p(1) \cong I$ .
  - Call each  $a \in A_i$  a *direction* in  $p$  (at position  $i$ ).
- Let's write  $p[i]$  instead of  $A_i$ , to obtain this notation:

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Morphisms  $\varphi: p \rightarrow q$  in Poly are just natural transformations.

- Yoneda:  $\text{Poly}(y^A, y^B) = \text{Set}(B, A)$ .
- Derive “lens-like” description from universal property of coproducts:

$$\varphi \in \text{Poly}\left(\sum_{i \in p(1)} y^{p[i]}, \sum_{j \in q(1)} y^{q[j]}\right) \cong \prod_{i \in p(1)} \sum_{j \in q(1)} \text{Set}(q[j], p[i])$$



## The monoidal structure $(y, \otimes)$

There is a monoidal structure  $(y, \otimes)$  on Poly.

- $y \in \text{Poly}$  denotes the identity functor  $\text{id}: \text{Set} \rightarrow \text{Set}$ .
- The polynomial  $y$  has one position, and one direction.
- Quick aside on maps into  $y$ :
  - A map  $\gamma: p \rightarrow y$  is a “global section” of  $p$ .
  - That is, it's a choice of direction at each position.

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    - That is, it’s a choice of direction at each position.
  - Back to the main point:  $y$  is the unit of a monoidal structure.
- Given polynomials  $p, q$ , we can multiply both positions and directions.

$$p \otimes q := \sum_{i \in p(1)} \sum_{j \in q(1)} y^{p[i] \times q[j]}$$

- Examples:

- $A \otimes B = AB$
- $Ay \otimes By = AB_y$
- $y^A \otimes y^B = y^{AB}$
- $p \otimes 1 = p(1)$

## The $\otimes$ -closure, i.e. internal hom $[-, -]$

For any two polynomials  $p, q \in \text{Poly}$ , there is  $[p, q] \in \text{Poly}$  with

$$\text{Poly}(p' \otimes p, q) \cong \text{Poly}(p', [p, q])$$

for any  $p' \in \text{Poly}$ . It can be given by the following formula:

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Let's examine it.

- A position of  $[p, q]$  is a map  $\varphi: p \rightarrow q$  of polynomials.
- What is a direction of  $[p, q]$  at  $\varphi$ ?
  - It's a pair  $(i, e)$  where  $i \in p(1)$  and  $e \in q[\varphi i]$ .
  - We'll come back to this after we discuss coalgebras.

## Properties of internal hom

The following are true of any internal hom, just written in Poly notation.

- $[y, p] \cong p$ .
- $[p_1 \otimes p_2, p'] \cong [p_1, [p_2, p']]$ .
- There is a map  $p \otimes [p, q] \rightarrow q$  called *evaluation*. It induces:
  - A map  $[p, q] \otimes [q, r] \rightarrow [p, r]$  called *internal composition*.
  - A map  $[p_1, q_1] \otimes [p_2, q_2] \rightarrow [p_1 \otimes p_2, q_1 \otimes q_2]$  called *internal product*.

Later we will refer to these maps as the *standard maps*.

# Coalgebras

A *coalgebra* for  $F: \text{Set} \rightarrow \text{Set}$  is a set  $S$  and a map  $S \rightarrow F(S)$ .

- Let's refer to elements of  $S$  as *states*.
- For  $p \in \text{Poly}$  what does  $f: S \rightarrow p(S)$  do to a state  $s \in S$ ?
  - First it “reads out” a position  $f^{\text{rdt}}(s) \in p(1)$ .
  - Then for each direction  $d \in p[f^{\text{rdt}}(s)]$ , ...
  - ... it returns an “updated” state  $f^{\text{upd}}(s, d) \in S$ .

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A *coalgebra map*  $(S, f) \rightarrow (S', f')$  is a function  $S \xrightarrow{g} S'$  with commuting

$$\begin{array}{ccc}
 S & \xrightarrow{f} & p(S) \\
 g \downarrow & & \downarrow p(g) \\
 S' & \xrightarrow{f'} & p(S')
 \end{array}$$

This is very strong: any states  $s \in S$  and  $s' := g(s)$ ...

- ... have the same readout:  $f'^{\text{rdt}}(s') = f^{\text{rdt}}(s)$ , and...
- ... remain in sync after update:  $f'^{\text{upd}}(s', d) = g(f^{\text{upd}}(s, d))$ .
- That means they have the same observable behavior for all time.

## Interaction patterns

Coalgebras can be tensored, giving  $p\text{-Coalg} \times q\text{-Coalg} \rightarrow (p \otimes q)\text{-Coalg}$ .

- Given coalgebras  $f: S \rightarrow p(S)$  and  $g: T \rightarrow q(T)$ , we can form...
- ... their tensor product,  $(f \otimes g): (ST) \rightarrow (p \otimes q)(ST)$ .
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Also, given a map  $\varphi: p \rightarrow p'$ , we get a map  $p\text{-Coalg} \rightarrow p'\text{-Coalg}$ .

- $\varphi$  translates a  $p$ -position readout to a  $p'$ -position readout.
- And it translates an incoming  $p'$ -direction back to a  $p$ -direction...
- ...which can be used to update the state.
- Mathematically, this is just the composite  $S \xrightarrow{f} p(S) \xrightarrow{\varphi(S)} p'(S)$ .

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Together it means that given  $p_1 \otimes \dots \otimes p_k \xrightarrow{\varphi} p'$ , we get

$$p_1\text{-Coalg} \times \dots \times p_k\text{-Coalg} \rightarrow p'\text{-Coalg}$$

An interaction pattern  $\varphi$  takes dynamical systems in the  $p_i$ 's to one in  $p'$ .

## Interaction pattern example: wiring diagrams

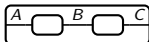
Let's draw  $By^A$  as  $\overset{A}{\text{---}}\square\overset{B}{\text{---}}$  suggesting that it outputs  $B$ 's and inputs  $A$ 's.

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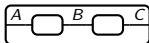


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This shows a map  $By^A \otimes Cy^B \rightarrow Cy^A$ . Which one?

- $\text{Poly}(BCy^{AB}, Cy^A) \cong \text{Set}(BC, C) \times \text{Set}(BCA, AB)$
- Outside world doesn't see  $B$  so we project it away.
- Inside boxes don't need  $C$ , so we project it away.
- Wiring diagrams are interactions with only projections/duplications.

Interactions patterns can be much more general, but this gives intuition.

## The category $\mathcal{C}_p$ of $p$ -trees

Let  $p$  be a polynomial. Define a  $p$ -tree to be...

- a rooted, possibly infinite tree, where ...
- every node is labeled with some  $i \in p(1)$  and...
- has precisely  $p[i]$ -many branches coming out of it.

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More terminology for a  $p$ -tree  $T$ :

- A *root path* is a (finite) path  $f$  from the root to another node in  $T$ .
- At the other node sits a  $p$ -tree; we call this the *codomain of  $f$* .

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Define the *category of  $p$ -trees*, denoted  $\mathcal{C}_p$ :

- its objects are  $p$ -trees,
- a morphism  $T \rightarrow U$  is a root-path in  $T$  with codomain  $U$ ,
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Facts:

- $\text{Ob}(\mathcal{C}_p) \in \text{Set}$  is the terminal  $p$ -coalgebra (use root and codomain)
- More generally, there is an equivalence  $p\text{-Coalg} \cong \mathcal{C}_p\text{-Set}$ .

## Type theory and logic in the topos $p$ -Coalg

Since  $p\text{-Coalg} \cong \mathcal{C}_p\text{-Set}$ , we know that  $p$ -coalgebras form a topos.

- That means you can do dependent type theory and higher-order logic.
- Example:  $\underline{\mathbb{N}} = \{\phi : \mathbb{N} \rightarrow \text{Prop} \mid n \leq n' \Rightarrow \phi n' \Rightarrow \phi n\}$  = type of  $p$ -trees equipped with nondecreasing sequences of naturals.

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A *logical proposition* means a subobject of the terminal object.

- So it is a collection  $\phi$  of  $p$ -trees with the property that...
- ... if  $T \in \phi$  then so is any  $p$ -subtree.

Logical operators and modalities:

- $\perp$  is empty,  $\top$  is everything,  $\vee$  is union,  $\wedge$  is intersection.
- $\neg\phi$  is the set of  $p$ -trees that have no  $p$ -subtrees in  $\phi$ .
- $\neg\neg\phi$  is “capable of  $\phi$ ”: there’s always a way to bring  $\phi$  about.

# Characters

How can we think about a logical proposition in  $p$ -Coalg?

- It's like a character, say "you" that's inhabiting the body  $p$ .
- You can express any of  $p(1)$ -many positions, and...
- ... for each  $i \in p(1)$ , there are  $p[i]$  many things you could see happen.
- Your character is all the ways you might respond to what happens.
- (If we use all types, not just propositions, we get frequencies too.)

## Example and non-example characters

So for example, here are some characters in  $p = \{\bullet, \bullet, \bullet\}y^{\{\bullet, \bullet\}} \cong 3y^2$ :

- “I’ll output only green and red forever, any way I want.”
- “I’ll either output red forever or green forever.”
- “I’ll never output the same thing twice in a row”.
- “I’ll always output something different than I input”.
- “In finite time I’ll get to a point where I never output red again.”
- “My output will never depend on the last three inputs received.”
- “Whenever I receive two reds in a row, I’ll do arbitrary stuff for three turns, then output red-blue-red-blue-... until I get a blue.”
- “I’ll never get locked out of  $(\neg\neg)$  responding tit-for-tat”.

Here are some things you can’t say (transients):

- “My first output is red.”
- “For the next three turns I’ll output only reds and blues”.

## Question: something like i.i.d., but what?

Consider the interface  $p = \{H, T\}y \cong 2y$ .

- A  $p$ -tree is just an infinite stream of  $H$ 's and  $T$ 's.
- If  $X$  is such a stream, it's not random: all its values are known.
- And yet,  $[T, T, F, T, F, F, F, F, T, T, \dots]$  has no discernable pattern.

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What word  $\phi$  from statistics am I looking for? “Normal”?

- And then let's check that  $\phi$  is a proposition:
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What's the analogue for an arbitrary probability (Bernoulli) distribution?



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  - Backprop as functor
  - Definition and intuition for  $\mathcal{O}rg$
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## Backprop as functor

Consider the compositional structure of deep learning.

- Each learner / neuron has inputs and outputs, say  $A$  and  $B$ .
- It has a parameter space, say  $S$  and an implementation function

$$I: S \times A \rightarrow B.$$

- Given a training pair  $(a, b) \in A \times B$ , it updates the parameter

$$U: S \times A \times B \rightarrow S$$

- ... and back-propagates some additional error to the input  $A$ :

$$R: S \times A \times B \rightarrow A.$$

Learners can be composed; the  $R$  map is indispensable.

## Learners are coalgebras

An  $(A, B)$  learner is exactly a  $[Ay^A, By^B]$ -coalgebra!

$$[Ay^A, By^B] \cong \sum_{\varphi: Ay^A \rightarrow By^B} y^{AB}$$

So a map  $f: S \rightarrow [Ay^A, By^B](S)$  assigns to each  $s \in S$ :

- a  $\varphi$ , i.e. a function  $A \rightarrow B$  and a function  $A \times B \rightarrow A$  (that's  $I$  and  $R$ )
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So what does a learner do as a machine?

- The state of the learner is read out as a position in  $[Ay^A, By^B]$ ,
- ... i.e. a function  $A \rightarrow B$  and a function  $A \times B \rightarrow A$  (a “lens”).
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These form a topos, and in the topos for  $[\mathbb{R}^m y^{\mathbb{R}^m}, \mathbb{R}^n y^{\mathbb{R}^n}]$ .

- “I’m smooth and do gradient descent and backprop” is a proposition.
- You could do more complex data science workflows in this language.

## Toward $\mathcal{O}_{\tau g}$

Let's look at a standard case, a  $(\mathbb{R}^n, \mathbb{R})$ -learner.

- Note that  $\mathbb{R}^n y^{\mathbb{R}^n} \cong \mathbb{R} y^{\mathbb{R}} \otimes \cdots \otimes \mathbb{R} y^{\mathbb{R}}$ .
- So these learners are coalgebras on  $[\mathbb{R} y^{\mathbb{R}} \otimes \cdots \otimes \mathbb{R} y^{\mathbb{R}}, \mathbb{R} y^{\mathbb{R}}]$ .

Let's abstract to coalgebras on  $[p_1 \otimes \cdots \otimes p_k, p']$ .

- These are machines that read out interaction patterns  $\varphi$ .
  - The  $\varphi$  aggregates the positions of all  $p_i$ 's to form a position of  $p'$
  - ... and takes a response from  $p'$  and distributes it to all the  $p_i$ 's.
- An input to the coalgebra is just such an event:
  - It's a position of each  $p_i$  and a response from the environment  $p'$ .
  - The coalgebra takes that event and updates its state.

# The categorical operad $\mathcal{O}_{\tau g}$ of organizations

Define  $\mathcal{O}_{\tau g}$  to be the category-enriched operad with

- objects  $\text{Ob}(\mathcal{O}_{\tau g}) = \text{Ob}(\text{Poly})$ ,
- morphisms  $\mathcal{O}_{\tau g}(p_1, \dots, p_k; p') := [p_1 \otimes \dots \otimes p_k, p']\text{-Coalg}$
- with identity on  $p$  given by the  $[p, p]$ -coalgebra with one state,
- and composition given by the standard maps.

# The categorical operad $\mathcal{O}_{\tau g}$ of organizations

Define  $\mathcal{O}_{\tau g}$  to be the category-enriched operad with

- objects  $\text{Ob}(\mathcal{O}_{\tau g}) = \text{Ob}(\text{Poly})$ ,
- morphisms  $\mathcal{O}_{\tau g}(p_1, \dots, p_k; p') := [p_1 \otimes \dots \otimes p_k, p']\text{-Coalg}$
- with identity on  $p$  given by the  $[p, p]$ -coalgebra with one state,
- and composition given by the standard maps.

What does it mean?

- An object in  $\mathcal{O}_{\tau g}(p_1, \dots, p_k; p')$  is like the officer of a company.
- She has resources  $p_1, \dots, p_k$  at her disposal.
- She determines how their output is shuttled internally,...
- ... and how it is delivered to the outside world  $p'$ .
- She receives a signal from the outside world and disperses it to the  $p_i$ .
- As this progresses, she changes her state, thus her approach.

Learners do this by gradient descent.



## The $\mathcal{O}_{\tau g}$ -algebra of 0-ary morphisms

Consider the algebra  $\mathcal{O}_{\tau g} \rightarrow \text{Cat}$  given by 0-ary morphisms.

- It sends  $p \mapsto \mathcal{O}_{\tau g}(; p) = [y, p]\text{-Coalg} \cong p\text{-Coalg}$ .
- It sends an object  $\varphi \in \mathcal{O}_{\tau g}(p_1, \dots, p_k; p')$  to the functor

$$p_1\text{-Coalg} \times \dots \times p_k\text{-Coalg} \rightarrow p'\text{-Coalg}$$

given by tensoring and composing with  $\varphi$  as with wiring diagrams.

Let's call this the algebra of *dynamical systems*.

## Fixed organizations

Consider the fixed points in  $\mathcal{O}z g(p_1, \dots, p_k; p')$ .

- These are just (fixed) interaction patterns of the  $p_i$ 's in  $p'$ .
- I.e., the way the  $p_i$  send and receive information is unchanging.
- A wiring diagram, e.g. of transistors in a computer, is fixed.
- There is a set-operad  $\mathcal{O}z g_{fix}$  mapping to  $\mathcal{O}z g$ , ...
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So the more general  $\mathcal{O}\zeta g$  is about adjusting interaction patterns.

# You as an organization

Think of the resources at your disposal.

- This depends on how we define “you” but let’s go with colloquial.
- Some resources: eyes, limbs, thoughts, heart, car, bank account.
- They respond to signals from each other and the outside world.

We might imagine you as a switchboard operator.

- When X comes into your eyes, you try not to think about it.
- When your heart rate increases, you decide to call the bank.

You control the relays, though you don’t control how they respond.

- The bank may not send the check,
- Your limb may not work as expected.
- But you are cognizant of what they’re doing.

If the way you control the relays can change through time, congrats!

## Gambling games in $\mathcal{O}rg$

Let's consider "finite state gamblers" in  $\mathcal{O}rg$ .

- Let  $[N] := \{1, \dots, N\}$  and  $\Delta_N := \{p: [N] \rightarrow \mathbb{R}_{\geq 0} \mid \sum_i p_i = N\}$
- Think of  $p \in \Delta_N$  as a *bet* on an  $N$ -sided die.
  - For example, suppose  $N = 2$  and  $p(1) = .6$  and  $p(2) = 1.4$ .
  - If the die turns up 1, we multiply your wealth by 0.6.
  - If the die turns up 2, we multiply your wealth by 1.4.
- Let  $m_N := \Delta_N y^N$ . A *gambler on alphabet  $N$*  is an  $m_N$ -coalgebra.
  - That is, it's a machine that produces bets and receives die rolls.

## Gambling games in $\mathcal{O}_{\mathcal{R}g}$

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The game itself is an object in  $\mathcal{O}_{\mathcal{R}g}(Ny, m_N; y)$ .

- That is, it's a  $[Ny \otimes \Delta_N y^N, y]$ -coalgebra.
- Its state set is  $\mathbb{R}$ ; that is, the officer keeps track of the bettor's wealth.
- The map  $\mathbb{R} \rightarrow [Ny \otimes \Delta_N y^N, y](\mathbb{R})$  is given by

$$w \mapsto (i, p) \mapsto (i, w * p(i))$$

The notation is hard to decipher, but it says that the officer sends the outcome  $i$  to the bettor and tracks the wealth as above.

# Outline

- 1 Introduction
- 2 Background on Poly
- 3 The operad  $\mathcal{O}rg$  of organizations
- 4 **Where I'm stuck**
  - General approach
  - Flow and Ping
  - Sense-making

## How I'm approaching this

The above was done with two competing objectives.

- To follow the math, so that it remains elegant and builds on itself.
  - Poly is an abundant category, with great formal properties.
  - $\mathcal{O}rg$  is just packaging up coalgebra interactions.
  - $\mathcal{O}rg$  generalizes “backprop as functor” ...
  - ... and wiring diagrams of dynamical systems.
  - I can study its “behavior types” with topos theory.
- To ask that the math follow aspects of life and experience.
  - Learners loosely model neurons in the brain.
  - Martingales model betting, which has deep biological roots.
  - A company officer directs the interaction between resources.

What makes us “good” at this stuff: learning, betting, officiating?

- Here we are, communicating. What makes it “work”?



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- In this case, you barely feel the ball hit your club, bat, or racket,...
- ... and yet the ball goes flying.
- In contrast, when you “flub” it, you get pain and no distance.
- So what is that ping? Is there some notion of “efficiency” there?
  - If we slow ping down by 1000x, would we see flow?
  - Does an hour of flow look like a ping when we look back on it?
- As an officer of an organization, how can you adjust to make ping?
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Is there math for flow or ping?

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Patterns can form when there is a potential difference across a medium.

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  - Given a temp. difference across a fluid (e.g. bottom is hotter)...
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Some believe that life is such a pattern, designed to disperse entropy.

- Can anything like this be seen from the  $\mathcal{O}(\epsilon g)$  point of view?
  - E.g., you might want to receive relatively predictable inputs...
  - ... while producing relatively unpredictable outputs.
- Can we say that sort of thing?
- Can we setup simplified physics and see Rayleigh-Bénard convection?

# Sense-making

Let's say our goal is sense-making.

- We can think of this as channeling or processing negentropy.
- We channel it to the systems that sustain us.
  - We get “food” to our organs to process.
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So that's where I'm stuck. Can you help me make sense of it?



# Overall fit

How does all this resonate with you?

- Comments?
- Questions?
- Ideas on where to go?